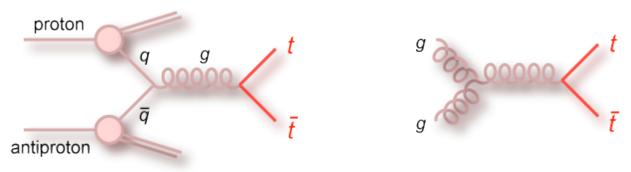


Meenakshi Narain Brown University

outline

- the top quark
- event selection
- understanding the background model
- multivariate analysis techniques
- combination
- implications
- summary

dominant production mechanism

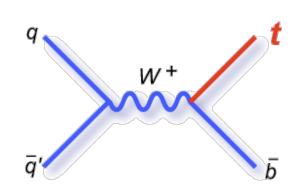


- $-\sigma_{\text{ttbar}}$ = 7.62 ± 0.85 pb
- $-m_{top} = 173.1 \pm 0.6 \text{ (stat)} \pm 1.1 \text{ (syst)} \text{ GeV}$
- cannot measure electroweak coupling
 - B(t→Wb) \propto |V_{tb}| >0.89 @ 95% CL, assuming unitarity of 3×3 CKM matrix
 - from B decays

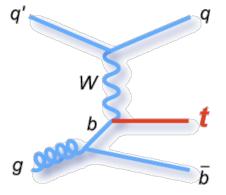
- $|V_{ub}|$ = 0.00393 and $|V_{cb}|$ = 0.0412 \rightarrow $|V_{tb}|$ = 0.9991

• Γ_{top} << experimental resolution; <12.7 GeV @95% C.L.

electroweak production of top quarks
 s channel (tb)
 t channel (tqb)



NLO $\sigma = 1.12 \pm 0.05 \text{ pb}$

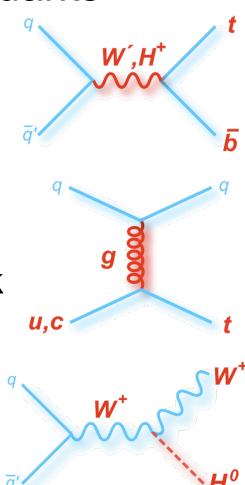


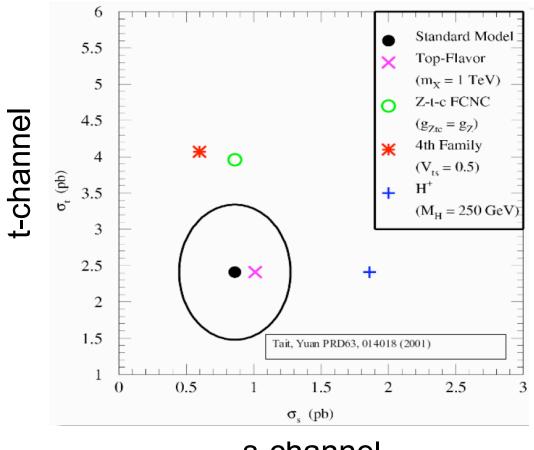
 σ = 2.34±0.13 pb

N. Kidonakis , PRD 74, 114012 (2006), for m_t =170 GeV

- $-\sigma \propto |V_{tb}|^2$
 - no assumption on number generations or unitarity of CKM matrix
- − measure $\Gamma(t \rightarrow Wb)$

- electroweak production of top quarks
 - sensitive to non-standard physics
 - 4th quark generation
 - anomalous Wtb vertex
 - new particles (H⁺, W')
 - FCNC
 - Provides an important benchmark
 in understanding the backgrounds
 to Higgs search in WH channel

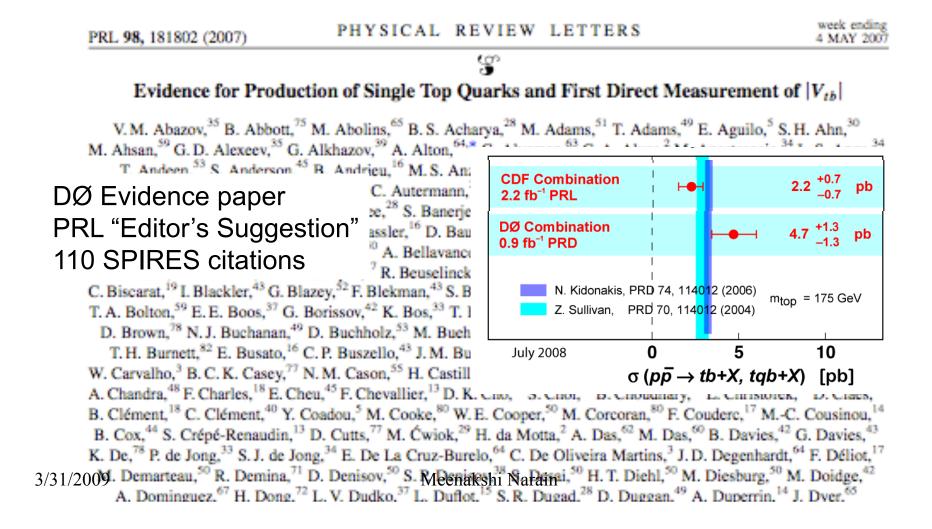




s-channel

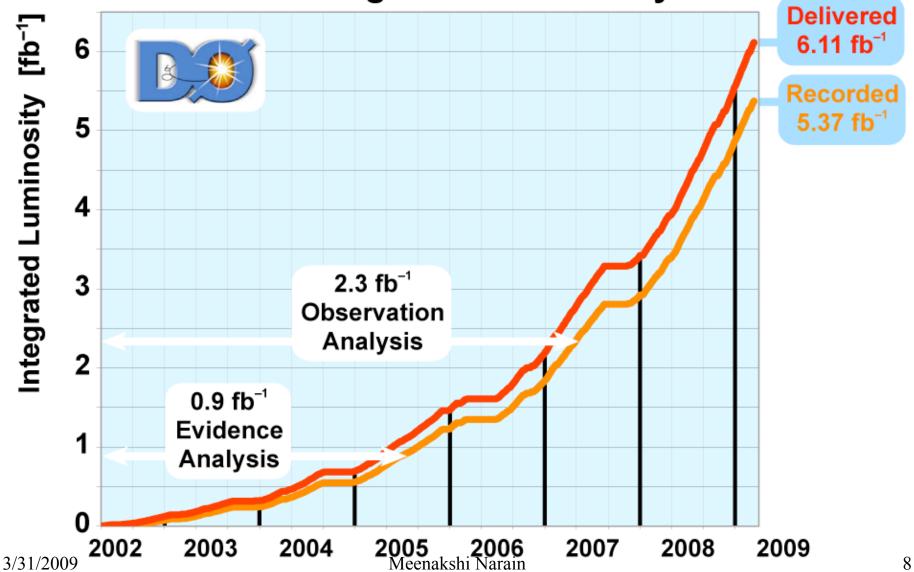
2006

• D0 announces evidence for single top production

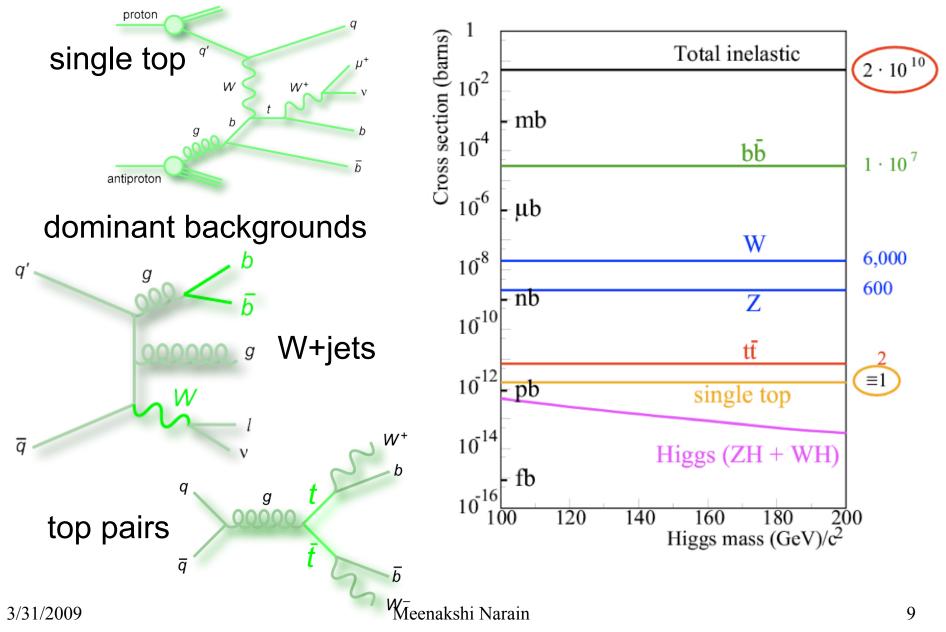


event selection

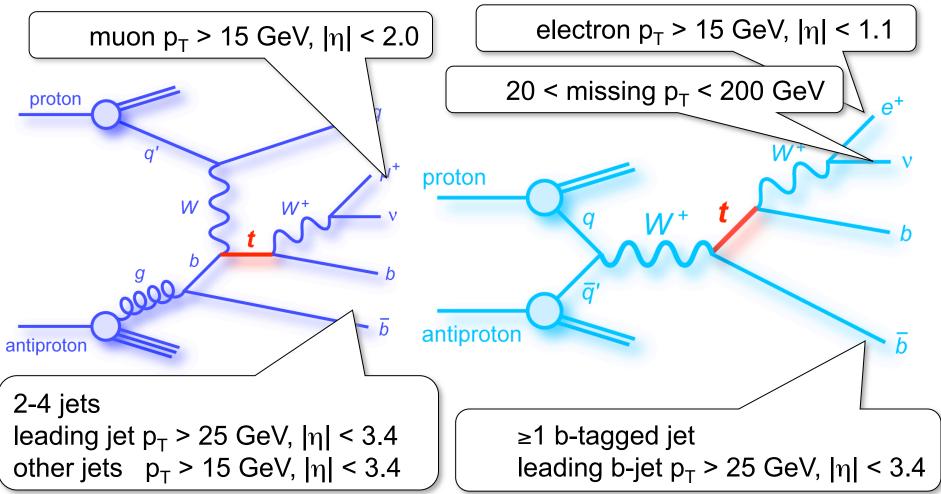
Run II Integrated Luminosity



event selection



event selection



24 channels:

2 running periods × 2 lepton flavors × 3 jet multiplicities × 2 b-tag multiplicities 3/24/2009 Meenakshi Narain

event counts – first selection

- All object level selection cuts
- No btagging.

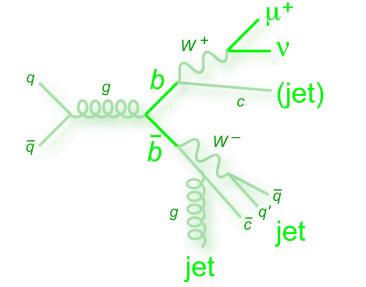
- expected signal
- backgrounds

observed

Event Yields in 2.3 fb ⁻¹ of DØ Data		
e,µ, 2,3,4-jets, pretag		
tb + tqb	444	
W+jets	98,444	
Z+jets, dibosons	8,631	
<i>t</i> t pairs	1,895	
Multijets	5,798	
Total background 114,777		
Data	114,777	

modeling of backgrounds:

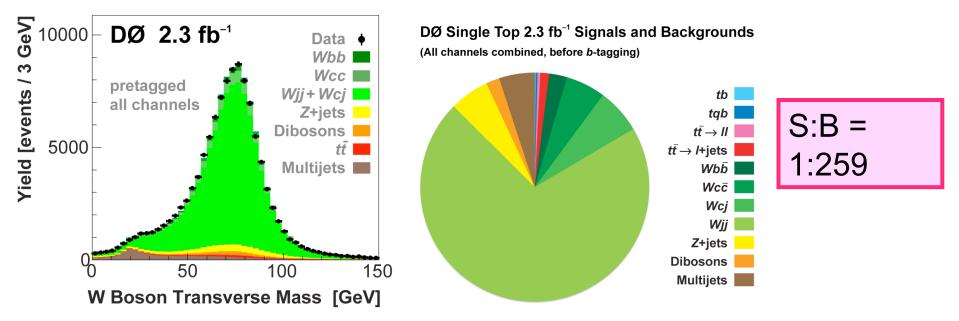
• W+jets:



- modeled using ALPGEN
 - PYTHIA for parton hadronization
 - MLM parton-jet matching avoids double-counting final states
- η(jets), Δφ(jet1,jet2), Δη(jet1,jet2) corrected to match data

1. bkg normalization pre b-tagging

dominant background: W+jets

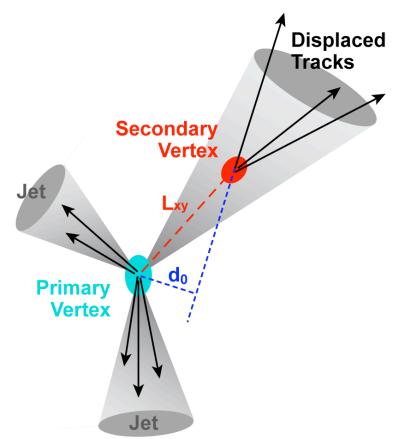


• Overall normalization for Wjets/mis-id determined by using iterative template fits to data using three sensitive variables: $p_T(I)$, $M_T(W)$ and missing E_T

$$N_{\text{pretag}}^{\text{data}} - N_{\text{bkgd}}^{\text{MC}} = S_{\text{W+jets}} N_{\text{W+jets}}^{\text{MC}} + S_{\text{multijet}} N_{\text{multijet}}^{\text{data}}$$
3/31/2009 Meenakshi Narain

b-Jet Identification

- separate *b*-jets from light-quark and gluon jets to reject most W +jets background
- use neural network algorithm
 - based on impact parameter and reconstructed vertex variables
- uncertainties dominated by variation in data samples used to measure the efficiencies.
 - smaller contribution from MC sample dependence



event counts – final selection:

- expected signal
- backgrounds

observed

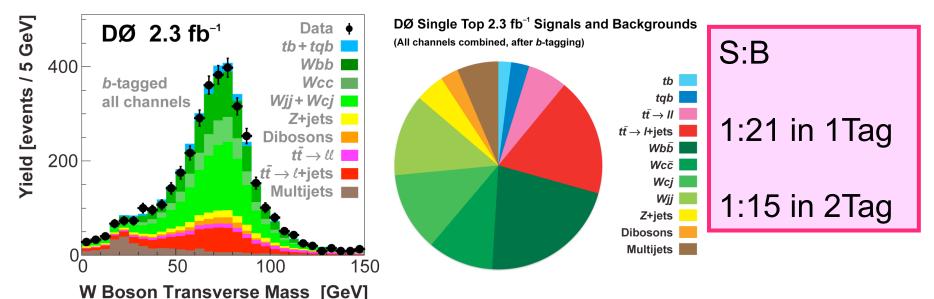
Event Yields in 2.3 fb⁻¹ of DØ Data

e,µ, 2,3,4-jets, 1,2-tags combined

tb + tqb	223 ± 30
<i>W</i> +jets	2,647 ± 241
Z+jets, dibosons	340 ± 61
<i>t</i> t pairs	1,142 ± 168
Multijets	300 ± 52
Total prediction	4,652 ± 352
Data	4,519

2. bkg norm. post b-tagging

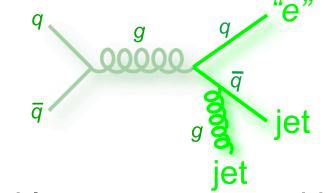
 W+HF (Wbb, Wcc, Wcj), top pair backgrounds are dominant



- W+heavy flavor correction factors
 - normalized to theory (use MCFM @ NLO)
 - 1.47 (Wbb,Wcc), 1.38 (Wcj)
 - additional empirical correction derived from two-jet data and simulation: includes zero-tag events
 - 0.95 ± 0.13 (Wbb, Wcc)

modeling of backgrounds:

• multijet instrumental background

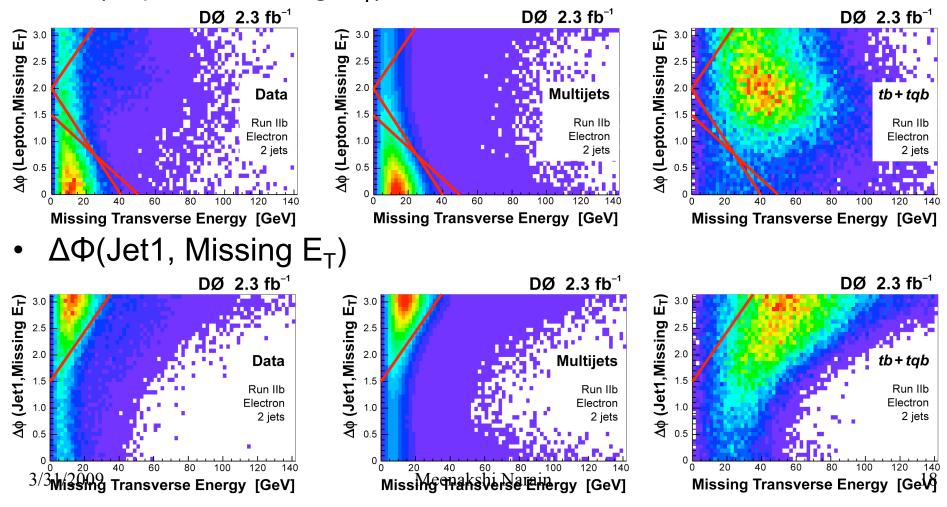


- misidentified leptons, events with semileptonic bdecays
- Estimates are data driven
- Kept small (~5%) with topological selection cuts

Event Yields in 2.3 fb ⁻¹ of DØ Data			
Electron + muon, 1 tag + 2 tags combined			
Source	2 jets	3 jets	4 jets
Multijets	196 ± 50	73 ± 17	30 ± 6
• • • • •			

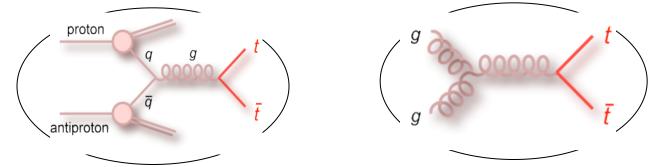
reducing multijet background

- employ cut on H_T . Exploit correlations between missing E_T and the directions of leptons, jets in the event.
- $\Delta \Phi$ (Lepton, Missing E_T)



modeling of backgrounds:

• top pair production:



•	Event Yields in 2.3 fb ⁻¹ of DØ Data Electron + muon, 1 tag + 2 tags combined			
•				
•	Source	2 jets	3 jets	4 jets
•	$t\bar{t} \rightarrow \ell \ell$	149 ± 23	105 ± 16	32 ± 6
	$t\bar{t} \rightarrow \ell + jets$	72 ± 13	331 ± 51	452 ± 66

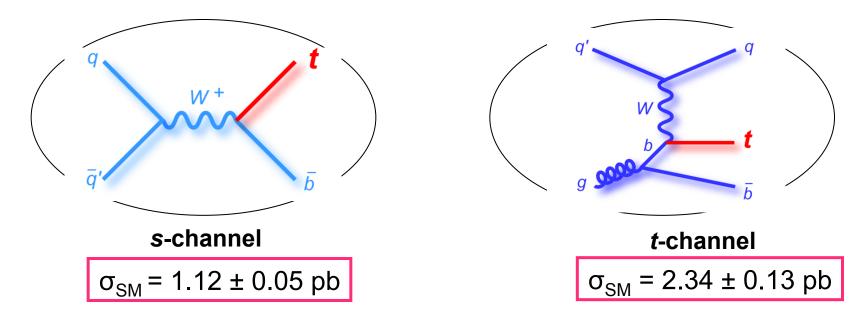
modeling of backgrounds:

- Z+jets:
 - modeled using ALPGEN + PYTHIA hadronization
 - Z+ heavy flavor corrected to theory, with ±14% uncertainty
- Dibosons
 - modeled using PYTHIA
 - Normalized to theory cross sections

Event Yields in 2.3 fb ⁻¹ of DØ Data			
Electron + muon, 1 tag + 2 tags combined			
Source	2 jets	3 jets	4 jets
Z+jets	141 ± 33	54 ± 14	17 ± 5
Dibosons	89 ± 11	32 ± 5	9 ± 2

modeling of signal

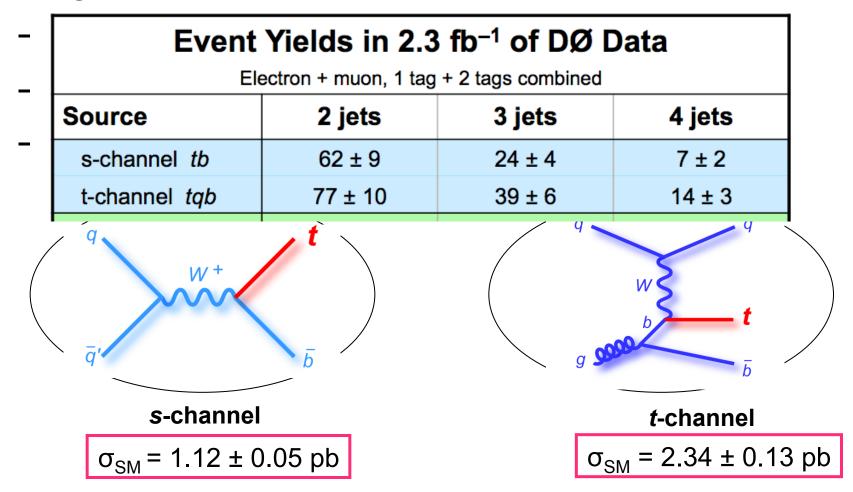
- Single top production:
 - Use SINGLETOP MC, based on COMPHEP
 - Reproduces NLO kinematic distributions
 - Use PYTHIA for parton hadronization



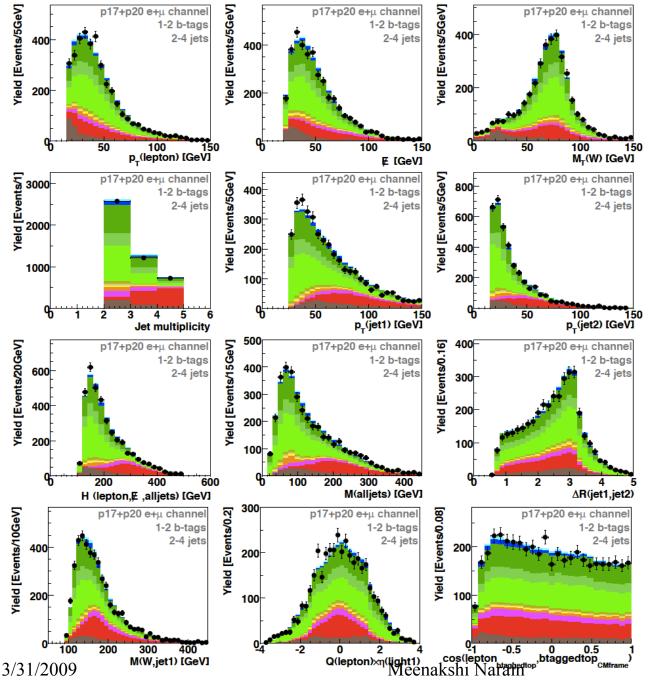
cross sections from N. Kidonakis , PRD 74, 114012 (2006), for m_t =170 GeV 3/31/2009 Meenakshi Narain

modeling of signal

• Single top production:



cross sections from N. Kidonakis , PRD 74, 114012 (2006), for m_t =170 GeV 3/31/2009 Meenakshi Narain



Data/MC agreement (for all channels combined)



background model validation

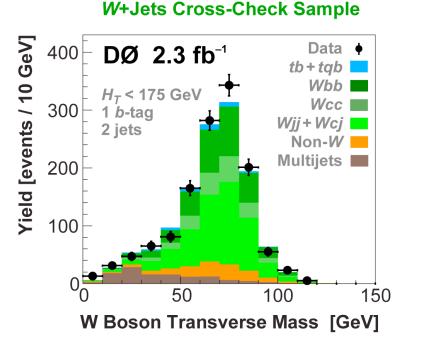
 Selected to test background model in regions dominated by one type of background: W+jets or Top Pairs

W + JETS

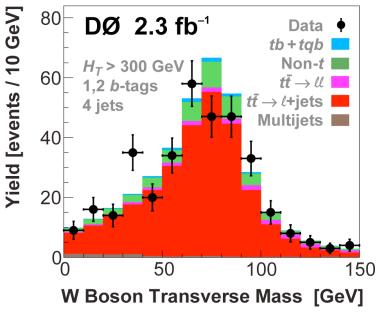
- 2 jets, 1 *b*-tagged jet
- H_T(I, ∉_T, allJets) < 175 GeV
 H_T(I, E_f, allJets) > 300 GeV

Top Pairs

- 4 jets, 1 or 2 b-tagged jets



tt-Pairs Cross-Check Sample



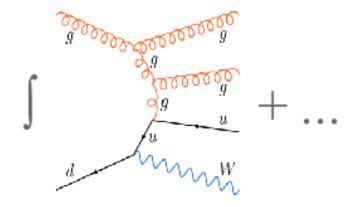
- method pioneered by DØ for top quark mass measurement
- use 4-vectors of all reconstructed leptons and jets
- use matrix elements of main signal and background processes
- compute a discriminant

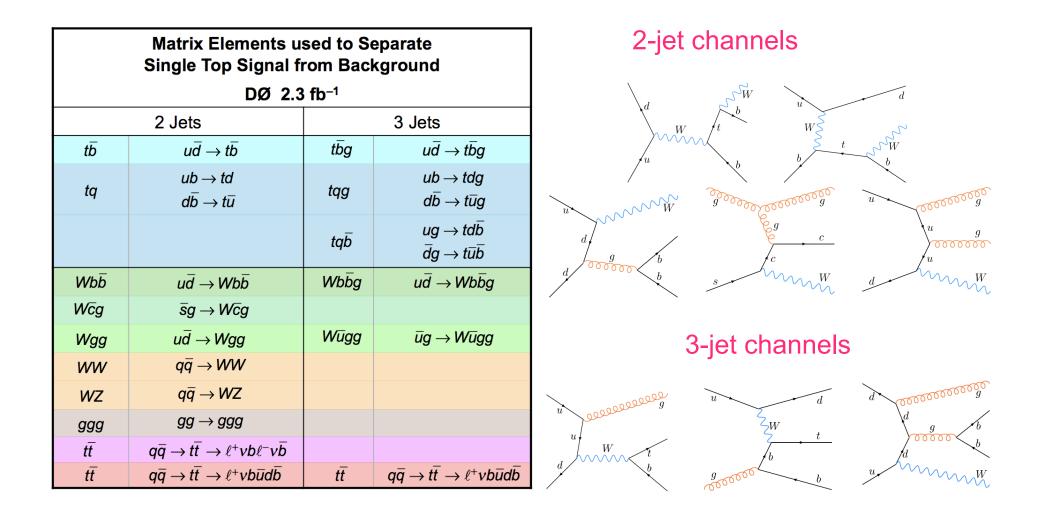
$$D_s(ec{x}) = P(S|ec{x}) = rac{P_{Signal}(ec{x})}{P_{Signal}(ec{x}) + P_{Background}(ec{x})}$$

define P_{signal} as a normalized differential cross section:

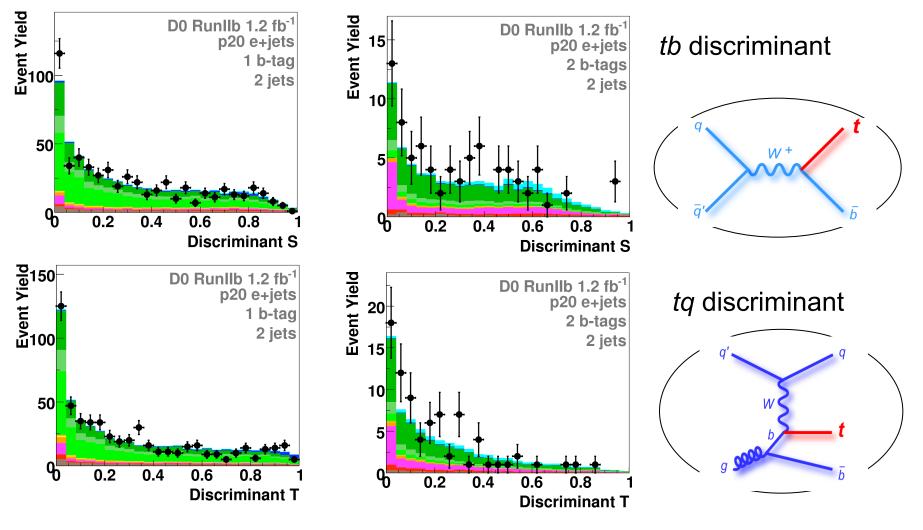
$$P_{Signal}(ec{x}) = rac{1}{\sigma_S} d\sigma_S(ec{x}) \quad \sigma_S = \int d\sigma_S(ec{x})$$

- performed in 2-jets and 3-jets channels only
- split the sample in high and low H_T (W+jets and top quark pair dominated regions) improves the performance
- response verified with ensembles
 - linearity, unit slope, near-zero intercept



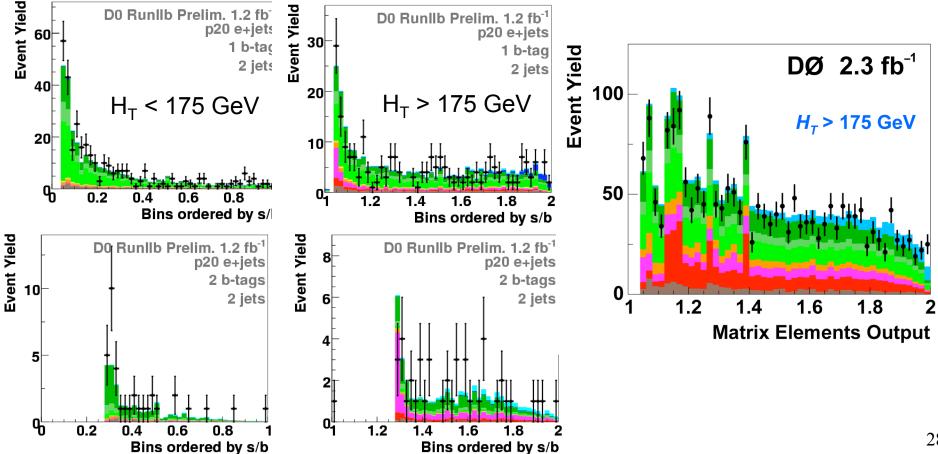


2-jet channels

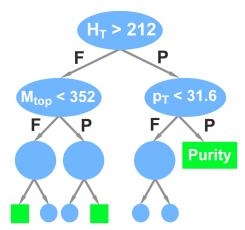


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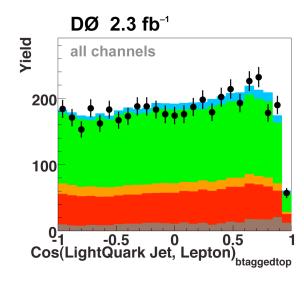
- starting from 2dim S vs T discriminant, ullet
 - rebin to ensure enough background evts in each bin
 - re-order bins according to highest-to-lowest signal:background to obtain the 1dim tb+tqb discriminant; split according to H_{T} .



- decision trees
 - idea: recover events that fail a cut
 - successively find cuts with best separation between signal and background
 - repeat recursively on each branch
 - stop when no further improvement or when too few events are left
 - terminal node is called a "leaf"
 - decision tree output = leaf purity
- adaptive boosting
 - technique to improve any weak classifier
 - used with decision trees by GLAST and MiniBooNE
 - train a tree
 - increase weight of misclassified events
 - train again
 - average over 50 boosting cycles
 - dilutes the discrete nature of the output and improves the performance

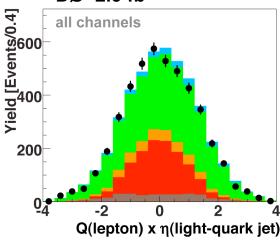


discriminating variable categories

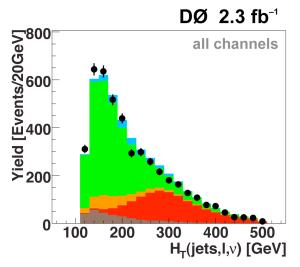


ANGULAR CORRELATIONS

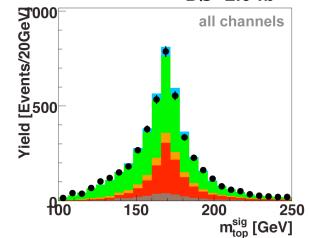
DØ 2.3 fb⁻¹



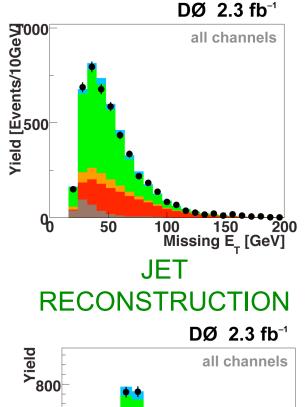
EVENT KINEMATICS

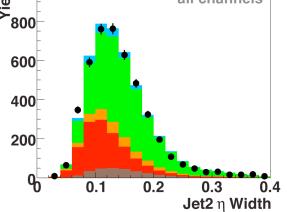


TOP QUARK RECONSTRUCTION DØ 2.3 fb⁻¹



OBJECT KINEMATICS





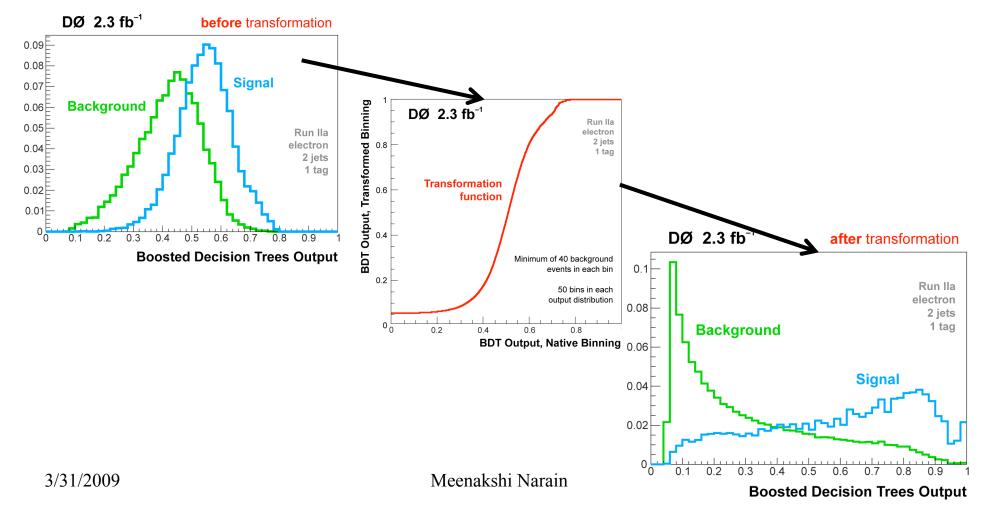
- 64 input variables
 - reducing the number of variables reduces the sensitivity of the analysis
 - start from a list of a few hundred well modeled variables
 - Use ranking to select the at most top 50 variables for each channel and eliminate duplicates to form the final list.
- use 1/3 of all signal and background events as training sample
- Train 24 trees
 - tb+tqb; e, μ
 - 2,3,4 jets; 1,2 b-tags
 - pre/post Silicon layer0 det configuration
- search for combined tb+tqb

Best Variables to Separate Single Top from W+Jets

DØ 2.3 fb ⁻¹ Analysis		
Object kinematics	¢τ	
	ρ ₇ (jet2)	
	ρ ₇ ^{rel} (jet1,tag-μ)	
	E(light1)	
Event kinematics	M(jet1,jet2)	
	$M_{T}(W)$	
	H_{T} (lepton, $\not{\!\! E}_{T}$,jet1,jet2)	
	H _τ (jet1,jet2)	
	$H_{T}(\text{lepton}, \not{\!\!\!E}_{T})$	
Jet reconstruction	Width _o (jet2)	
	Width _n (jet2)	
Top quark reconstruction	M _{top} (W,tag1)	
	$\Delta M_{ m top}^{ m min}$	
	M _{top} (W,tag1,S2)	
Angular correlations	cos(light1,lepton) _{btaggedtop}	
	$\Delta \phi$ (lepton, $\not{\!\! E}_T$)	
	Q(lepton) x η(light1)	

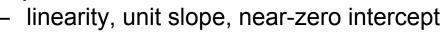
Best Variables to Separate Single Top from Top Pairs		
DØ 2.3 ft	o ⁻¹ Analysis	
Object kinematics <i>pT</i> (notbest2)		
	<i>pT</i> (jet4)	
	pT(light2)	
Event kinematics	M(alljets-tag1)	
	Centrality(alljets)	
	M(alljets-best1)	
	H_{T} (alljets-tag1)	
	H_{T} (lepton, $\not{\!\!\! E}_{\tau}$, alljets)	
	M(alljets)	
Jet reconstruction	Width _η (jet4)	
	Width _φ (jet4)	
	Width _φ (jet2)	
Angular correlations	cos(lepton _{btaggedtop} , btaggedtop _{CMframe})	
	Q(lepton) x η(light1)	
	ΔR (jet1,jet2)	

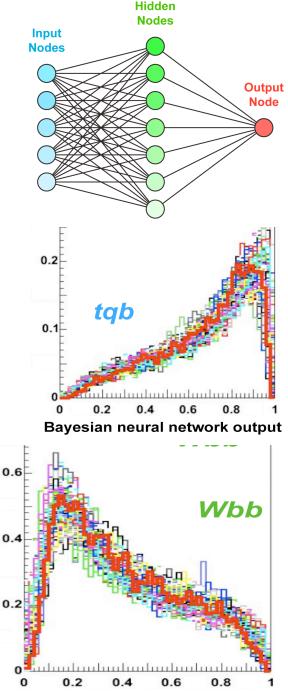
- Apply a transformation to the raw discriminant in order to ensure sufficient number of background events in each bin.
 - provides stability in the final cross section measurement calculation.



bayesian neural networks

- Neural networks are nonlinear functions
 - defined by weights associated with each node
 - weights are determined by training on signal and background samples
- Bayesian neural networks improve on this technique
 - average over many networks weighted by the probability of each network given the training samples
 - Less prone to over-training
 - Network structure is less important can use larger numbers of variables and hidden nodes
- For this analysis:
 - Uses highest ranked 18-28 variables in each channel
 - Selected from 600 variables based on KS values and importance • ranking
 - 20 hidden nodes
 - 100 training iterations
 - each iteration is the average of 20 training cycles
 - Backgrounds are combined for training
- response verified with ensembles
 - linearity, unit slope, near-zero intercept

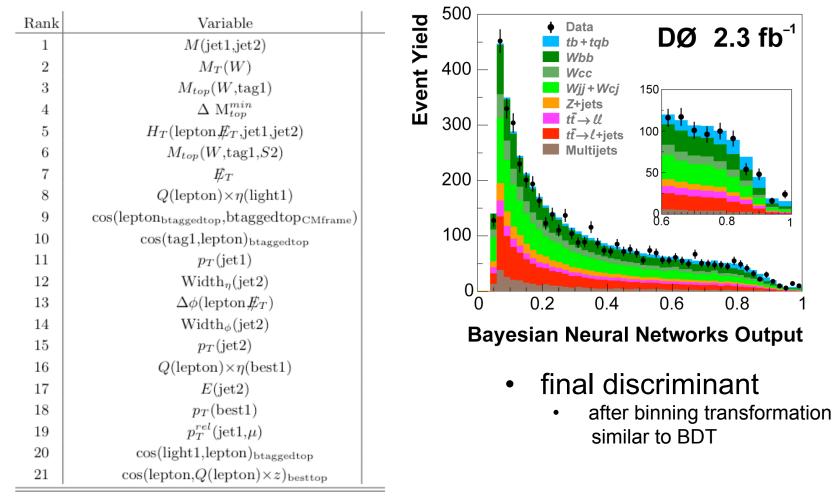




Bayesian neural network output

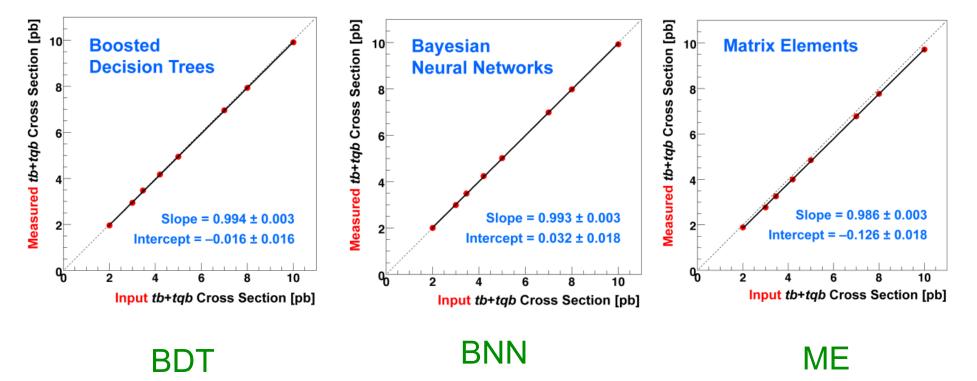
bayesian neural networks

- list of variables
 - example from one channel.



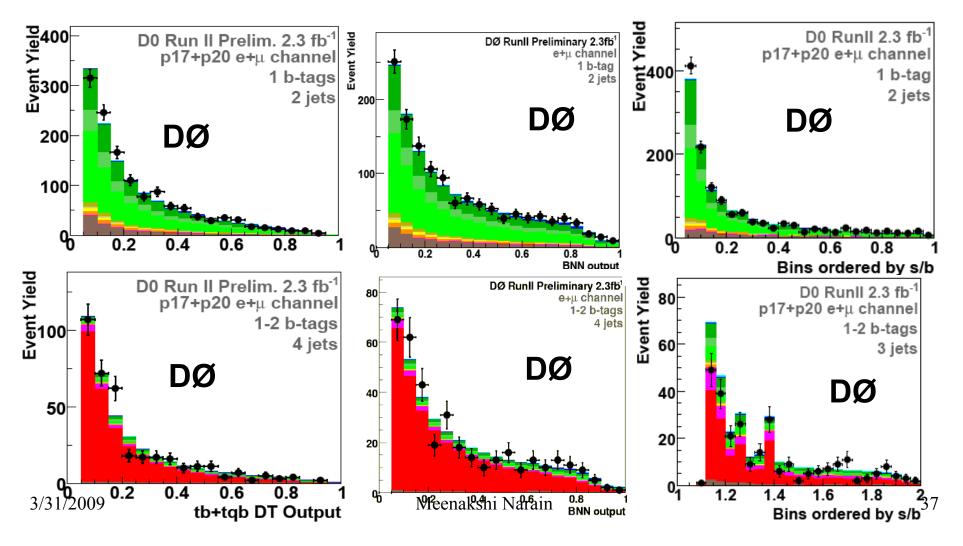
response validation

 measure response using ensembles of pseudo data experiments

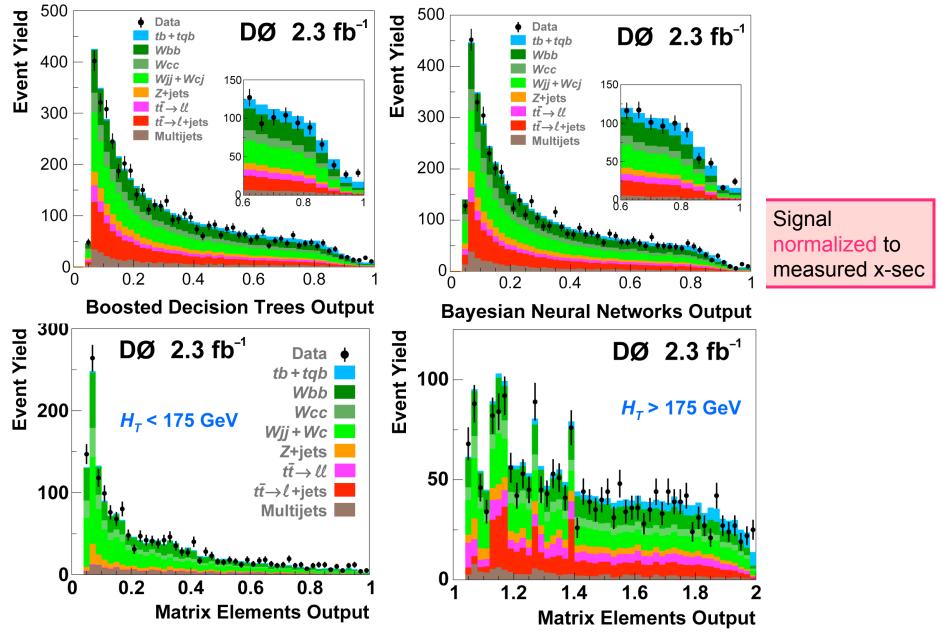


discriminant validation

- Cross check performance in background dominated regions.
 - soft W+jets: 2 jets, 1 b-tag, $H_T < 175$ GeV
 - ttbar samples/hard W+jets: 4 jets, 1-2 b-tags, $H_T > 300 \text{ GeV}$



final discriminant for the 3 methods



Systematic uncertainties

Systematic Uncertainties

Ranked from Largest to Smallest Effect on Single Top Cross Section

DØ 2.3 fb⁻¹

Larger terms

<i>b</i> -ID tag-rate functions (includes shape variations)	(2.1–7.0)% (1-tag) (9.0–11.4)% (2-tags)	
Jet energy scale (includes shape variations)	(1.1–13.1)% (signal) (0.1–2.1)% (bkgd)	
W+jets heavy-flavor correction	13.7%	
Integrated luminosity	6.1%	
Jet energy resolution	4.0%	
Initial- and final-state radiation	(0.6–12.6)%	
b-jet fragmentation	2.0%	
tt pairs theory cross section	12.7%	
Lepton identification	2.5%	
Wbb/Wcc correction ratio	5%	
Primary vertex selection	1.4%	

Systematic Uncertainties

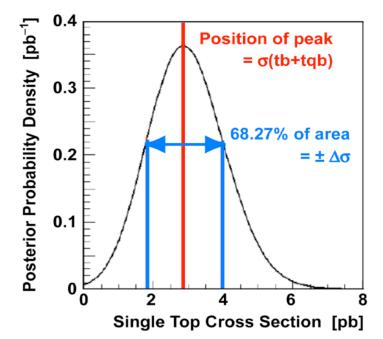
Ranked from Largest to Smallest Effect on Single Top Cross Section

DØ 2.3 fb⁻¹

Smaller terms		
Monte Carlo statistics	(0.5–16.0)%	
Jet fragmentation	(0.7–4.0)%	
Branching fractions	1.5%	
Z+jets heavy-flavor correction	13.7%	
Jet reconstruction and identification	1.0%	
Instantaneous luminosity correction	1.0%	
Parton distribution functions (signal)	3.0%	
Z+jets theory cross sections	5.8%	
W+jets and multijets normalization to data	(1.8–3.9)% (<i>W</i> +jets) (30–54)% (multijets)	
Diboson theory cross sections	5.8%	
Alpgen W+jets shape corrections	shape only	
Trigger	5%	

cross section measurement

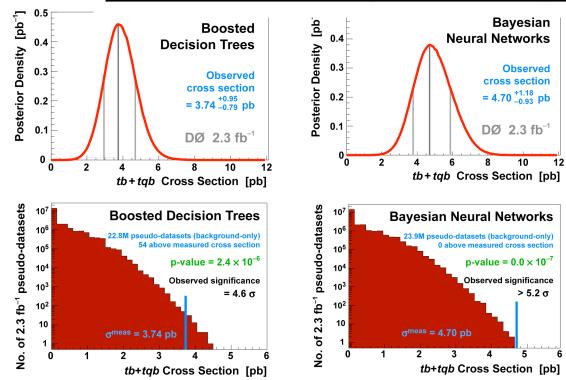
- Cross Sections are measured by building a Bayesian posterior probability density
- For each analysis, the single top cross section is given by the position of the posterior density peak, with 68% asymmetric interval as uncertainty

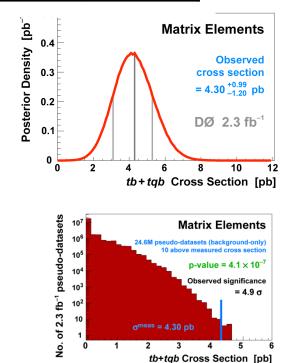


- Gaussian prior for systematic uncertainties
 - Correlations of uncertainties properly taken into account
- Flat prior in signal cross sections
- Significance derived from background-only pseudo-datasets

cross section results

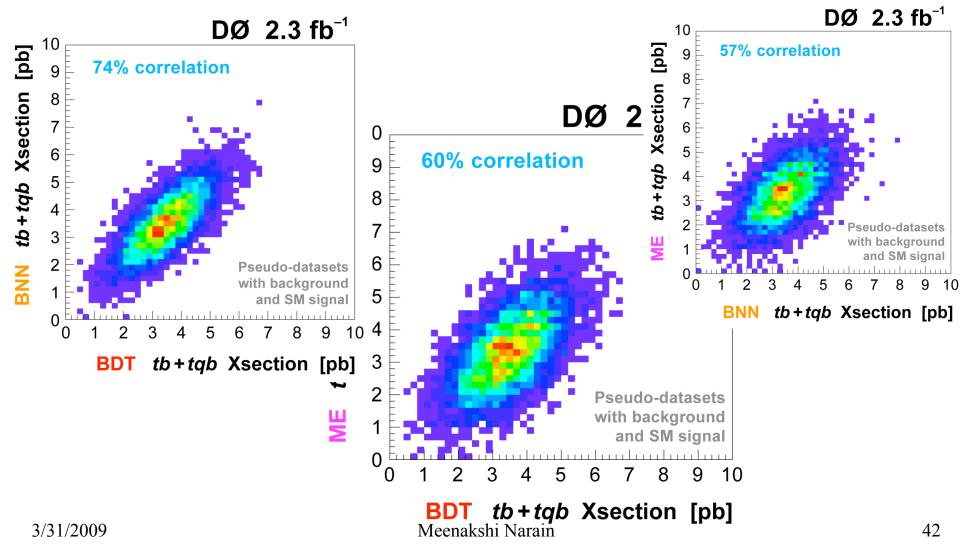
DØ 2.3 fb ⁻¹ Single Top Results				
	Single Top	Significance		
Analysis Method	Cross Section	Expected	Measured	
Boosted Decision Trees	$3.74 \ ^{+0.95}_{-0.79}$ pb	4.3 σ	4.6 σ	
Bayesian Neural Networks	4.70 ^{+1.18} _{-0.93} pb	4.1 σ	5.2 σ	
Matrix Elements	$4.30 \ ^{+0.99}_{-1.20} \ { m pb}$	4.1 σ	4.9 σ	





correlations between methods

 Even though all MVA analyses use the same data, they are not 100% correlated

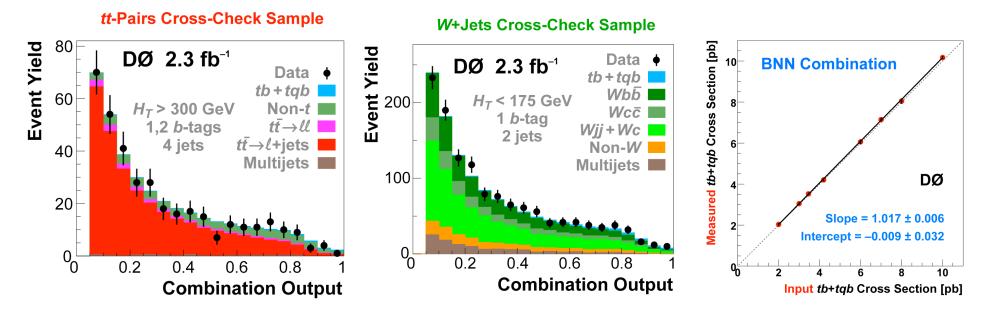


combination of results

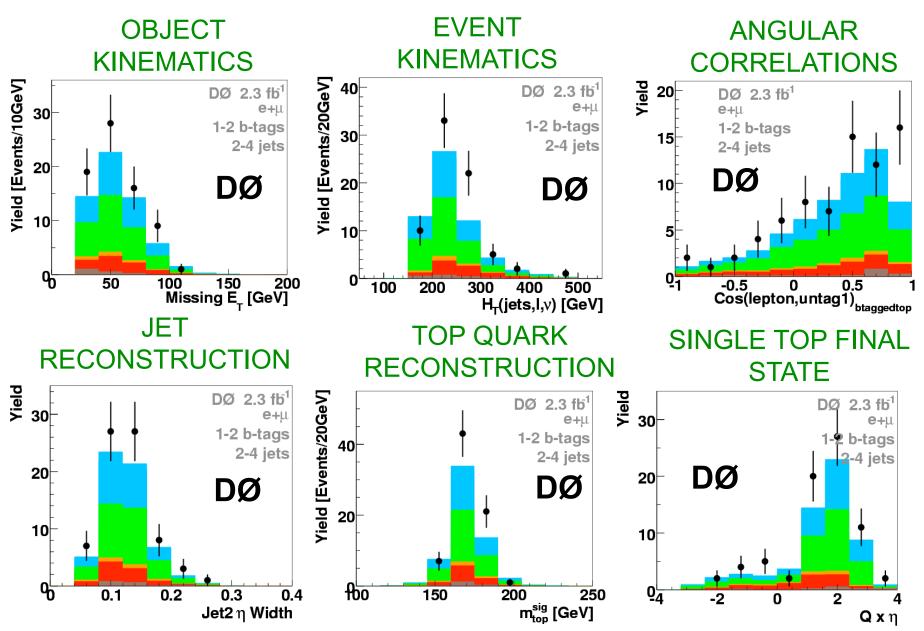
• We use a BNN to combine the three methods. The BNN takes as input variables the output discriminants of the individual methods

- Expected sensitivity for the BNN Combination: 4.5 σ
- BLUE combination (used in 2006) now presented as a cross check

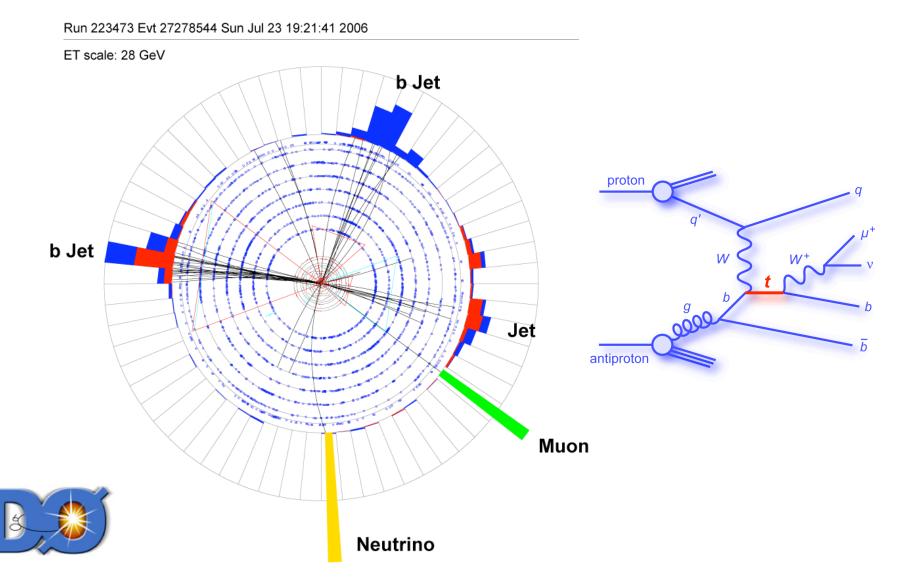
CROSS CHECK SAMPLES AND LINEARITY

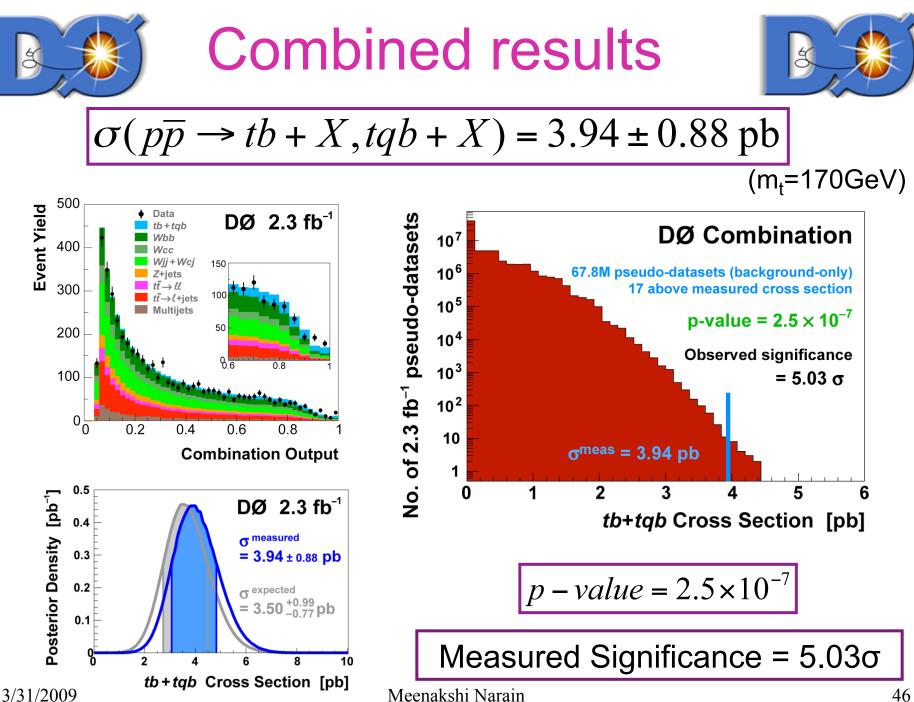


distributions for comb > 0.9



DØ Experiment Event Display Single Top Quark Candidate Event, 2.3 fb⁻¹ Analysis





Meenakshi Narain

cross section summary

1		Significance		
DØ 2.3 fb ⁻¹	March 2009	Expected	Measured	
Decision Trees	→ 3.74 ^{+0.95} _{-0.79} pb	4.3 σ	4.6 σ	
Bayesian NNs	⊢● 4.70 ^{+1.18} pb	4.1 σ	5.2 σ	
Matrix Elements	● 4.30 ^{+0.99} pb	4.1 σ	4.9 σ	
BLUE Combination	- - 4.16 ±0.84 pb			
BNN Combination	● 3.94 ±0.88 pb	4.5 σ	5.0 σ	
N. Kidonakis, PRD 74, 1				
		-		
$\sigma (p\bar{p} \rightarrow tb + X, tqb + X) $ [pb]				

CKM matrix element Vtb

$$\begin{pmatrix} d'\\ s'\\ b' \end{pmatrix} = V_{CKM} \begin{pmatrix} d\\ s\\ b \end{pmatrix} \qquad V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub}\\ V_{cd} & V_{cs} & V_{cb}\\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \qquad t \qquad V_{tb} \qquad b$$

- Weak interaction eigenstates and mass eigenstates are not the same: there is mixing between quarks, described by CKM matrix
- general form of Wtb vertex:

$$\Gamma^{\mu}_{Wtb} = -\frac{g}{\sqrt{2}} V_{tb} \left\{ \gamma^{\mu} \left[f_{1}^{L} P_{L} + f_{1}^{R} P_{R} \right] - \frac{i\sigma^{\mu\nu}}{M_{W}} \left(p_{t} - p_{b} \right)_{\nu} \left[f_{2}^{L} P_{L} + f_{2}^{R} P_{R} \right] \right\}$$

- assume
 - sm top quark decay : $|V_{td}|^2 + |V_{ts}|^2 \le |V_{tb}|^2$
 - pure V–A : $f_1^R = 0$
 - CP conservation : $f_2^{L} = f_2^{R} = 0$
- do not assume
 - three quark families
 - CKM matrix unitarity
- (unlike for measurements using tt decays) 3/31/2009 (Meenakshi Narain

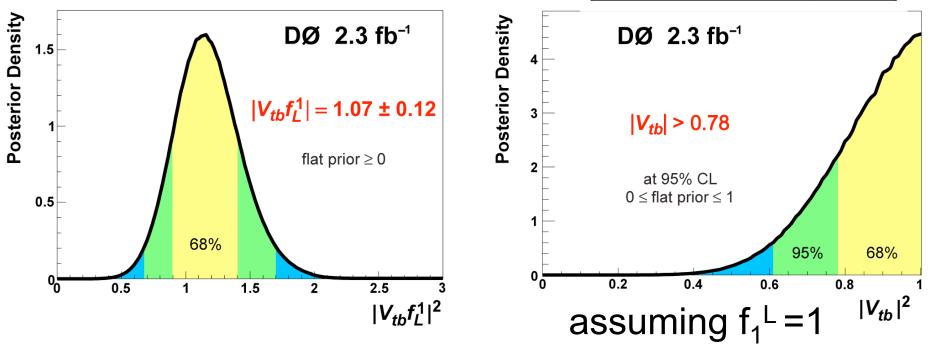
measurement of |V_{tb}|

 Use the measurement of the single top cross section to make a direct measurement of |V_{tb}|:

 σ (tb, tqb) $\propto \left| \mathbf{V}_{tb} f_1^L \right|^2$

- Calculate a posterior in $|V_{tb}f_1^L|^2$
- Measure the strength of the V–A coupling, which can be > 1

Additional Systematic Uncertainties for the V _{tb} Measurement			
DØ 2.3 fb ⁻¹			
For the <i>tb+tqb</i> theory cross section			
Top quark mass	4.2%		
Parton distribution functions	3.0%		
Factorization scale	2.4%		
Strong coupling α_s	0.5%		





conclusions



 The DØ collaboration observes single top quark production in 2.3 fb⁻¹ of Run II data

$$\sigma(p\overline{p} \rightarrow tb + X, tqb + X) = 3.94 \pm 0.88 \text{ pb}$$

Measured Significance 5.03σ

Direct measurement of |V_{tb}|

 $|V_{tb} f_1^L| = 1.07 \pm 0.12$ flat prior ≥ 0 $0.78 < |V_{tb}| < 1 @ 95\% CL$ $0 \le$ flat prior ≤ 1

http://arxiv.org/abs/0903.0850 submitted to PRL