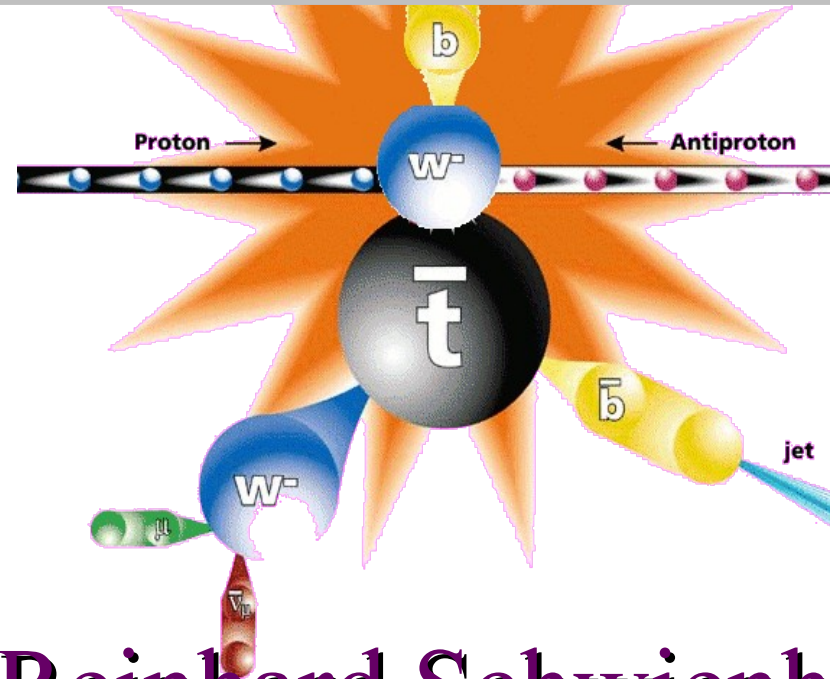


Observation of single top quark production at DØ



Reinhard Schwienhorst

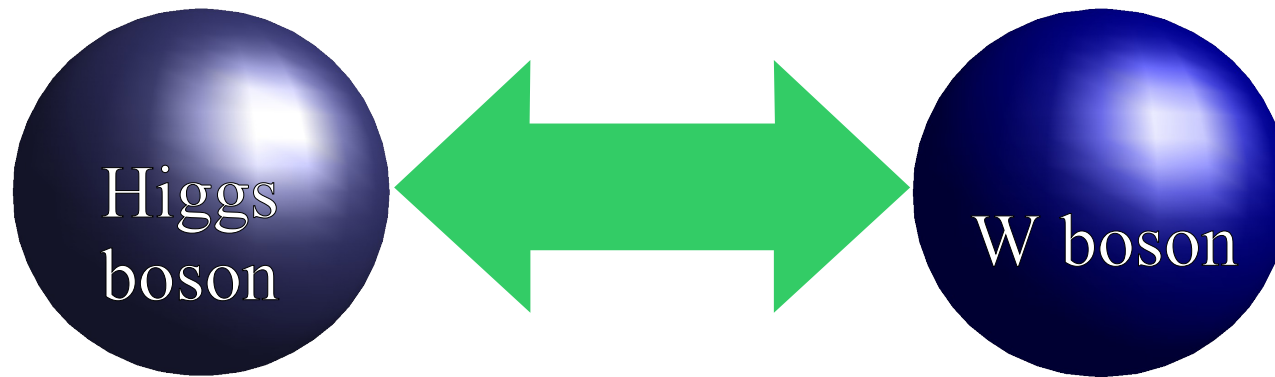


Outline

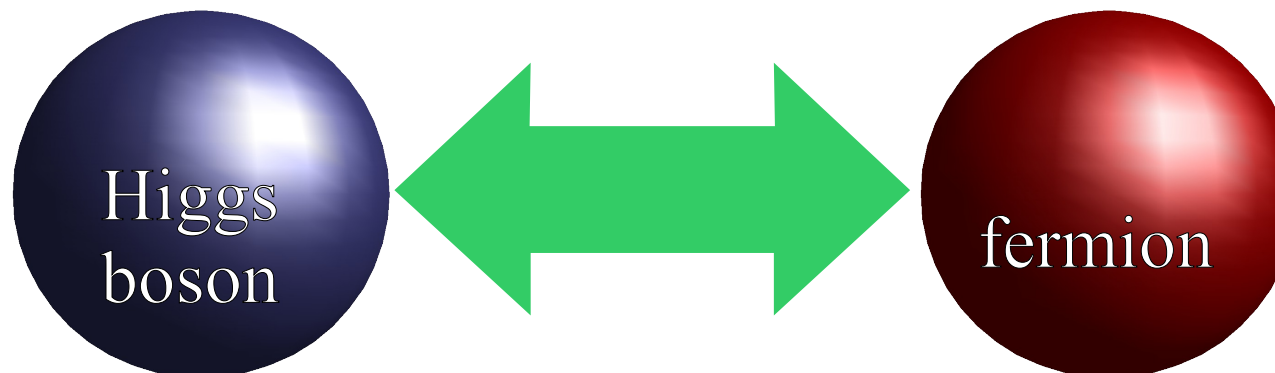
- Introduction
- Single top quark production
- Observation of single top quark production at DØ
- New physics searches
- Other experiments (CDF, LHC)
- Conclusions

Electroweak symmetry breaking

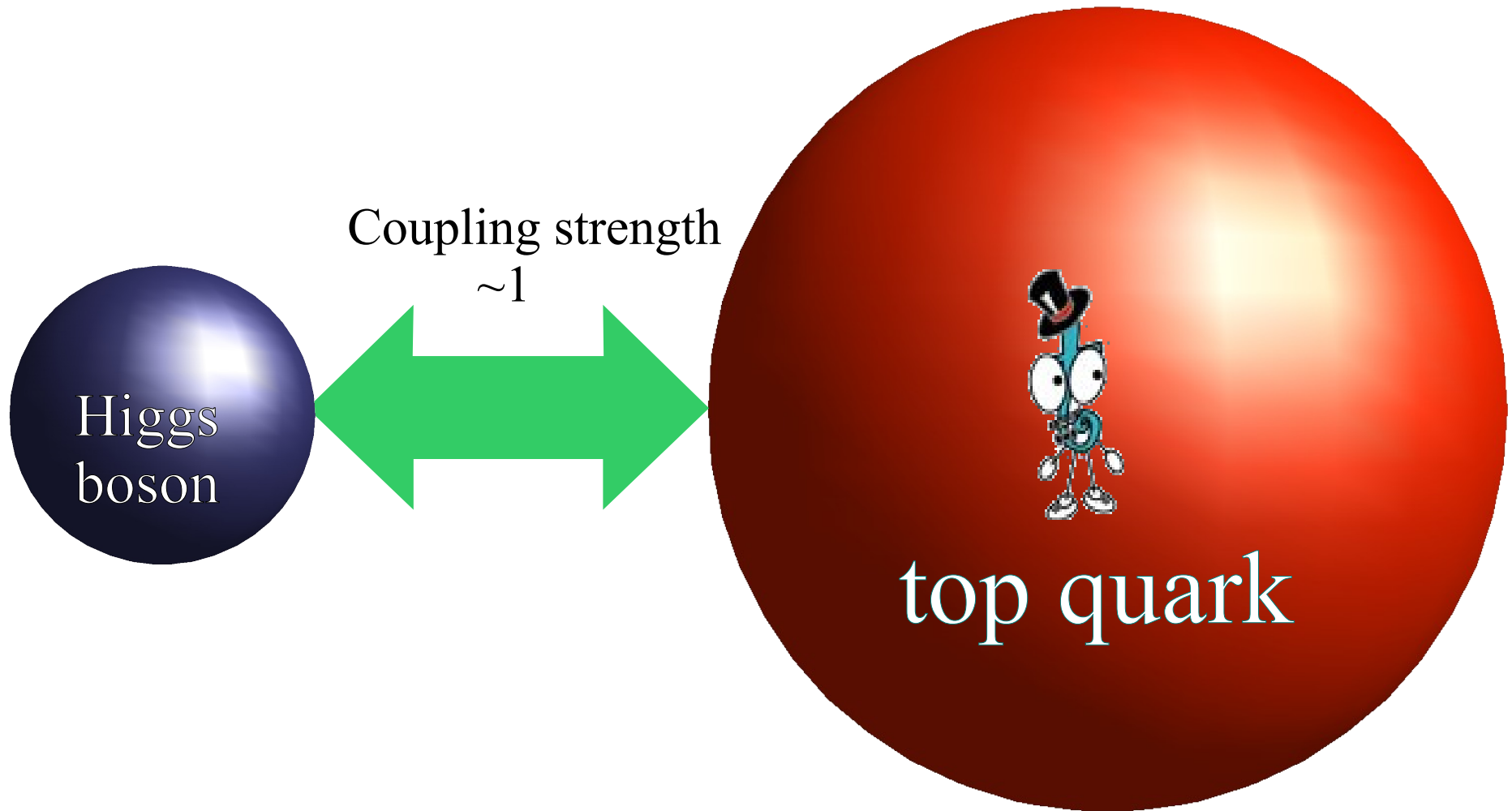
Gauge boson coupling to Higgs field



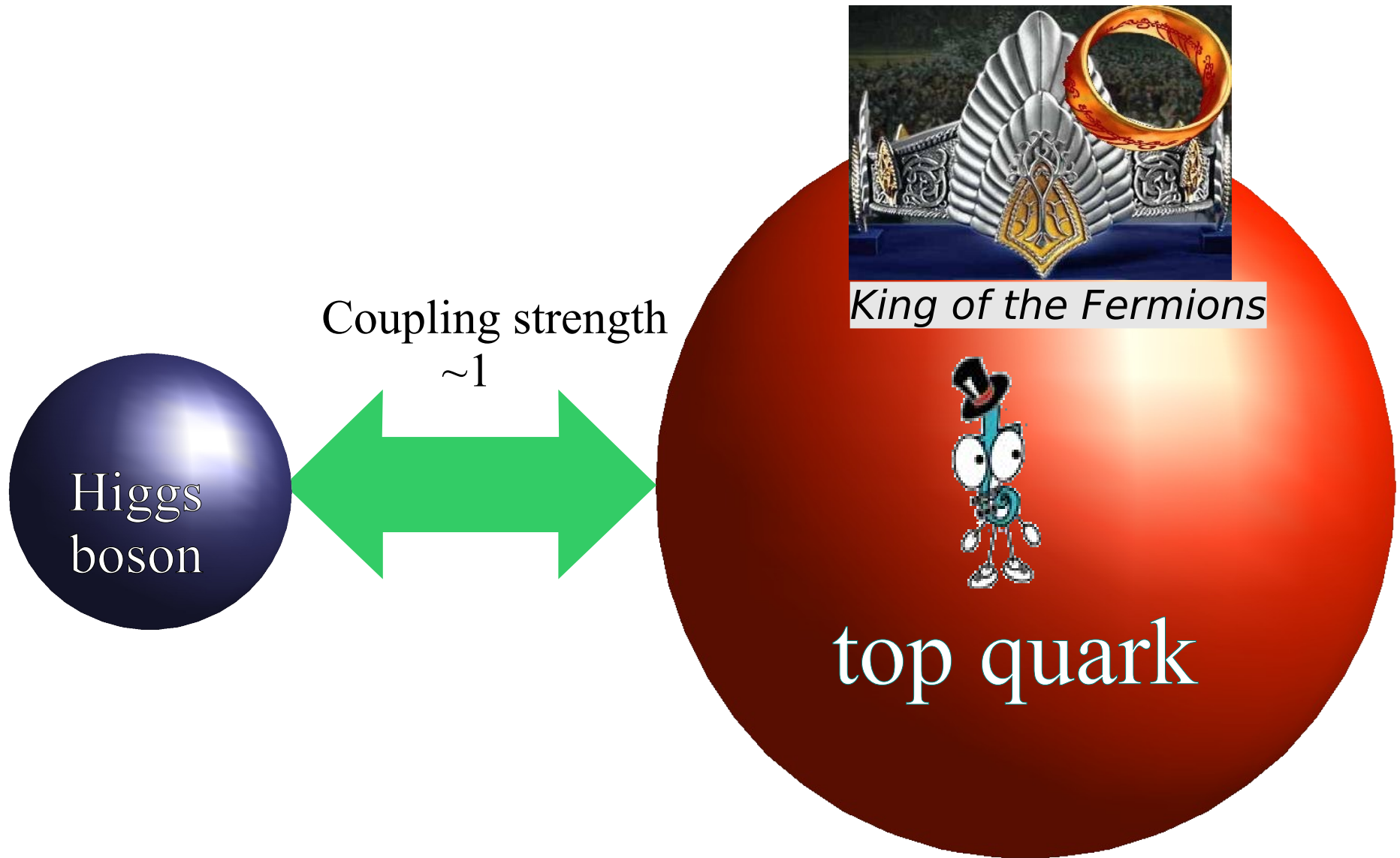
Fermions acquire mass through Higgs coupling



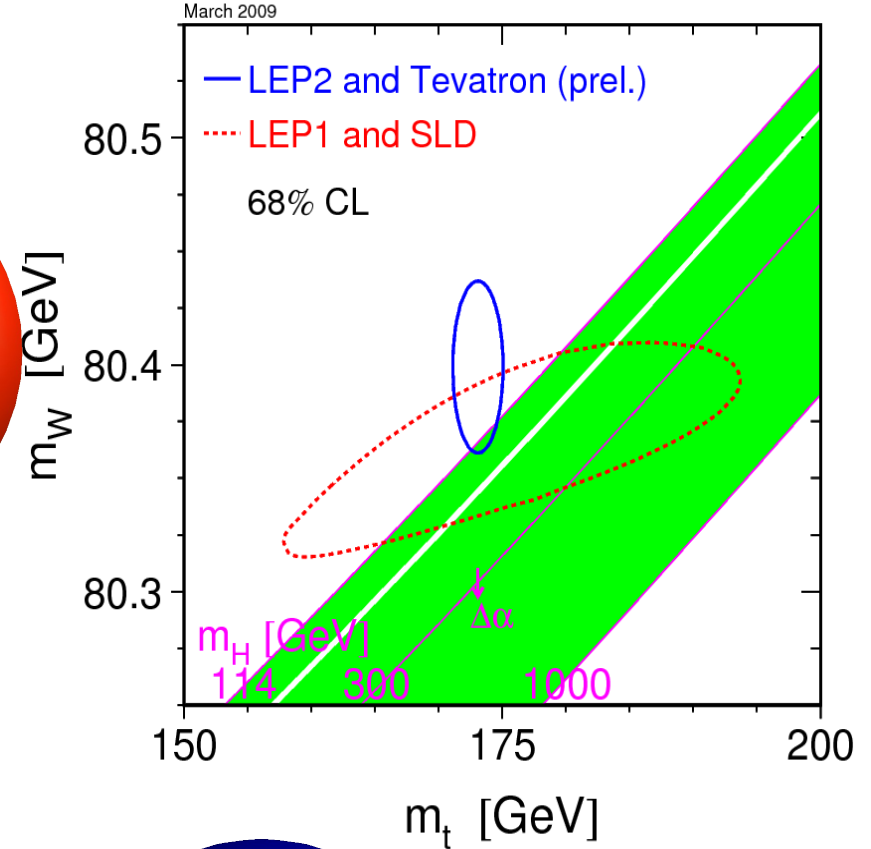
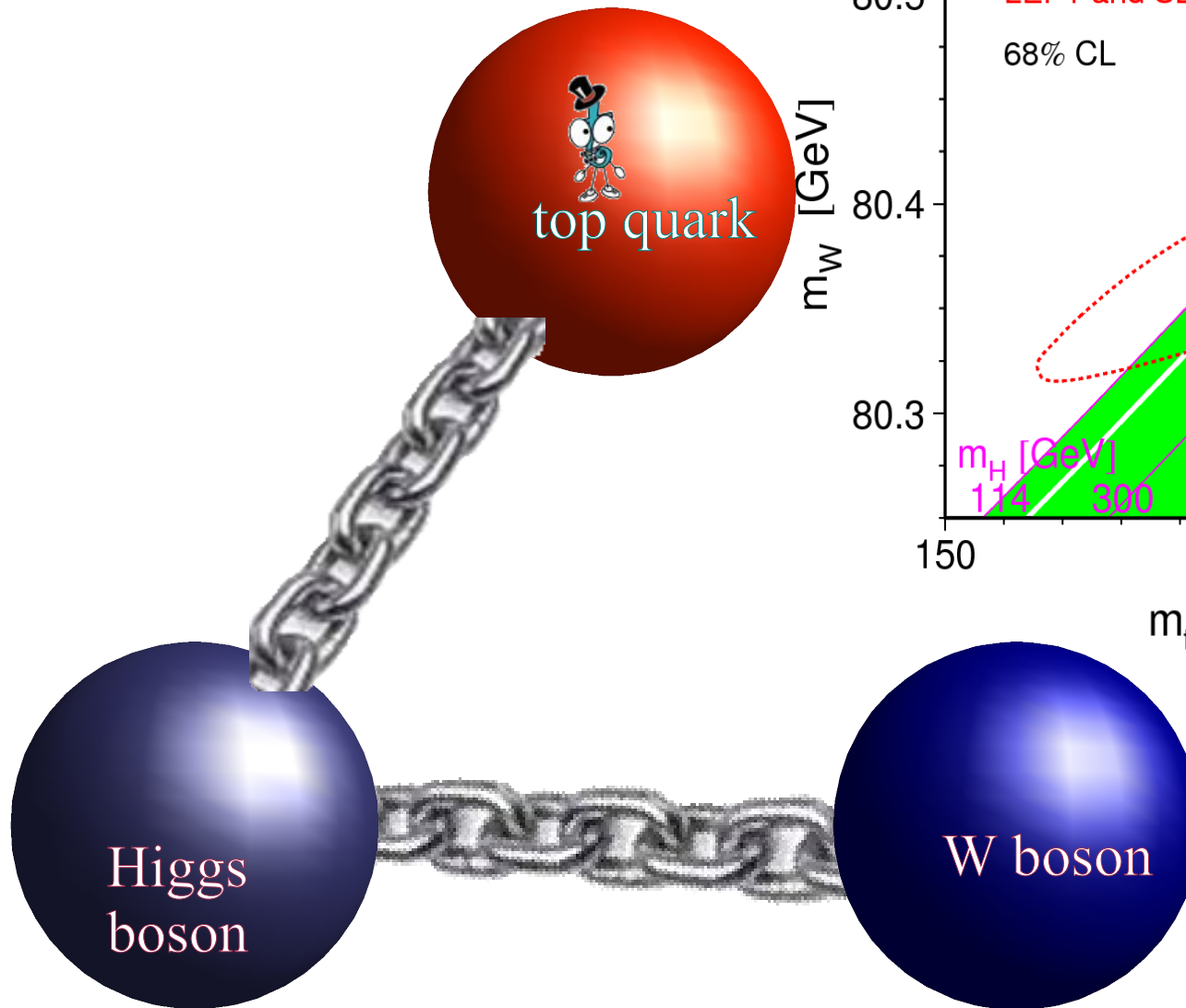
Top quark



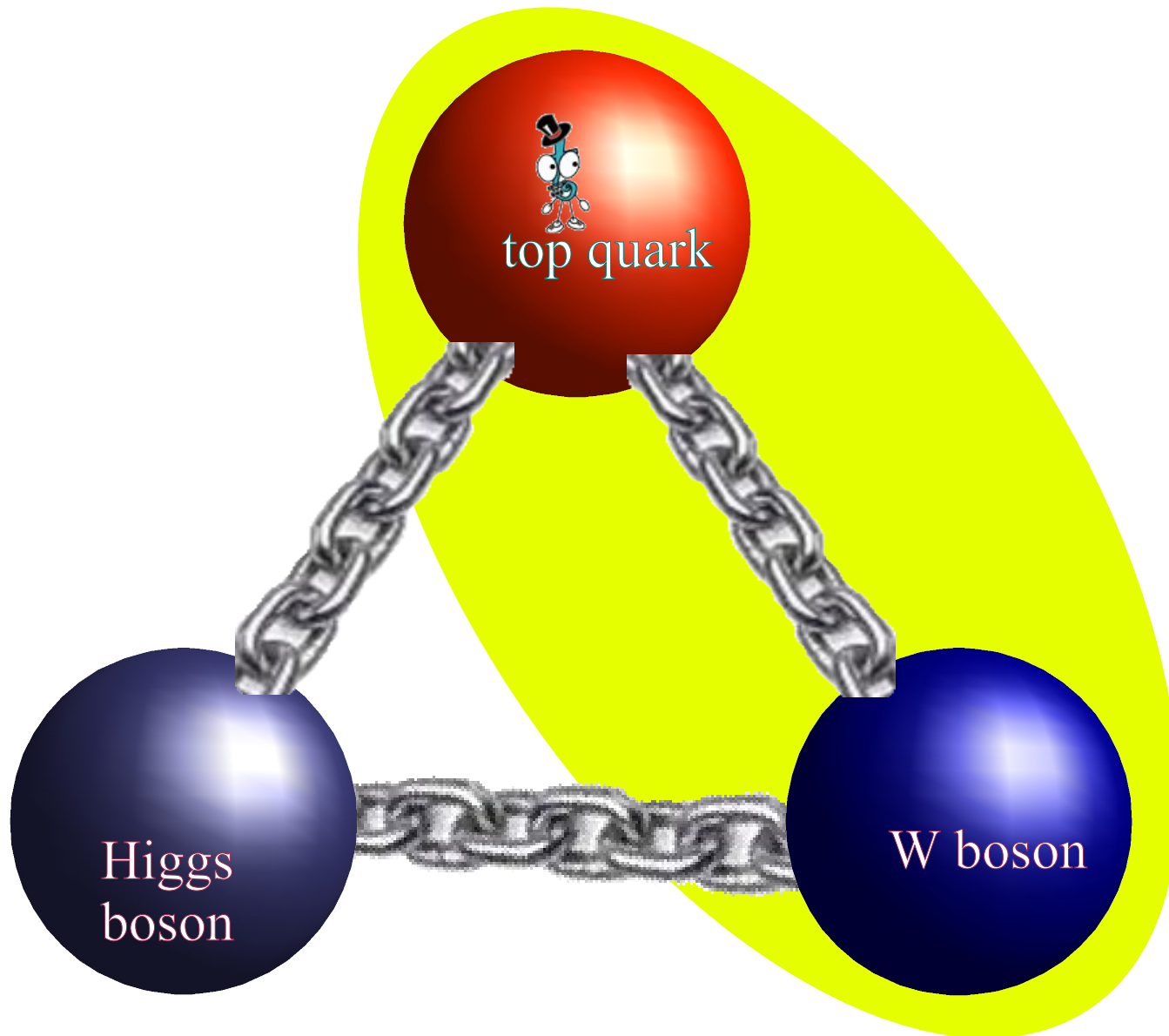
Top quark



Higgs mass estimate

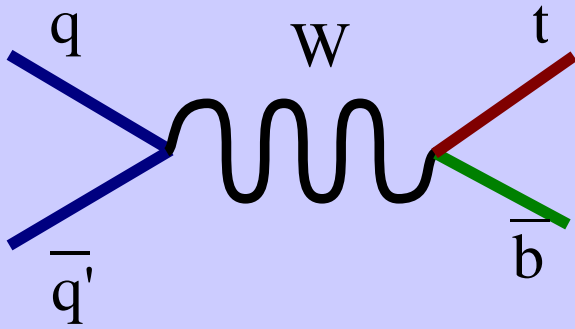


Key to electroweak symmetry breaking

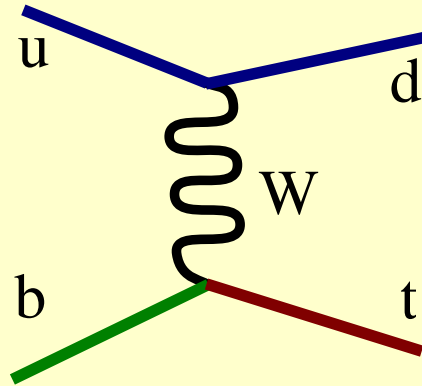


SM single top quark production

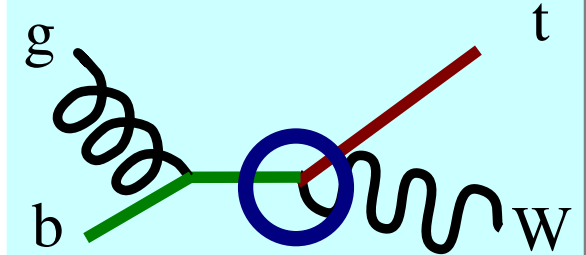
s-channel



t-channel



Associated production



Tevatron:

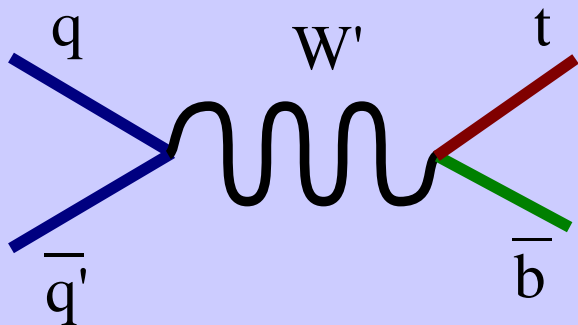
$$\sigma_{\text{tot}} = 3 \text{ pb}$$

LHC:

$$\sigma_{\text{tot}} = 326 \text{ pb}$$

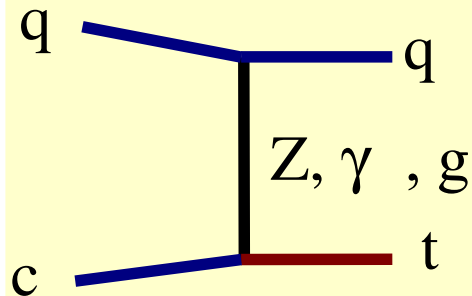
New physics

s-channel



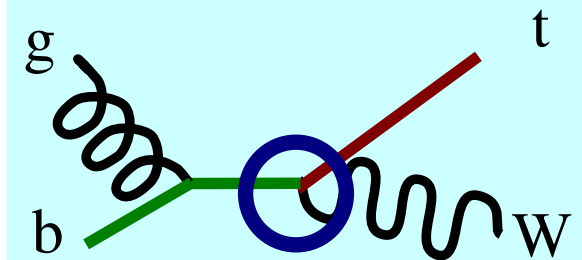
New heavy boson

t-channel



Flavor
Changing
Neutral
Current

Associated
production



Modified
 Wtb coupling

Production cross sections:

(N)NLO calculation:

($m_{\text{top}} = 170 \text{ GeV}$)

s-channel

1.12 pb ($\pm 5\%$)

t-channel

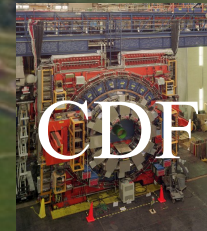
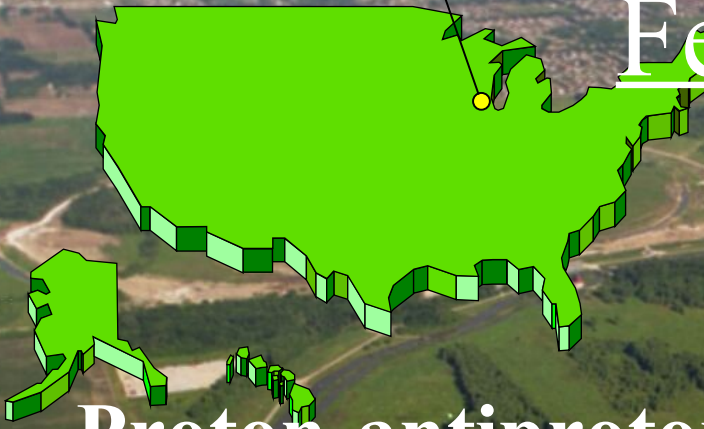
2.34 pb ($\pm 6\%$)

Tevatron single top goals

- Discover single top quark production!
- Measure production cross sections
 - CKM quark mixing matrix element V_{tb}
- Look for physics beyond the standard model
 - Coupled to the heavy top quark
- Study top quark spin correlations
- Understand as background to many other searches
- Explore analysis techniques that will also be used elsewhere

Batavia, Illinois

Experimental setup: Fermilab Tevatron in Run II



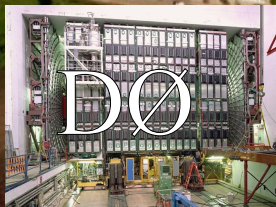
Proton-antiproton collider
CM energy 1.96TeV

→ *Energy frontier*

Instantaneous luminosity $>400 \text{E}30 \text{cm}^{-2} \text{s}^{-1}$

– >4 interactions per crossing, 1.7M crossing per second

→ *Luminosity frontier*



Fermilab single top history



Publication history

- Search: PRD 63, 031101 (2000)
- Search: PLB 517, 282 (2001)

- Search: PLB 622, 265 (2005)
- W⁺: PLB 641, 423 (2006)
- Search: PRD 75, 092007 (2007)
- Evidence: PRL 98, 181802 (2007)
- FCNC: PRL 99, 191802 (2007)
- W⁺: PRL 100, 211802 (2007)
- Evidence: PRD 78, 012005 (2008)
- Wtb: PRL 101, 221801 (2008)
- Wtb: PRL 102, 092002 (2009)
- H⁺: (PRL) arXiv:0807.0859
- Observation: (PRL) arXiv:0903.0850

Run I

Run II



- Search: PRD 65, 091102 (2002)
- W⁺: PRL 90, 081802 (2003)

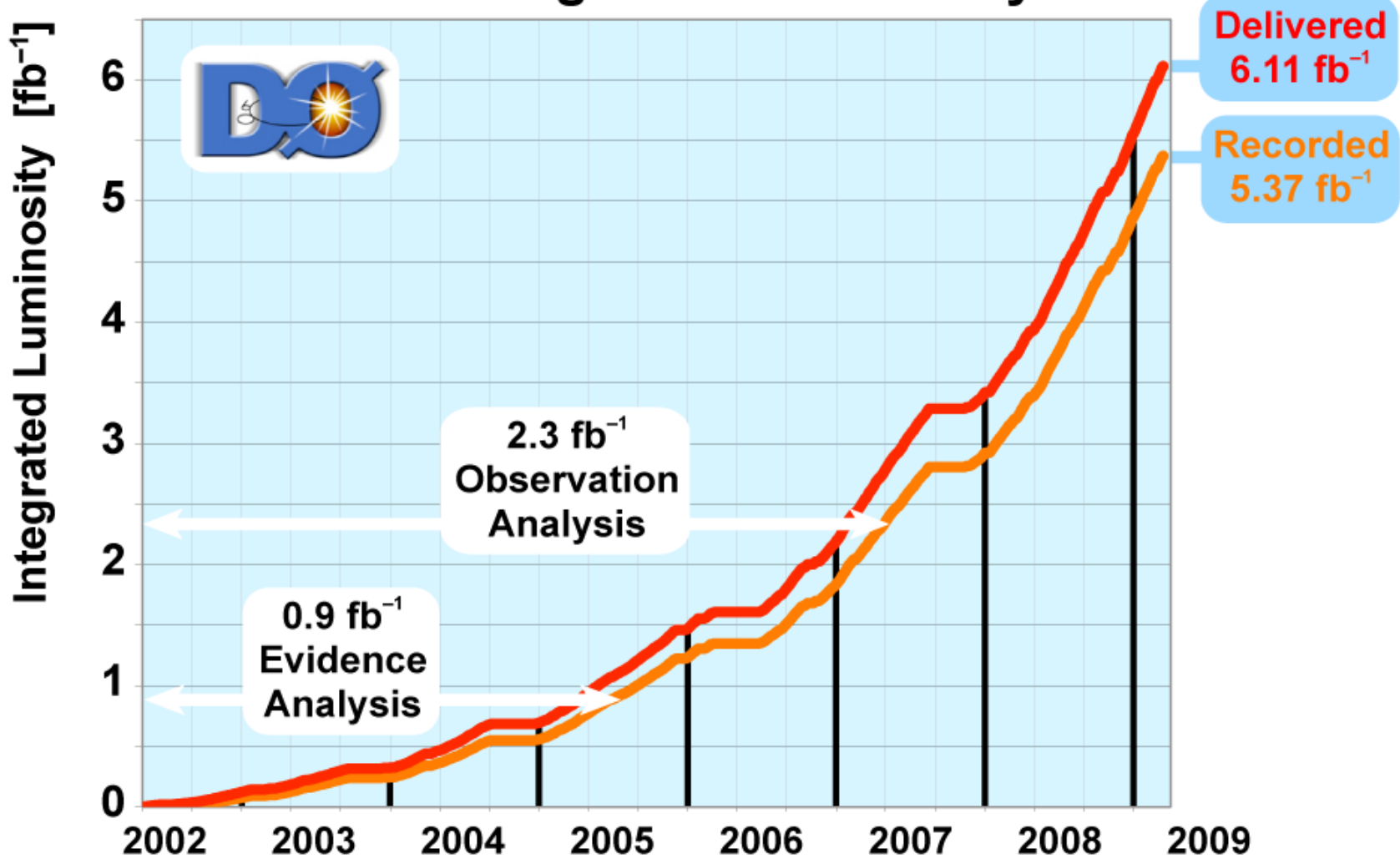
- Search: PRD 69, 052003 (2004)
- Search: PRD 71, 012005 (2005)
- Evidence: PRL 101, 252001 (2008)
- FCNC: (PRL) arXiv:0812.3400
- W⁺: (PRL) arXiv:0902.3276
- Observation: (PRL) arXiv:0903.0885

Measurement history

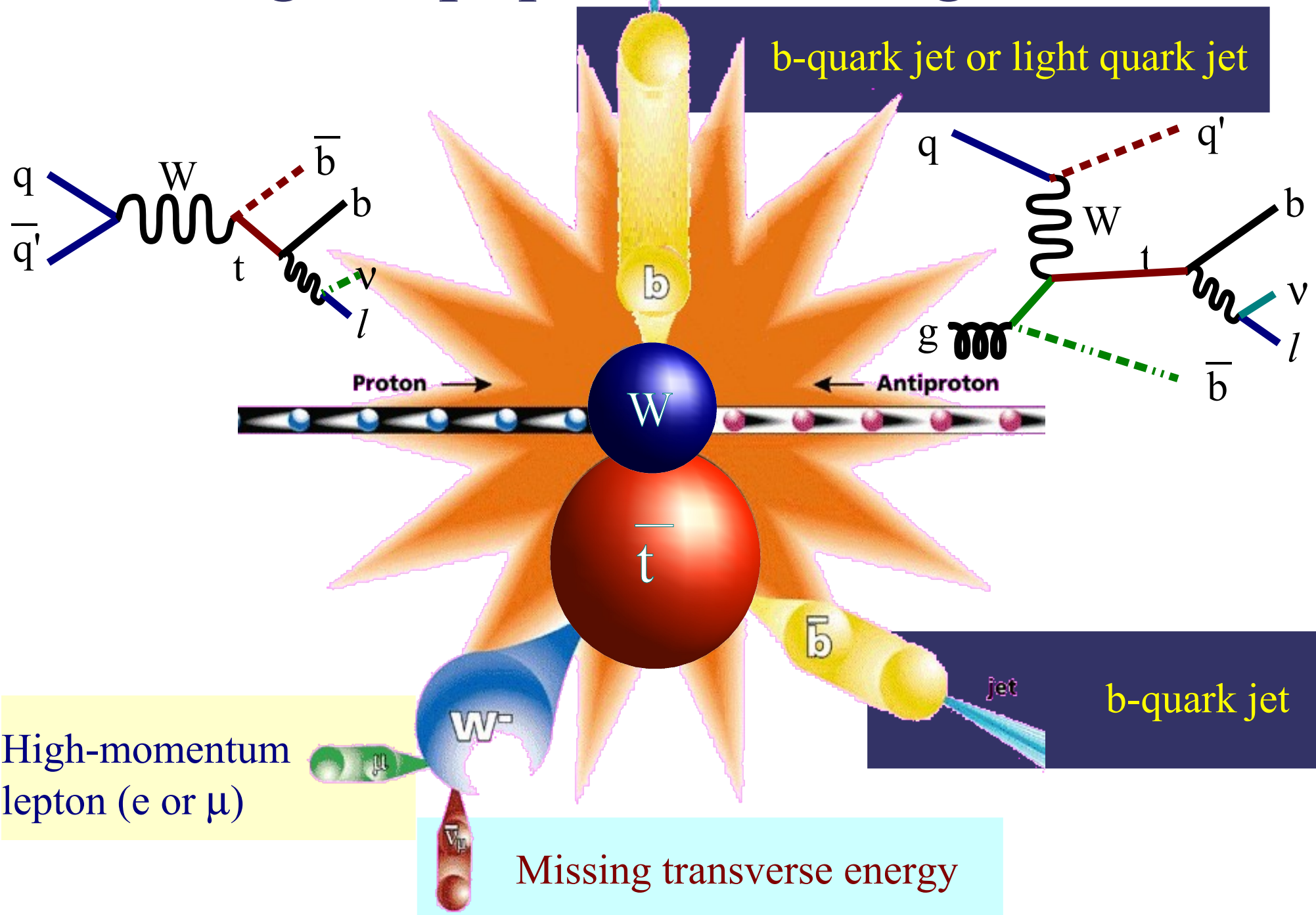


Single Top Cross Section	Signal Significance		CKM Matrix Element V_{tb}
	Expected	Observed	
December 2006 DØ (0.9 fb⁻¹)			PRL 98, 181802 (2007)
4.7 ± 1.3 pb	2.3σ	3.6σ	$ V_{tb} f_1^L = 1.31^{+0.25}_{-0.21}$ $ V_{tb} > 0.68$ at 95% CL
September 2008 CDF (2.2 fb⁻¹)			PRL 101, 252001 (2008)
2.2 ± 0.7 pb	4.9σ	3.7σ	$ V_{tb} f_1^L = 0.88^{+0.13}_{-0.12}$ $ V_{tb} > 0.66$ at 95% CL

Fermilab Tevatron Run II Integrated Luminosity



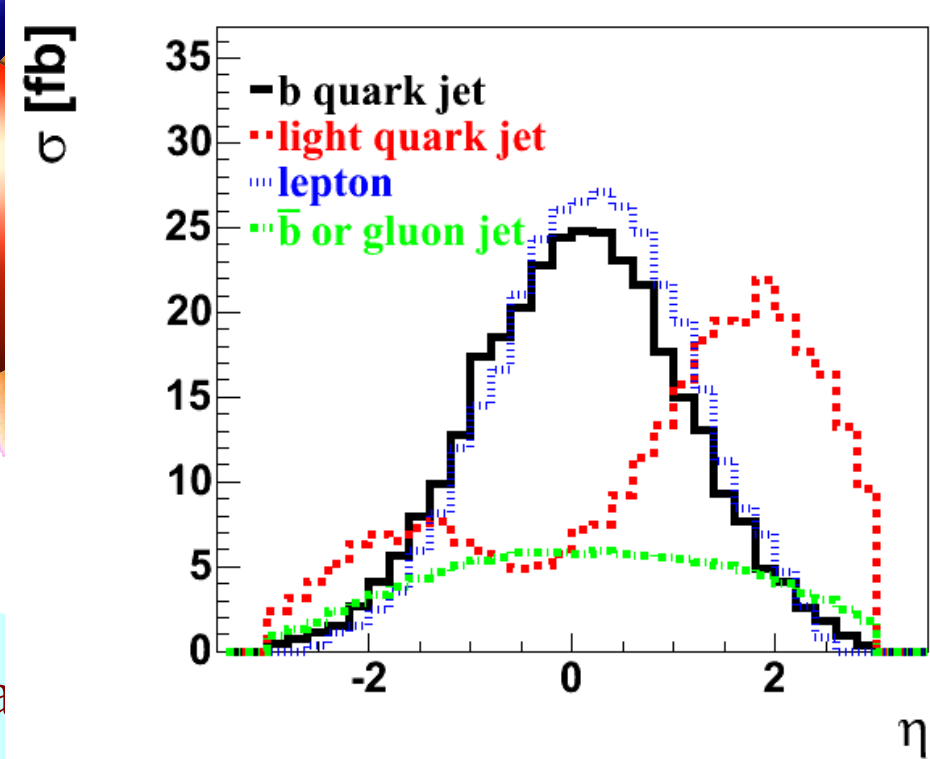
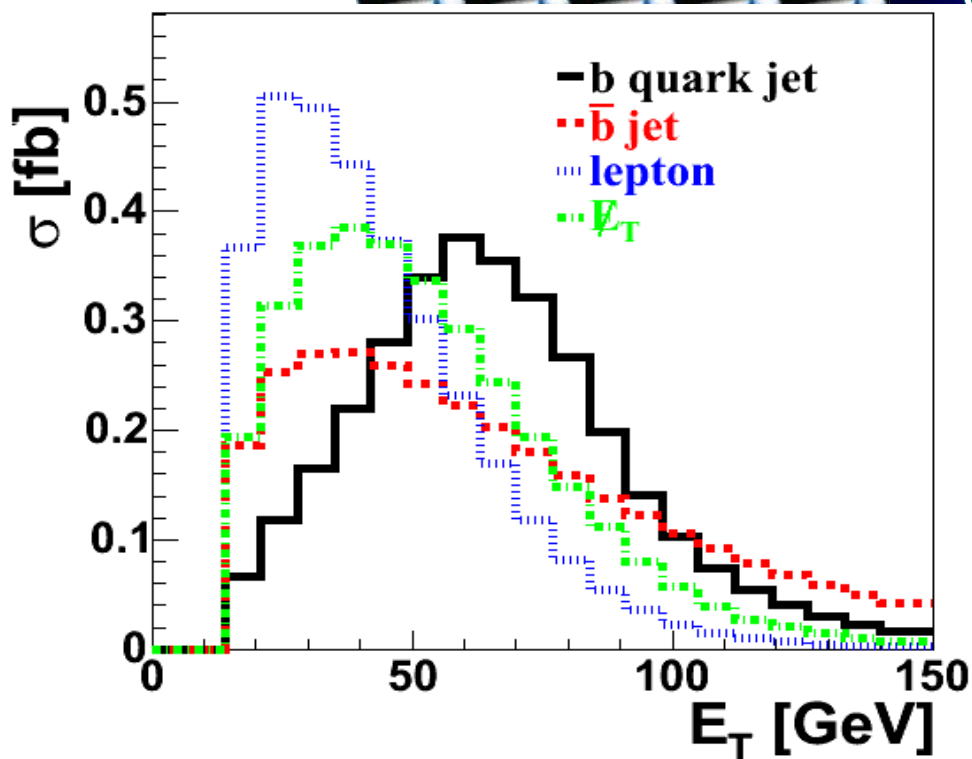
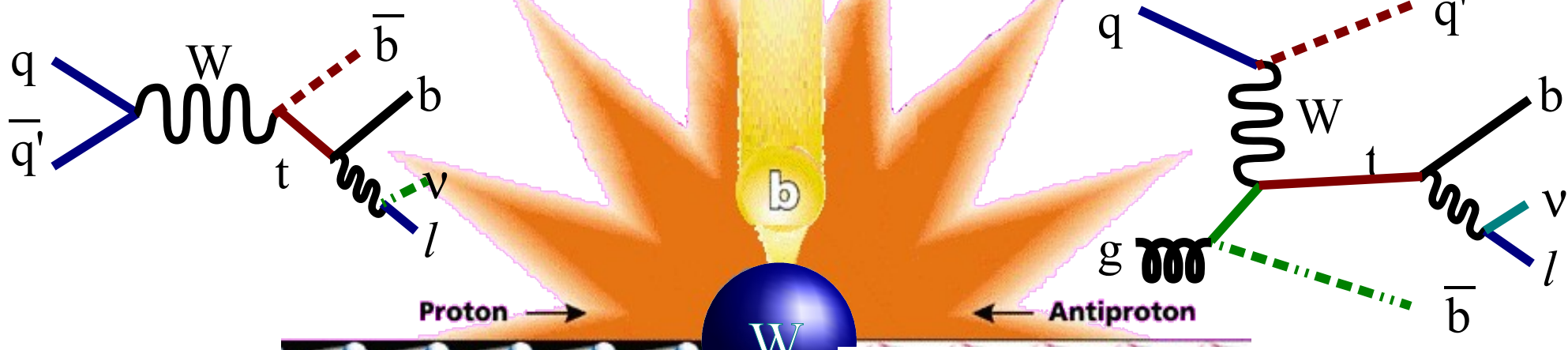
Single top quark event signature



Single top quark event signature

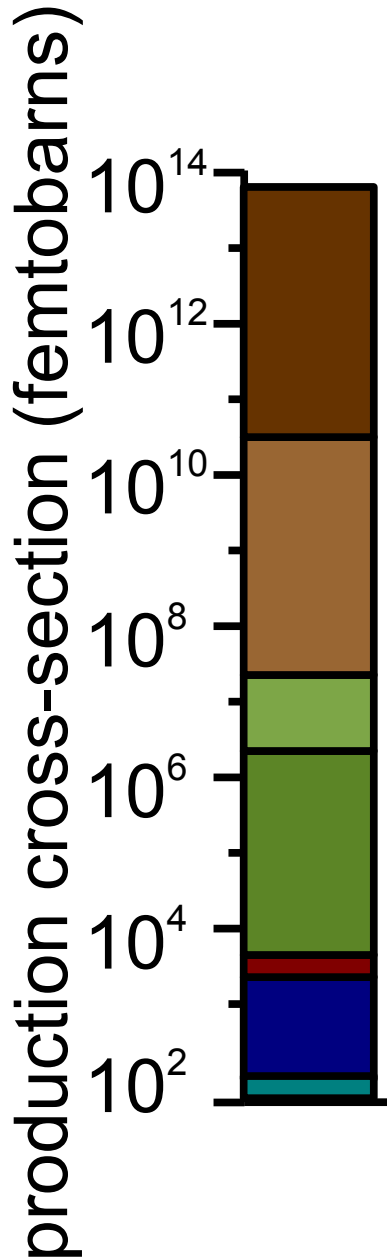
s-channel

t-channel



tra

Background processes



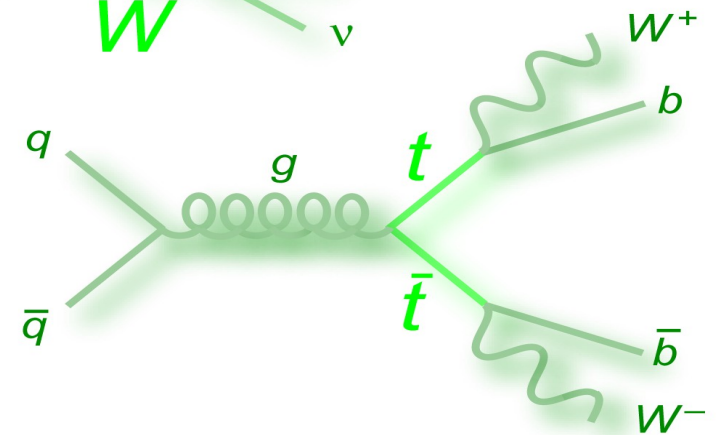
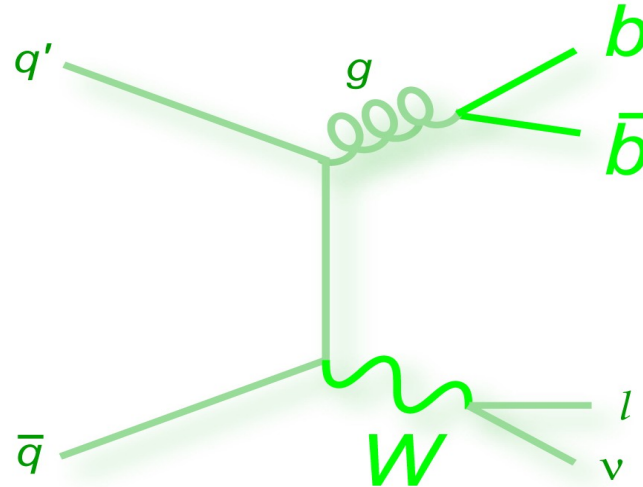
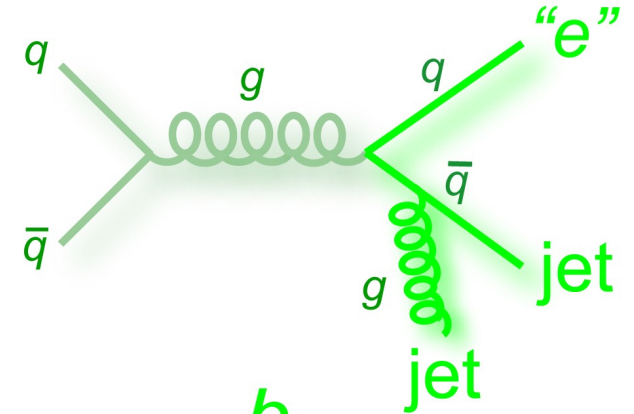
total inelastic, QCD multijets

bottom quark pairs

W bosons

Z bosons

top quark pairs
single top quarks
(new physics)

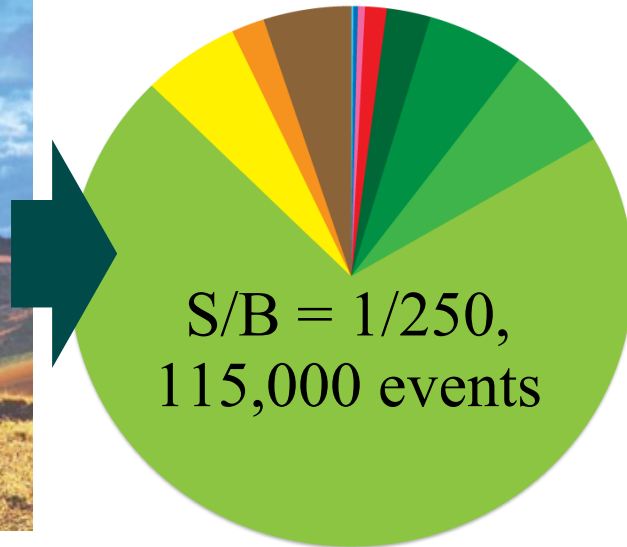


Analysis outline

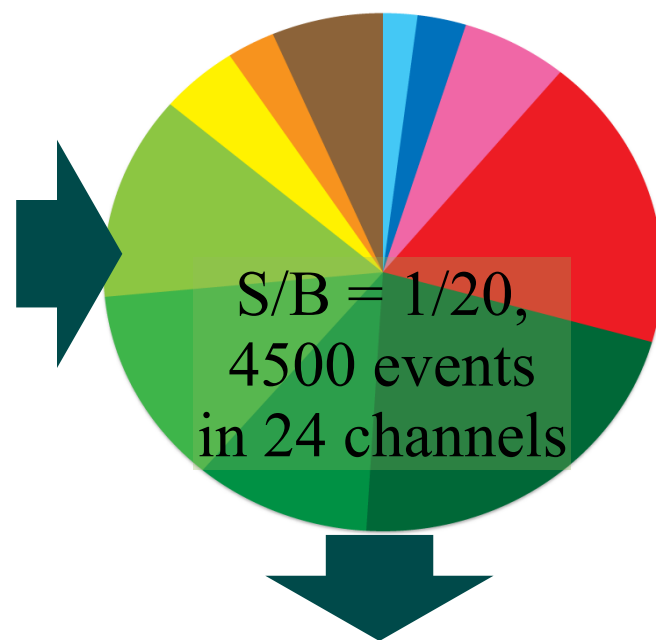
Trigger selection



Single top event kinematics

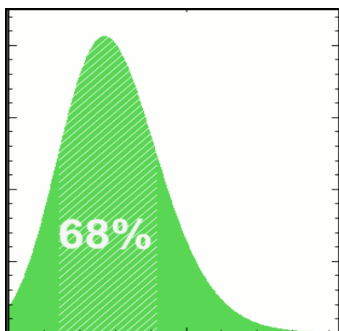


b-quark tagging

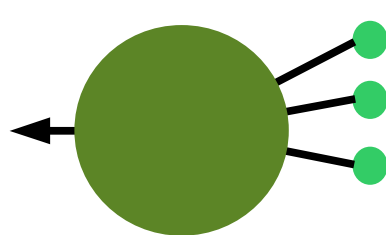


- tb ■
- tqb ■
- $t\bar{t} \rightarrow ll$ ■
- $t\bar{t} \rightarrow l+jets$ ■
- $Wb\bar{b}$ ■
- $Wc\bar{c}$ ■
- Wcj ■
- Wjj ■
- $Z+jets$ ■
- Dibosons ■
- Multijets ■

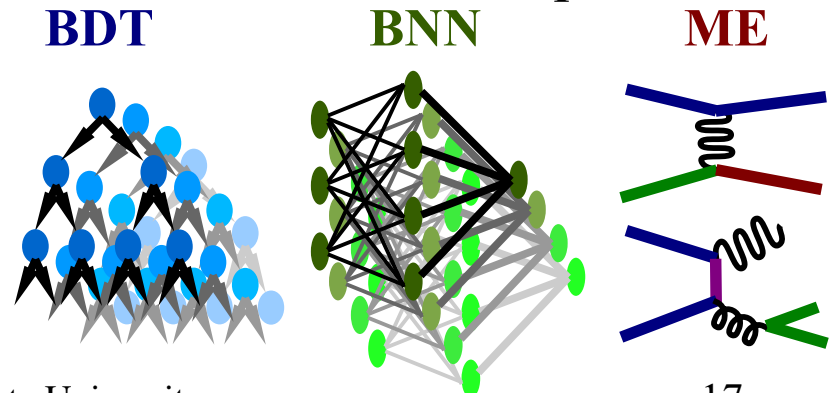
Statistical analysis



Combination



Multivariate techniques



Analysis samples

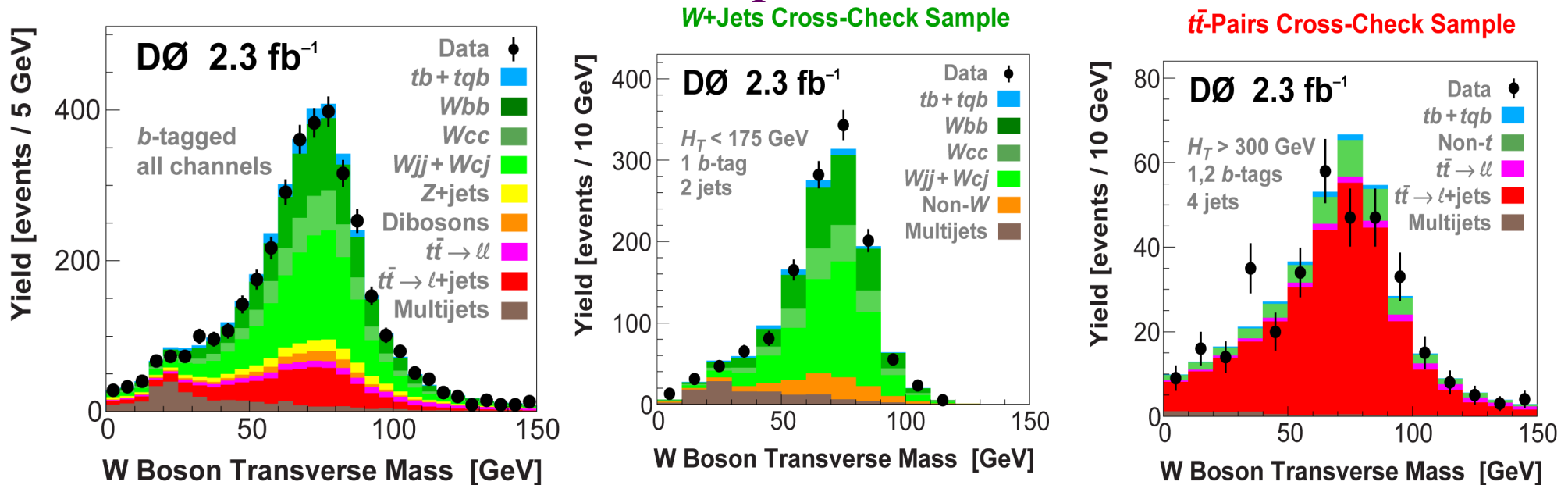
– Divide into 24 analysis channels

- By b-tag multiplicity (1, 2), jet multiplicity (2, 3, 4), data taking period (before/after upgrade), lepton (e, μ)

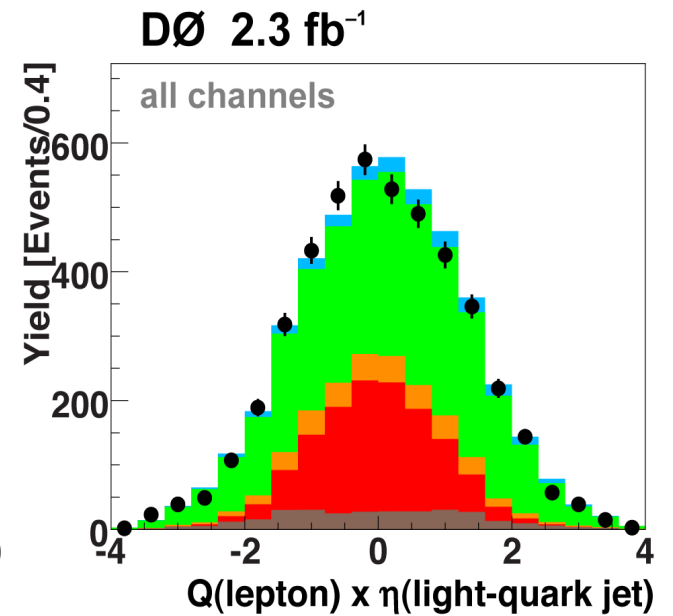
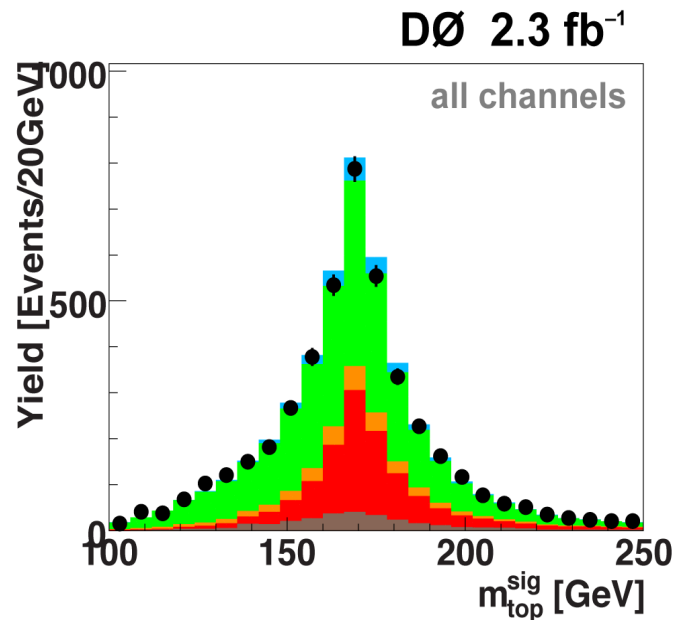
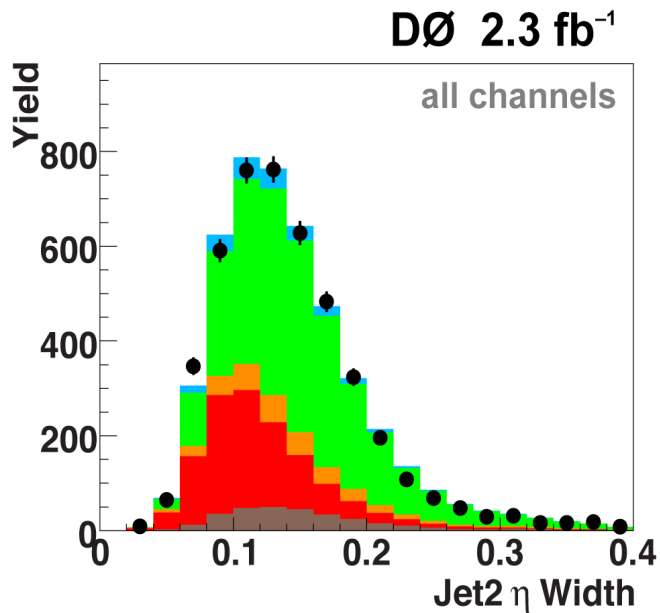
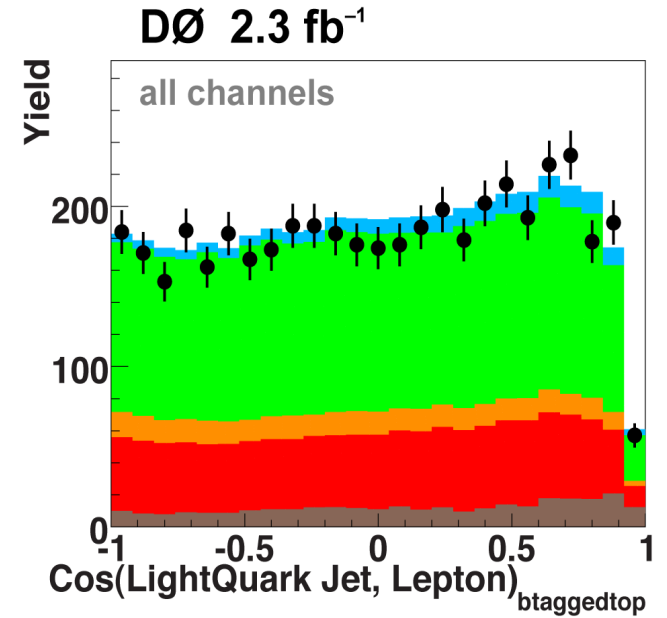
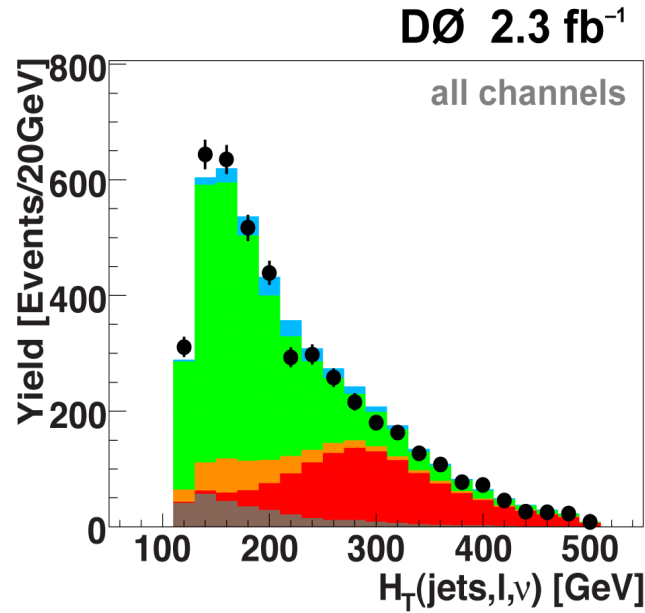
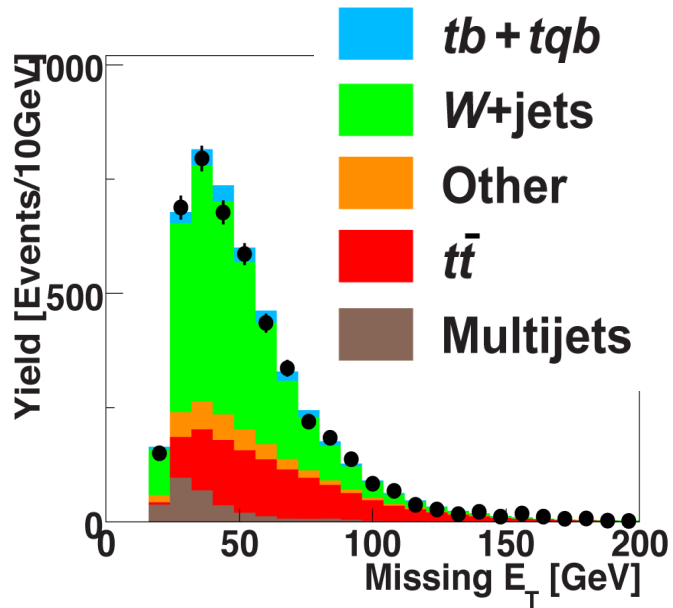
– Cross-check samples

- Enriched in W+jet events
- Enriched in top pair events

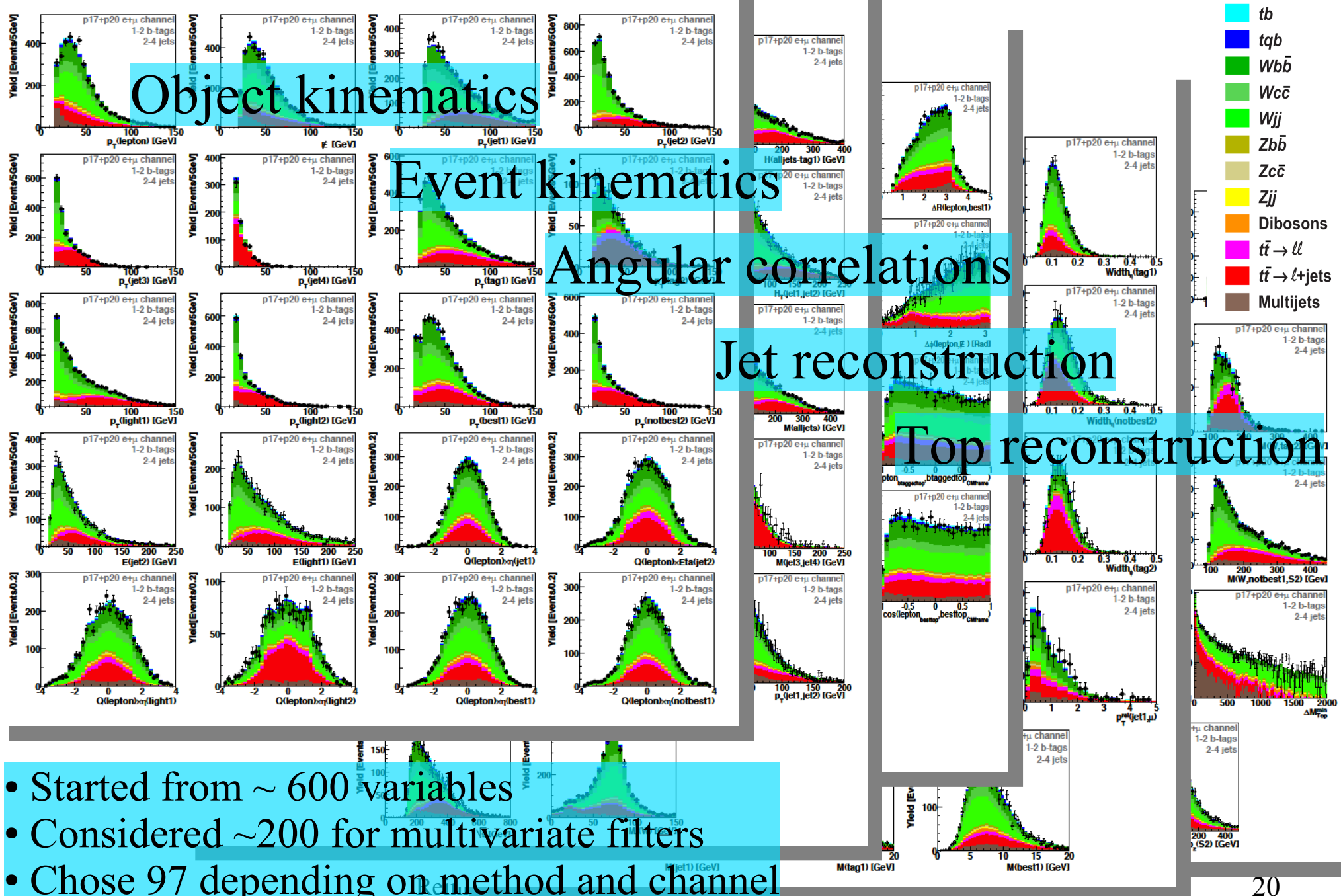
– Check data/background agreement for all variables and multivariate filters in all samples



Important discriminating variables

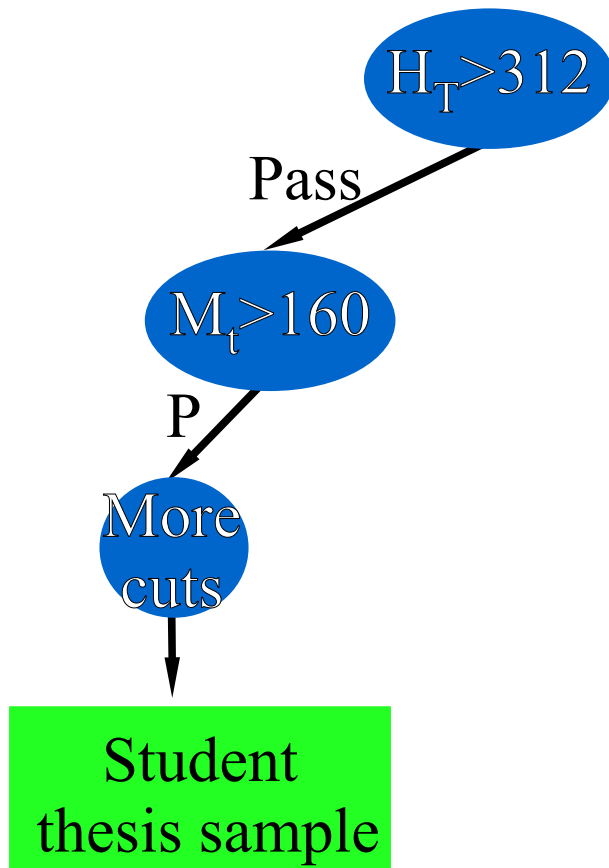


Discriminating variables

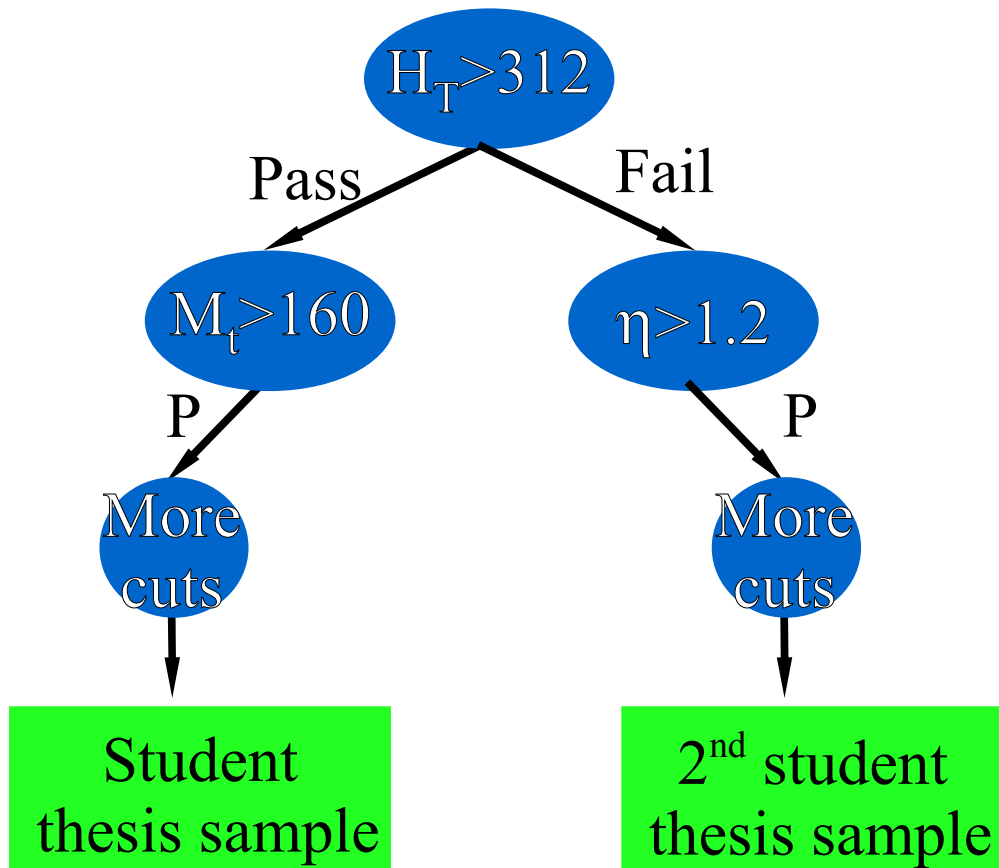


- Started from ~ 600 variables
- Considered ~200 for multivariate filters
- Chose 97 depending on method and channel

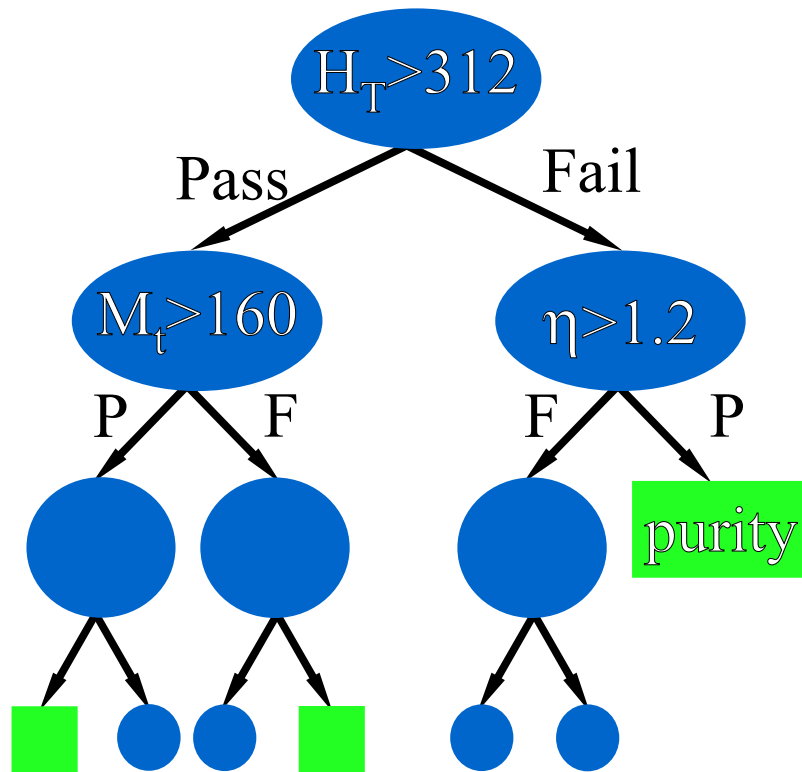
How to build a decision tree: cut-based analysis



How to build a decision tree: orthogonal data samples



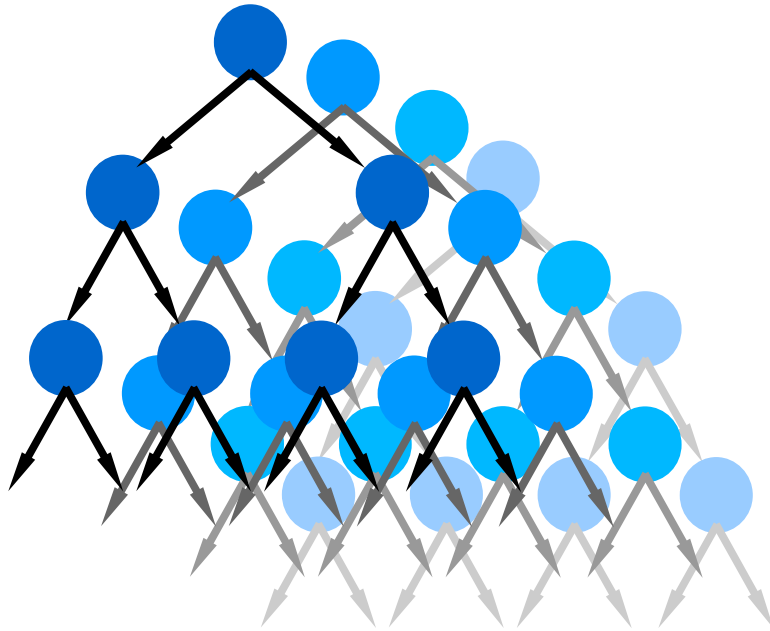
Decision tree



- Cuts produce branches
- Terminal leaf: calculate $\text{purity} = N_S / (N_S + N_B)$ from MC signals and backgrounds
- Each data event is assigned the purity value of the leaf it falls into
- Typical trees: hundreds of leafs

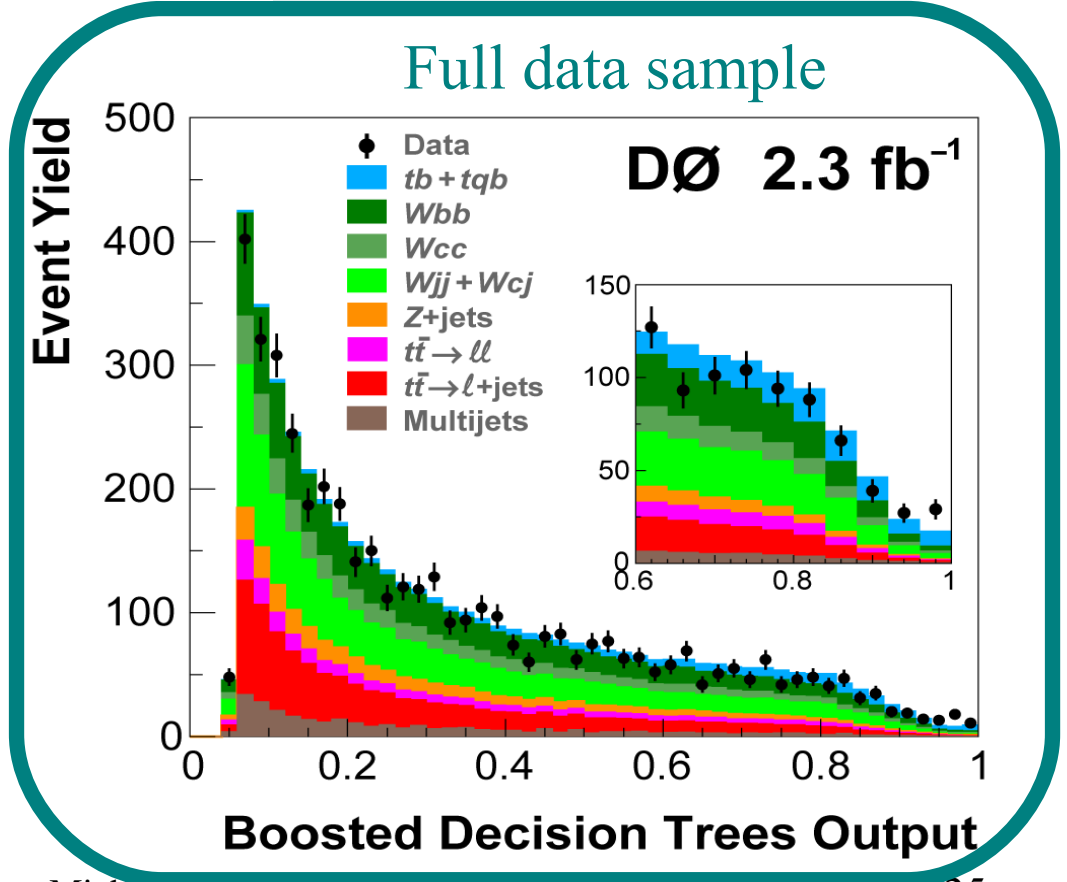
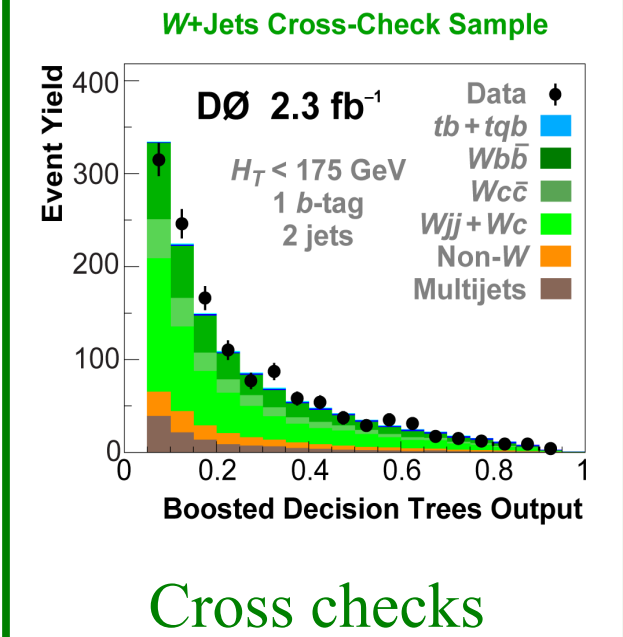
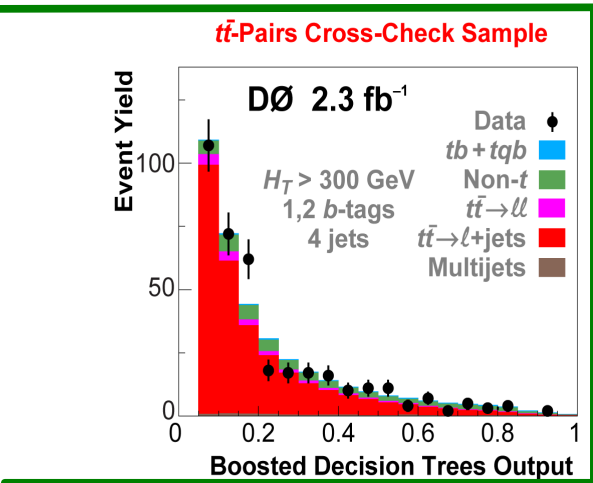
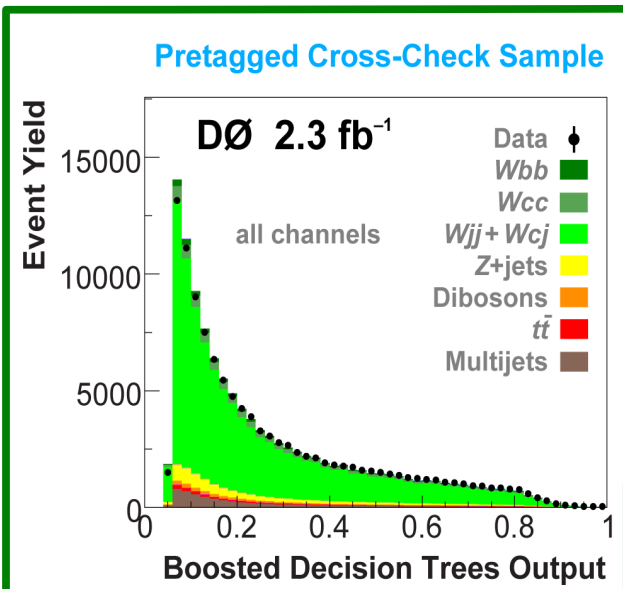


Boosted decision tree

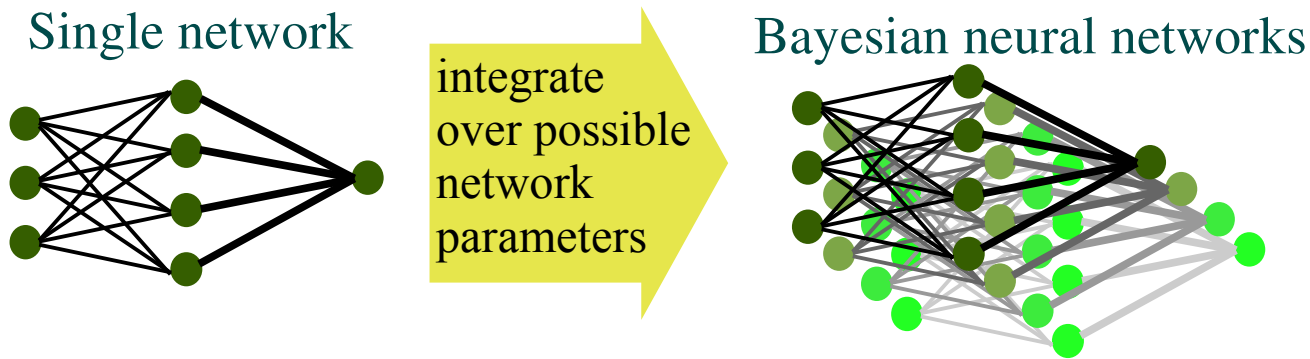


- Cuts produce branches
- Terminal leaf: calculate
$$\text{purity} = N_S / (N_S + N_B)$$
from MC signals and backgrounds
- Each data event is assigned the purity value of the leaf it falls into
- Typical trees: hundreds of leafs
- **Boosting:**
Average over many trees, each built by iteratively increasing weight of mis-classified events
- Typically 20-100 boosting cycles

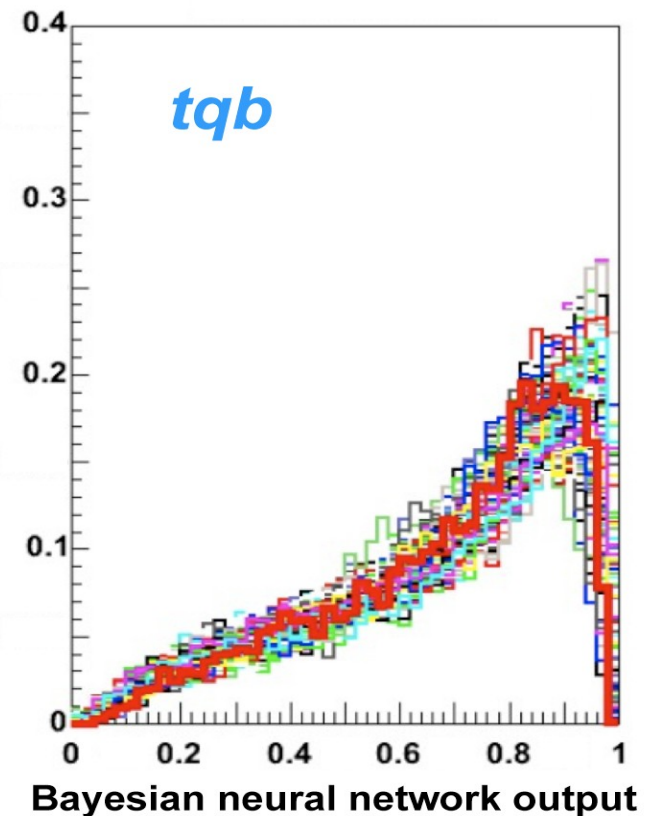
Boosted decision tree distributions



Bayesian neural networks

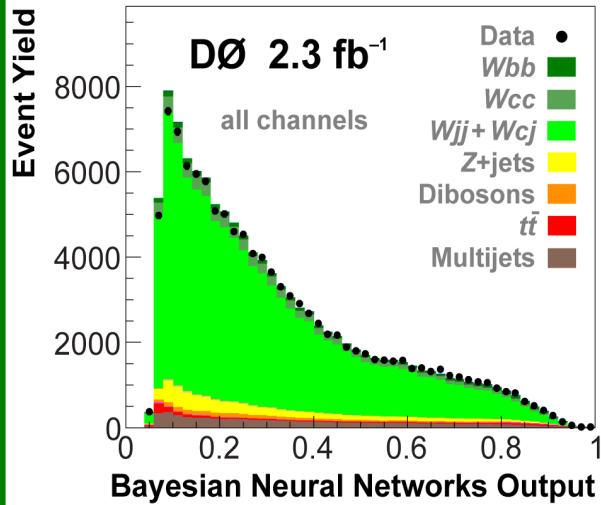


- NN with three layers, 24 input nodes, 40 hidden nodes
- Bayesian Idea:
 - Determine the posterior probability for each weight at each node
 - Sample from this posterior
 - Here: Average over 100 networks

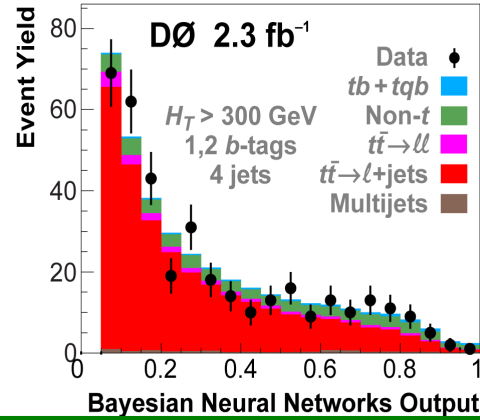


Bayesian neural network distributions

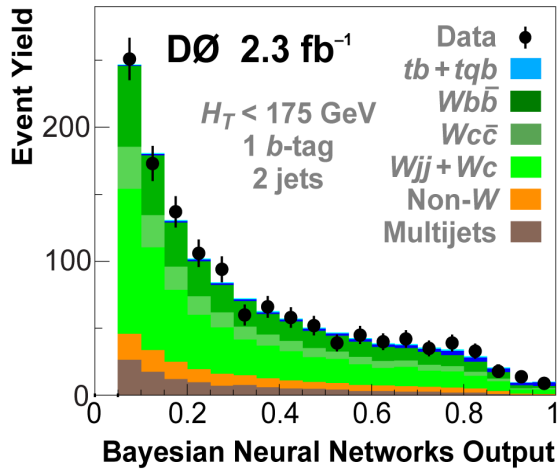
Pretagged Cross-Check Sample



tt-Pairs Cross-Check Sample

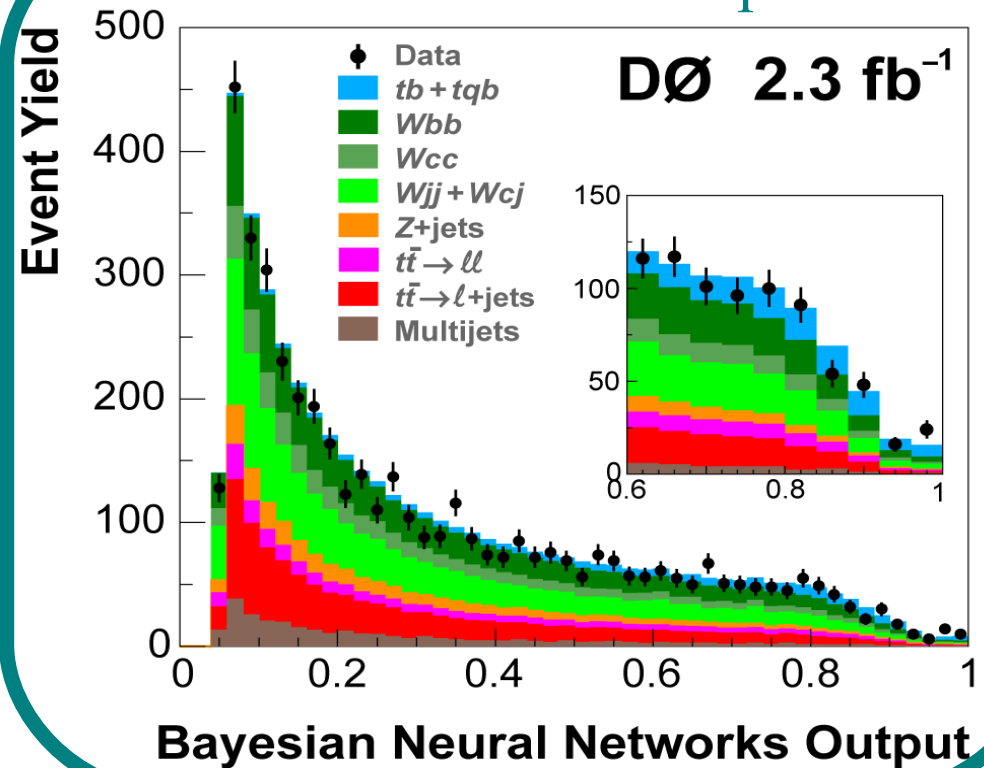


W+Jets Cross-Check Sample



Cross checks

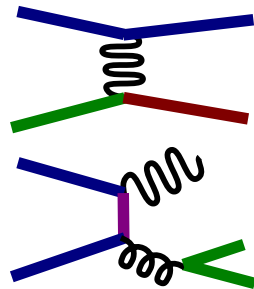
Full data sample



Matrix element analysis



Parton level
matrix elements



integrate
over
measurement
uncertainties

Signal discriminant

$$L = \frac{P(\text{sig})}{P(\text{sig}) + P(\text{bkg})}$$

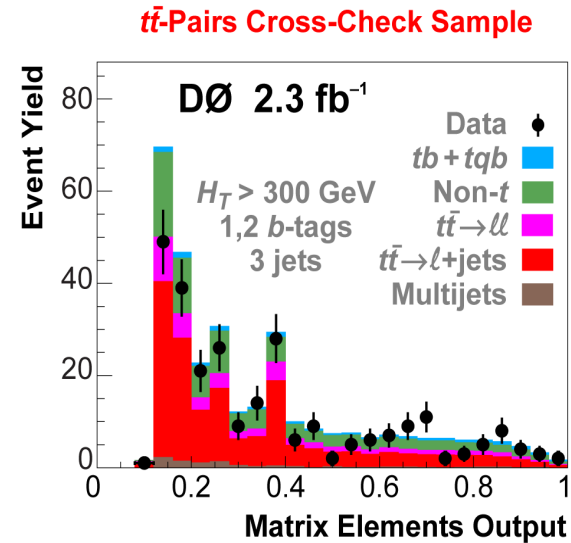
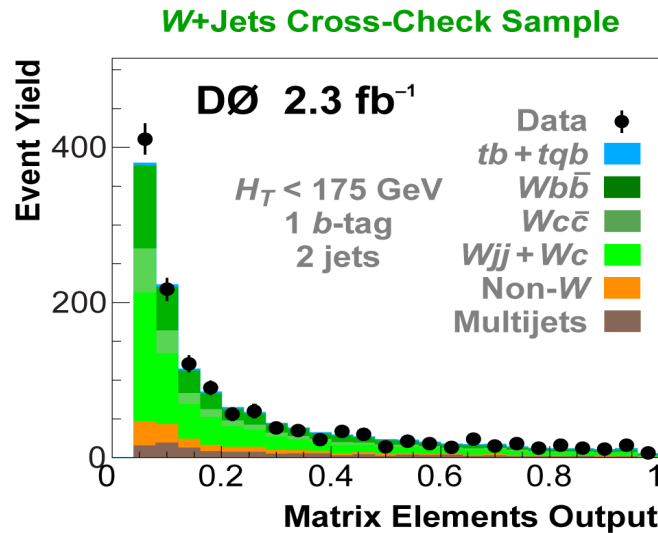
- Signal and background probability for each event is calculated from differential cross section

$$P_{\text{Signal}}(\vec{x}) = \frac{1}{\sigma_S} d\sigma_S(\vec{x}) \quad \sigma_S = \int d\sigma_S(\vec{x})$$

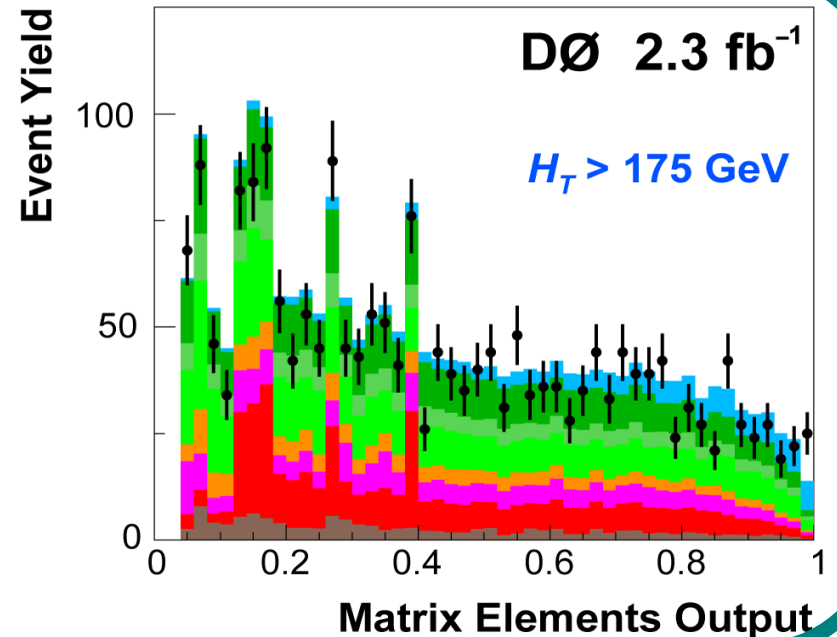
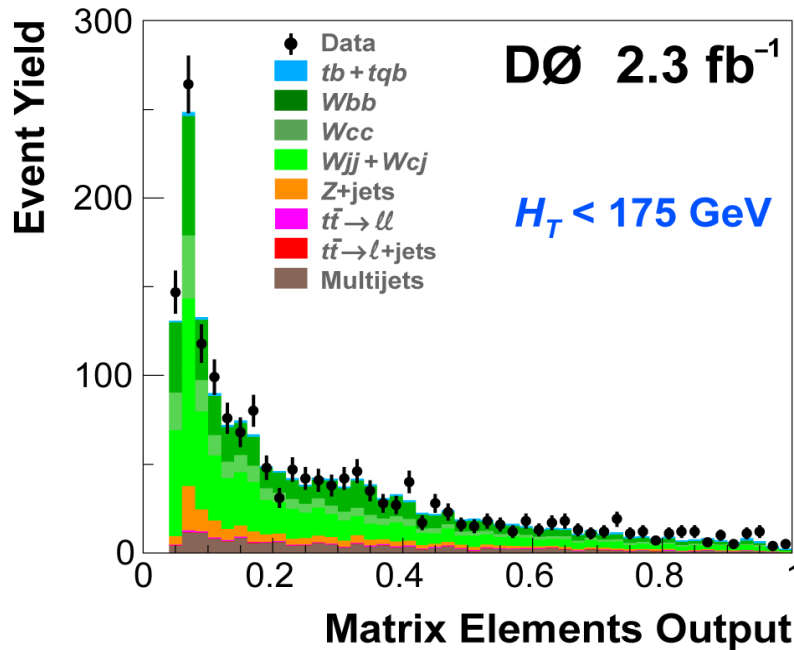
- Integration over final state momenta
 - And over reconstructed momenta, transfer function
- Include ME for s-channel, t-channel, top pairs, diboson, W+jets (including gluons)
- Determine weights in two HT regions

Matrix element distributions

Cross checks

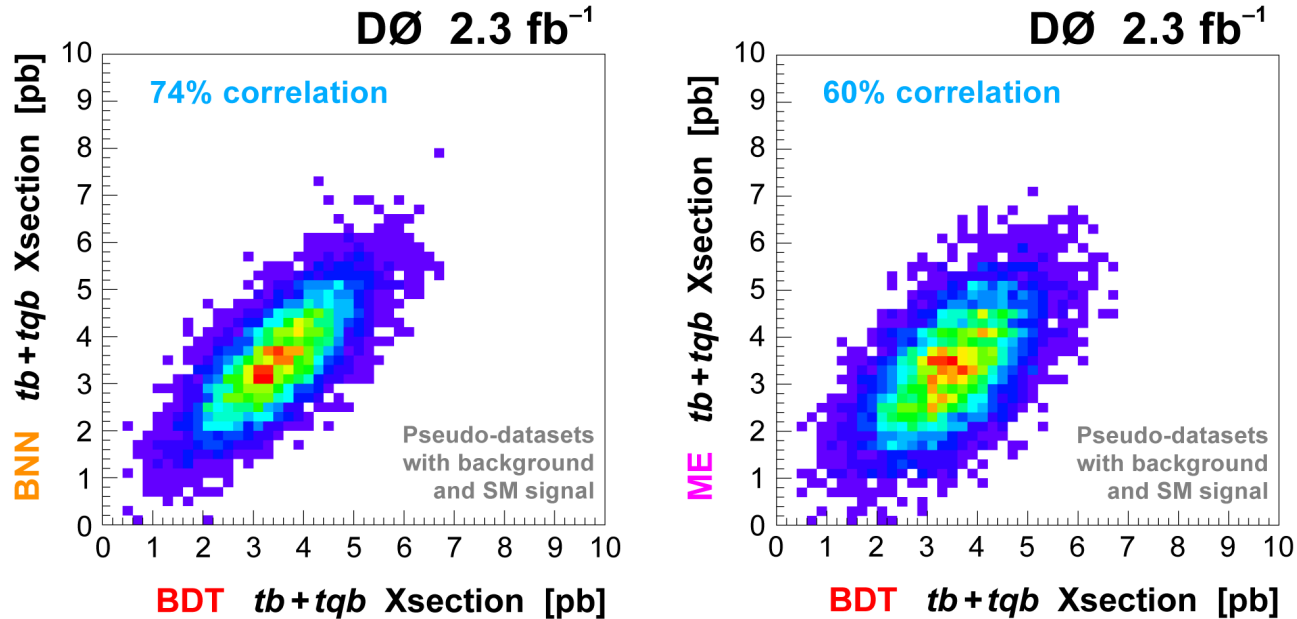


Full data sample

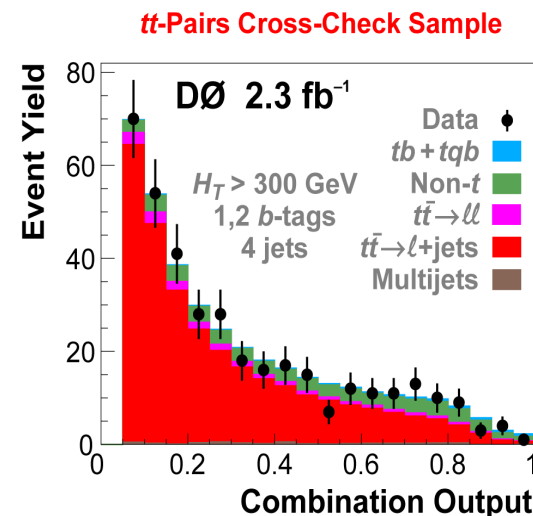
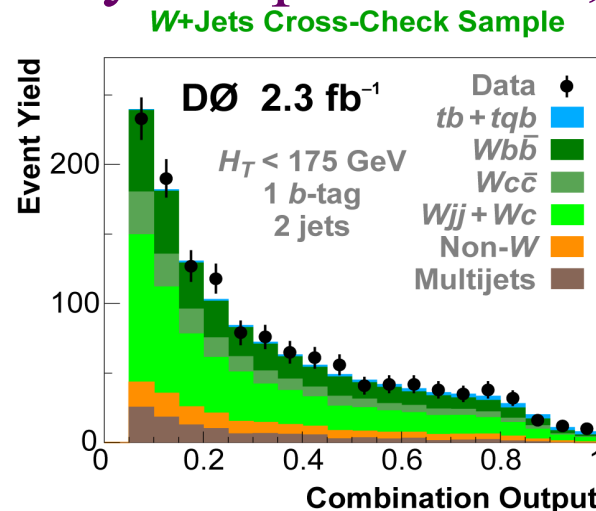
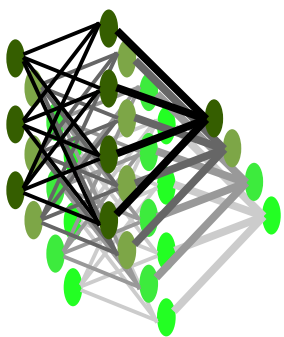


Combination: Another BNN

– Gain because each method provides unique separation



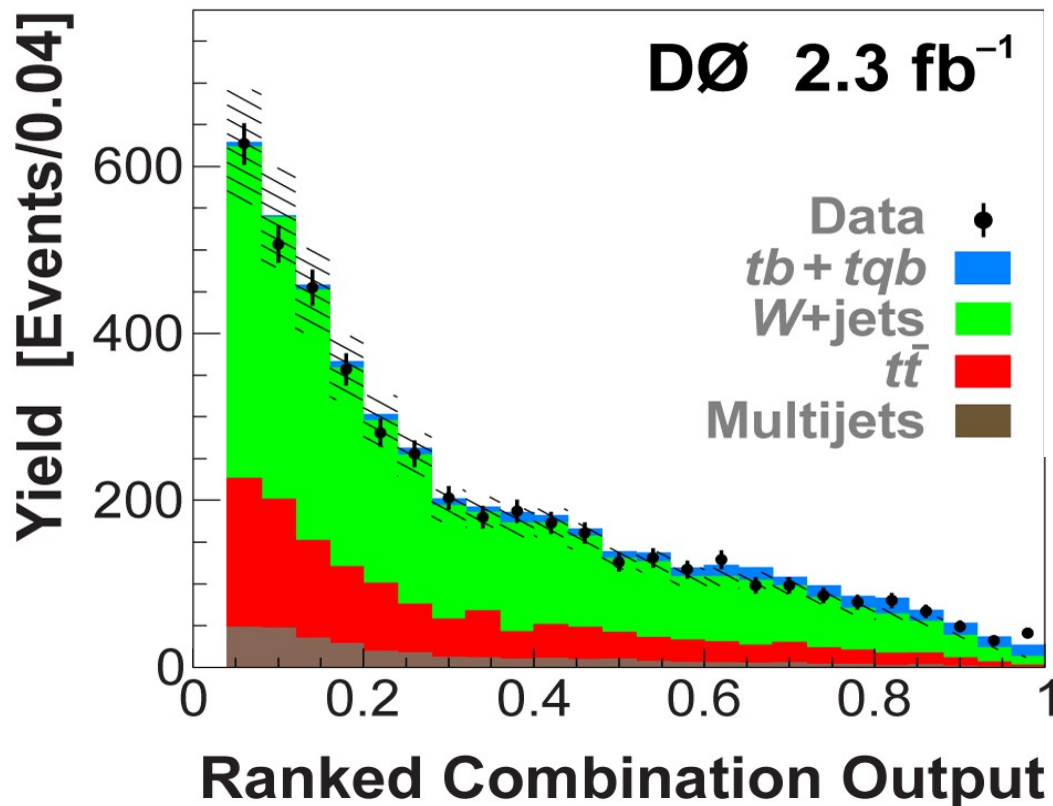
– Simple BNN, only 3 inputs: BDT, BNN, ME



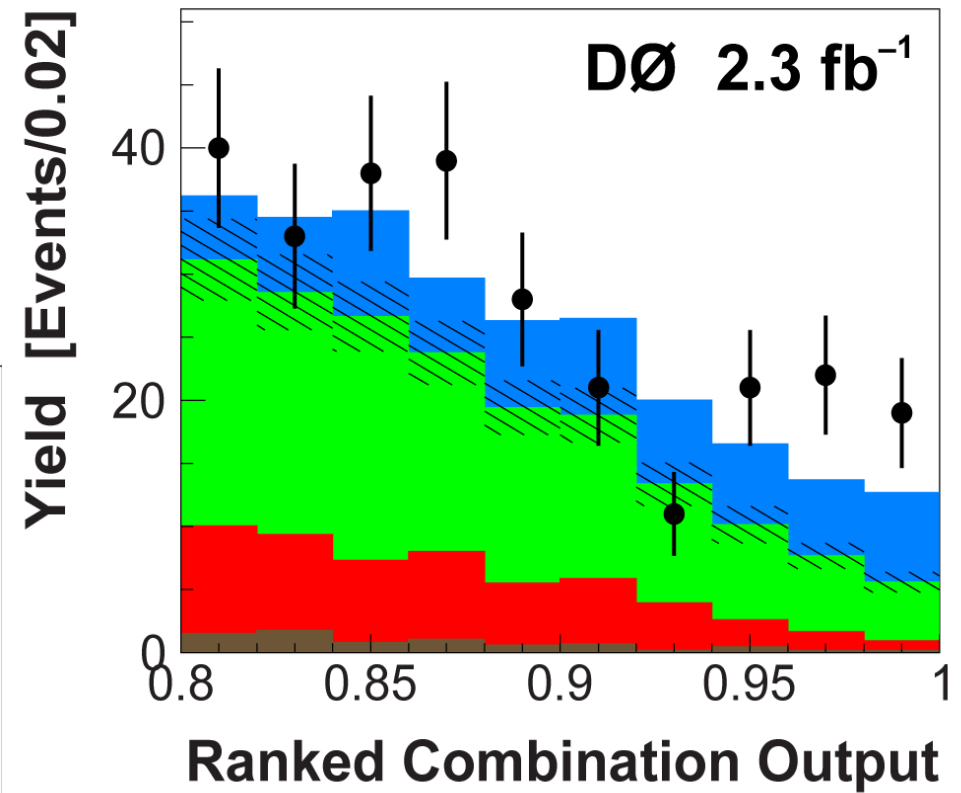
Combination distribution

- Combine 24 channels, 50 bins per channel, sort bins by s/b

Final Discriminant

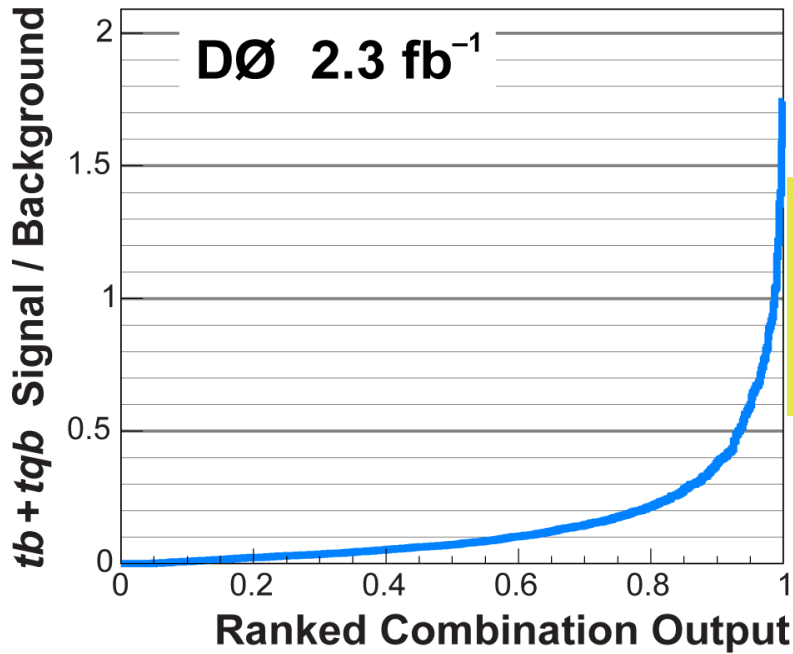


Signal Region



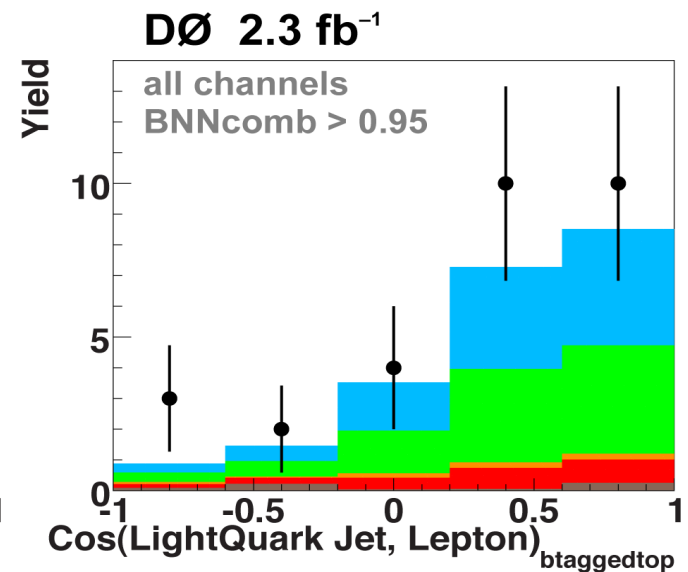
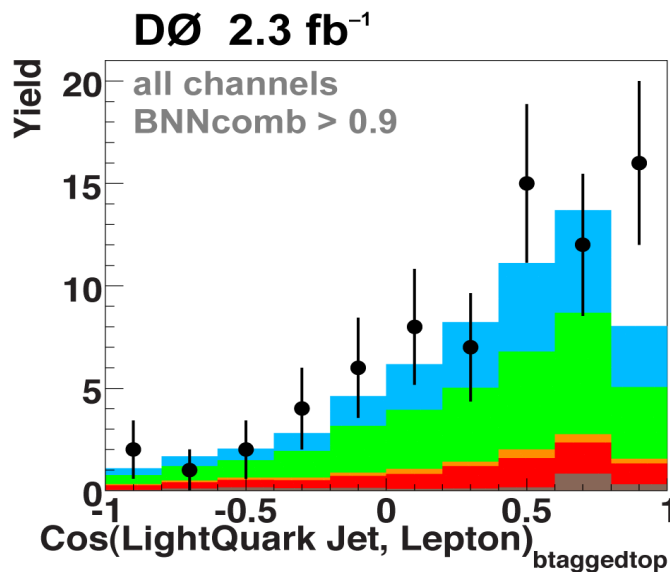
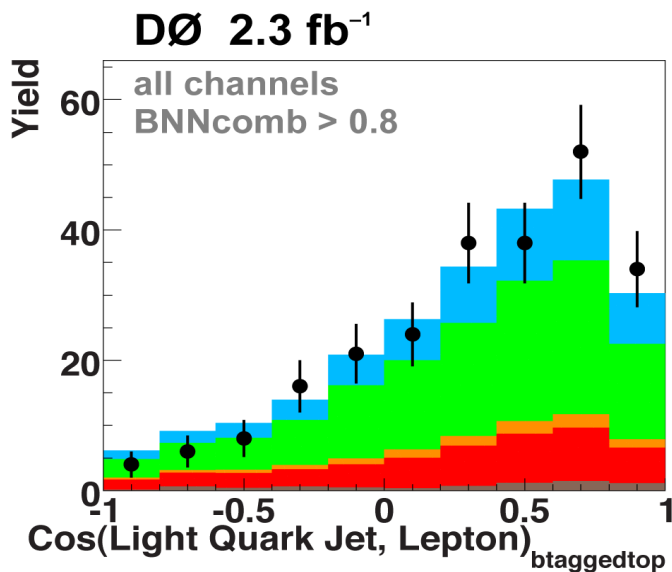
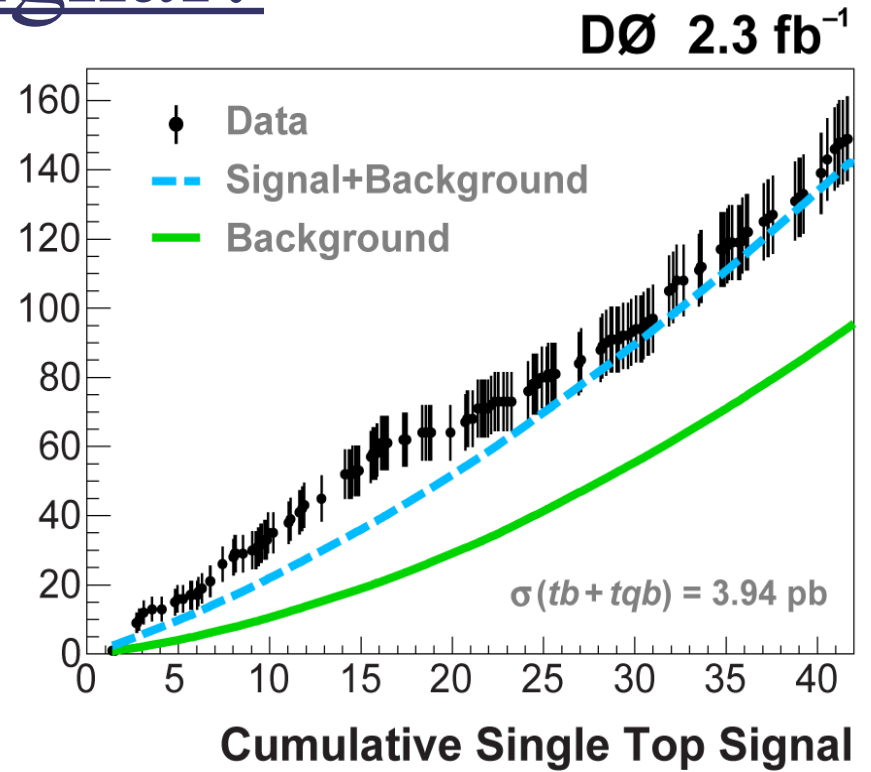
Is there a signal?

S/B Ratio



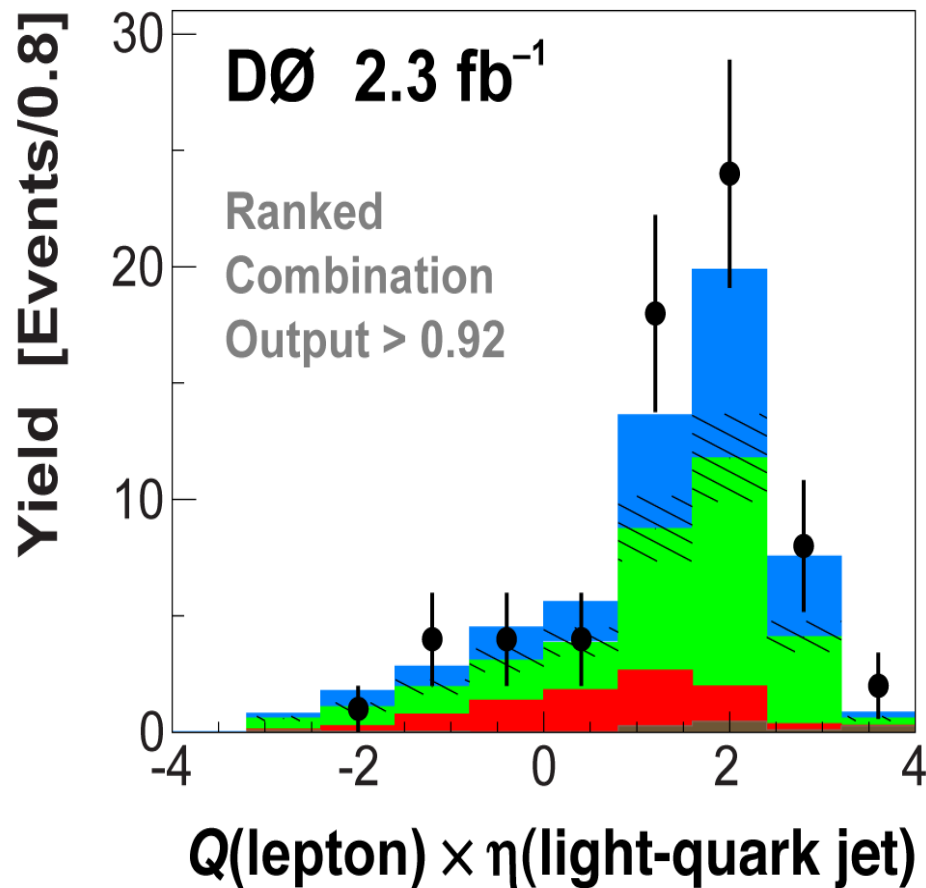
Sum bins
right-to-left

Cumulative Events

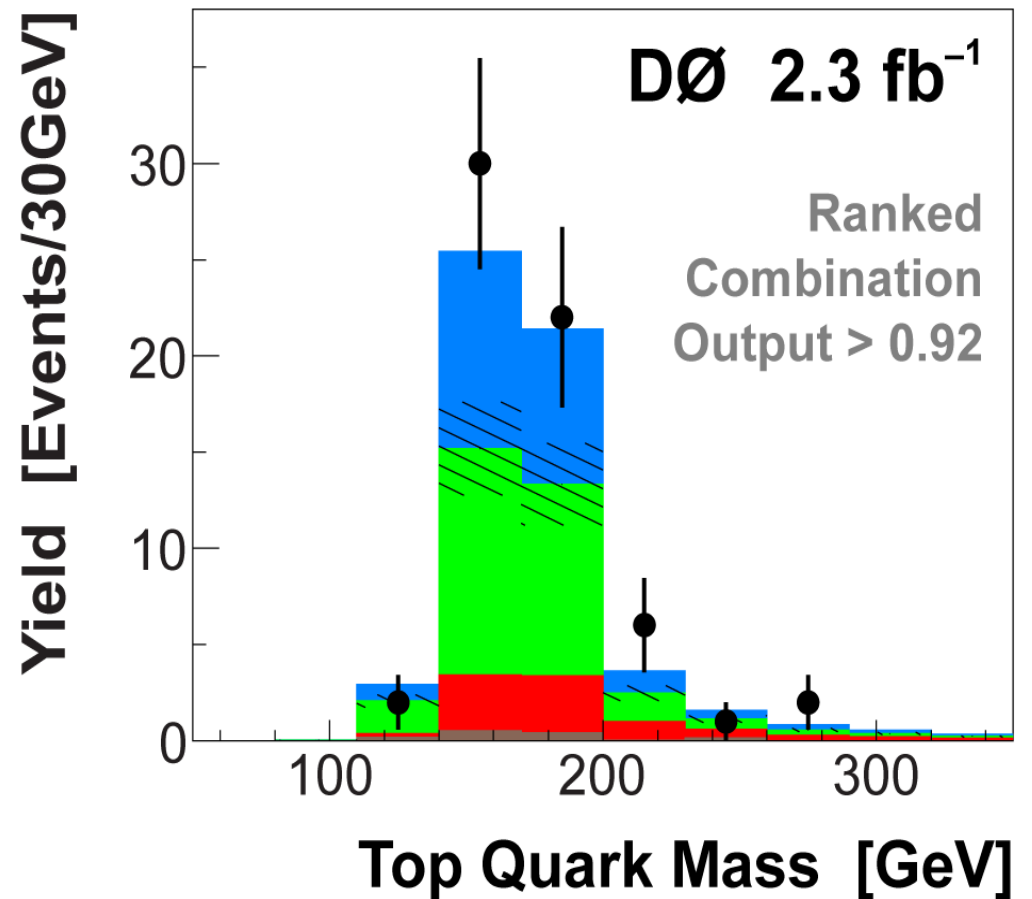


Kinematics in the signal region

High Signal Region – $Q \times \eta$



High Signal Region – m_{top}



Systematic uncertainties

Systematic Uncertainties

Ranked from Largest to Smallest Effect
on Single Top Cross Section

$D\mathcal{O}$ 2.3 fb⁻¹

Larger terms

<i>b</i> -ID tag-rate functions (includes shape variations)	(2.1–7.0)% (1-tag) (9.0–11.4)% (2-tags)
Jet energy scale (includes shape variations)	(1.1–13.1)% (signal) (0.1–2.1)% (bkgd)
<i>W</i> +jets heavy-flavor correction	13.7%
Integrated luminosity	6.1%
Jet energy resolution	4.0%
Initial- and final-state radiation	(0.6–12.6)%
<i>b</i> -jet fragmentation	2.0%
<i>t</i> \bar{t} pairs theory cross section	12.7%
Lepton identification	2.5%
<i>Wbb/Wcc</i> correction ratio	5%
Primary vertex selection	1.4%

Systematic Uncertainties

Ranked from Largest to Smallest Effect
on Single Top Cross Section

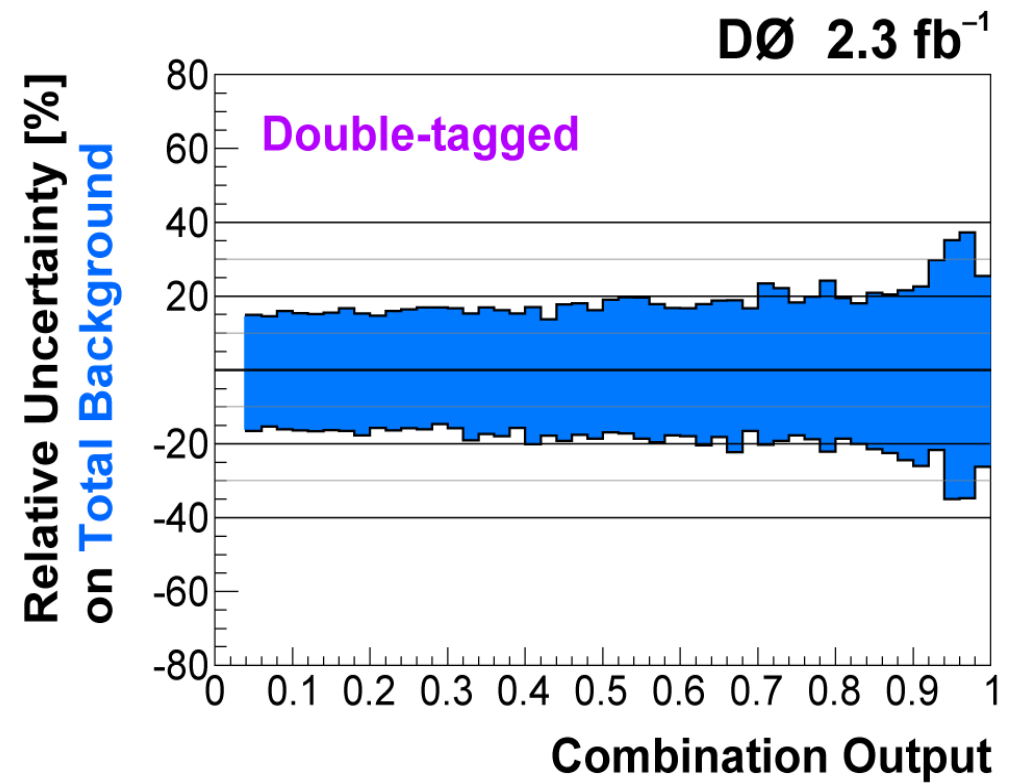
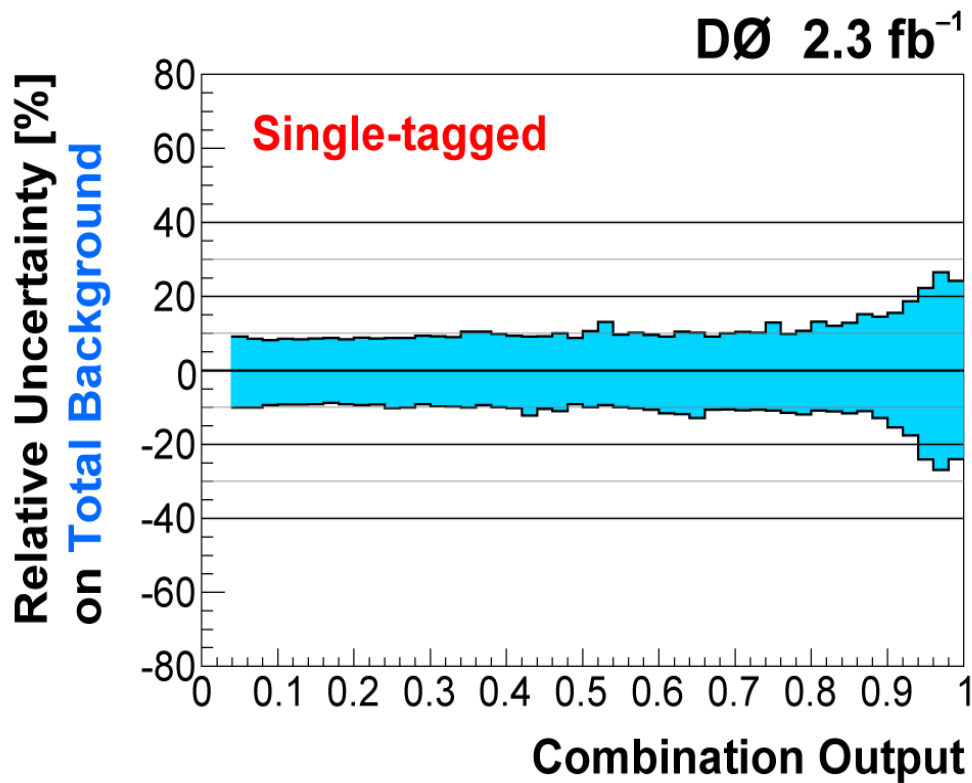
$D\mathcal{O}$ 2.3 fb⁻¹

Smaller terms

Monte Carlo statistics	(0.5–16.0)%
Jet fragmentation	(0.7–4.0)%
Branching fractions	1.5%
<i>Z</i> +jets heavy-flavor correction	13.7%
Jet reconstruction and identification	1.0%
Instantaneous luminosity correction	1.0%
Parton distribution functions (signal)	3.0%
<i>Z</i> +jets theory cross sections	5.8%
<i>W</i> +jets and multijets normalization to data	(1.8–3.9)% (<i>W</i> +jets) (30–54)% (multijets)
Diboson theory cross sections	5.8%
Alpgen <i>W</i> +jets shape corrections	shape only
Trigger	5%

Shape systematics

- Mainly jet energy scale and b-tag modeling

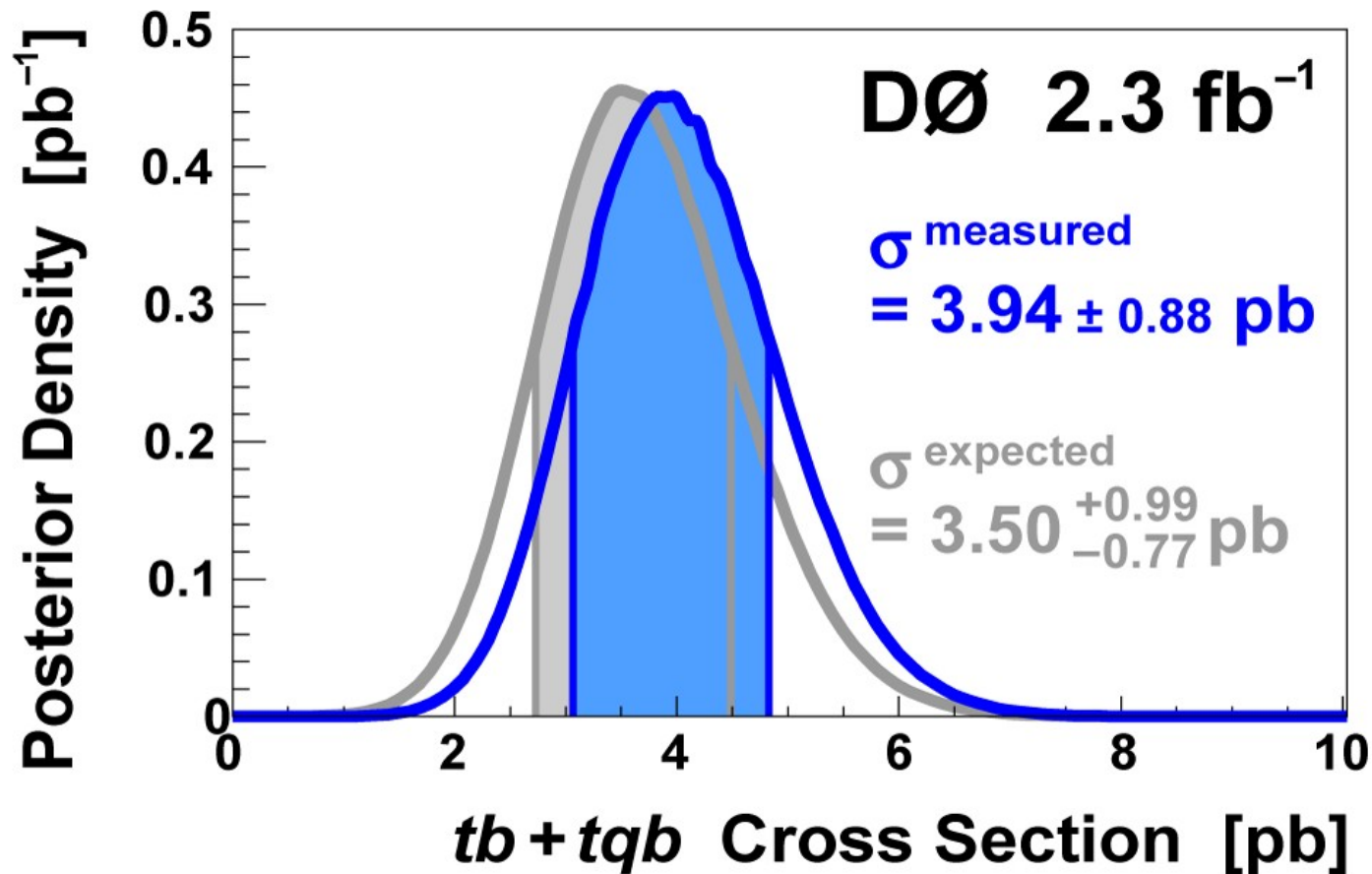


Statistical analysis

- Bayesian statistical analysis

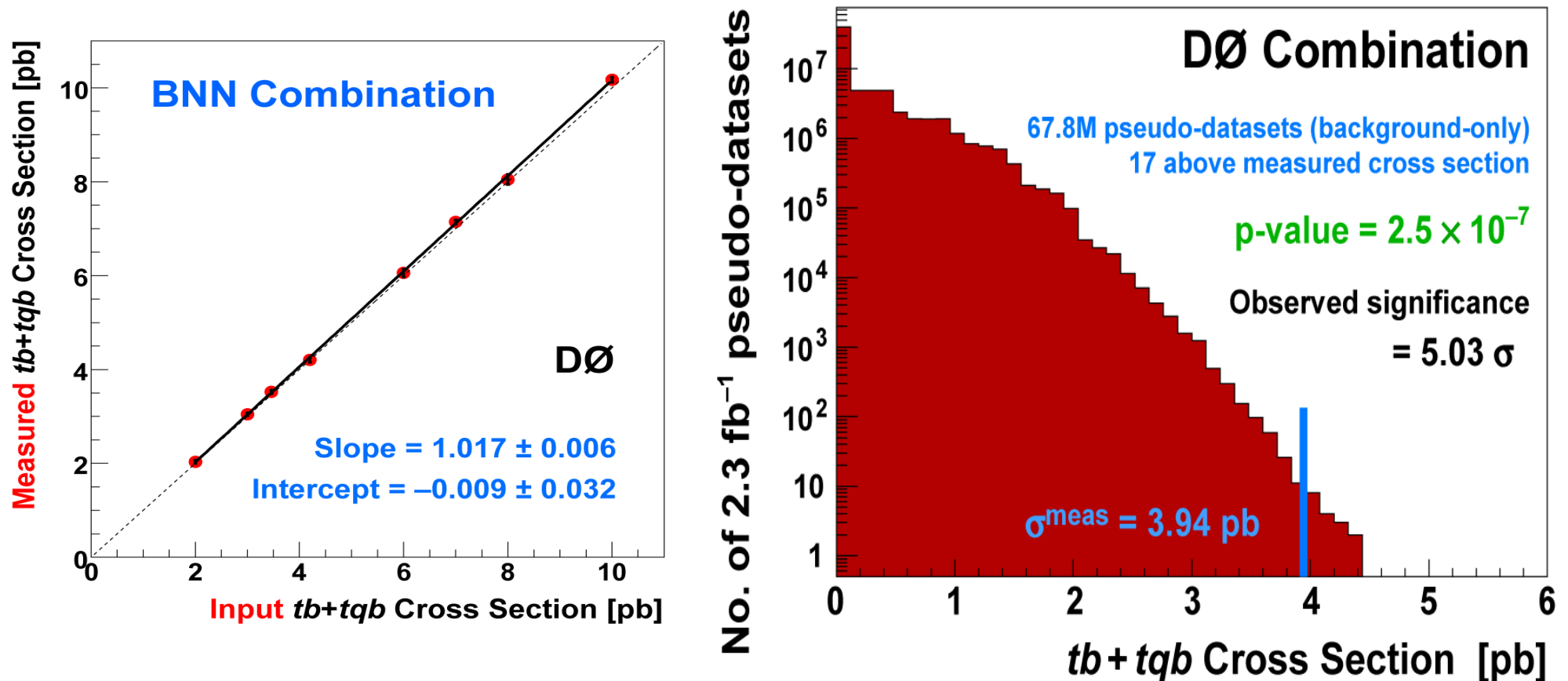
$$P(s|D) = P(D|s) * P(s)$$

- Posterior gives measured cross section and uncertainty



Significance

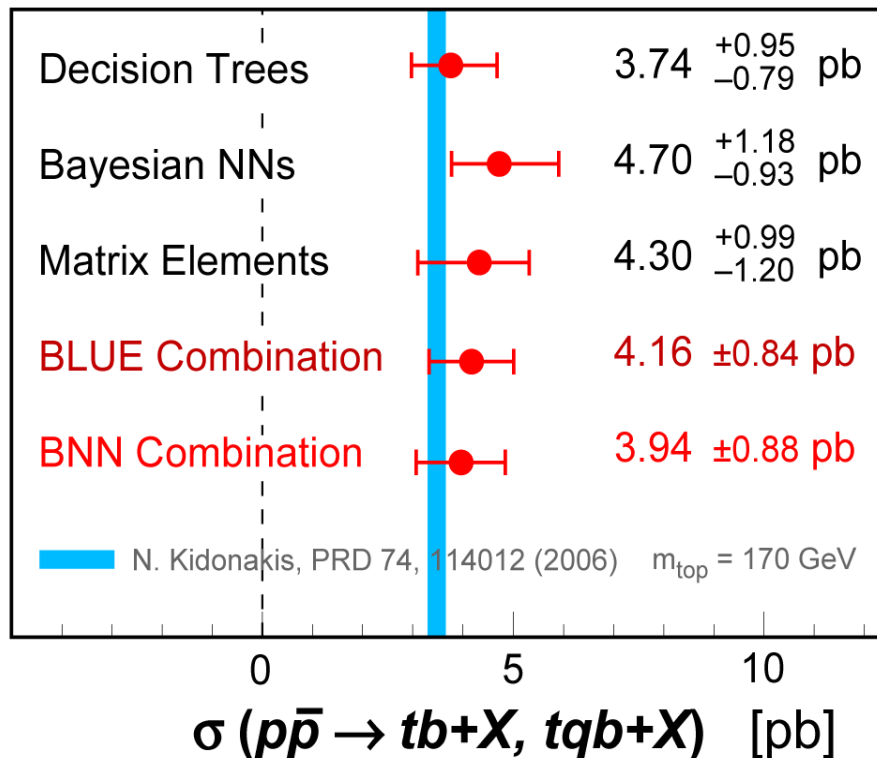
- Significance (p-value) and linearity and many tests through extensive ensemble testing
 - Ensembles of pseudo-data at various signal cross sections





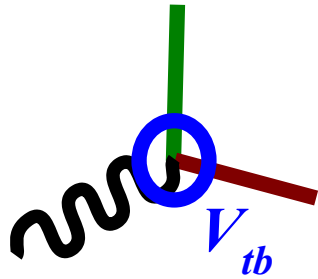
DØ 2.3 fb ⁻¹ Single Top Results			
Analysis Method	Single Top Cross Section	Significance	
		Expected	Measured
Boosted Decision Trees	3.74 ^{+0.95} _{-0.79} pb	4.3 σ	4.6 σ
Bayesian Neural Networks	4.70 ^{+1.18} _{-0.93} pb	4.1 σ	5.4 σ
Matrix Elements	4.30 ^{+0.99} _{-1.20} pb	4.1 σ	4.9 σ
Combination	3.94 ± 0.88 pb	4.5 σ	5.0 σ

DØ 2.3 fb⁻¹ March 2009



ArXiv:0903.0850,
Submitted to PRL

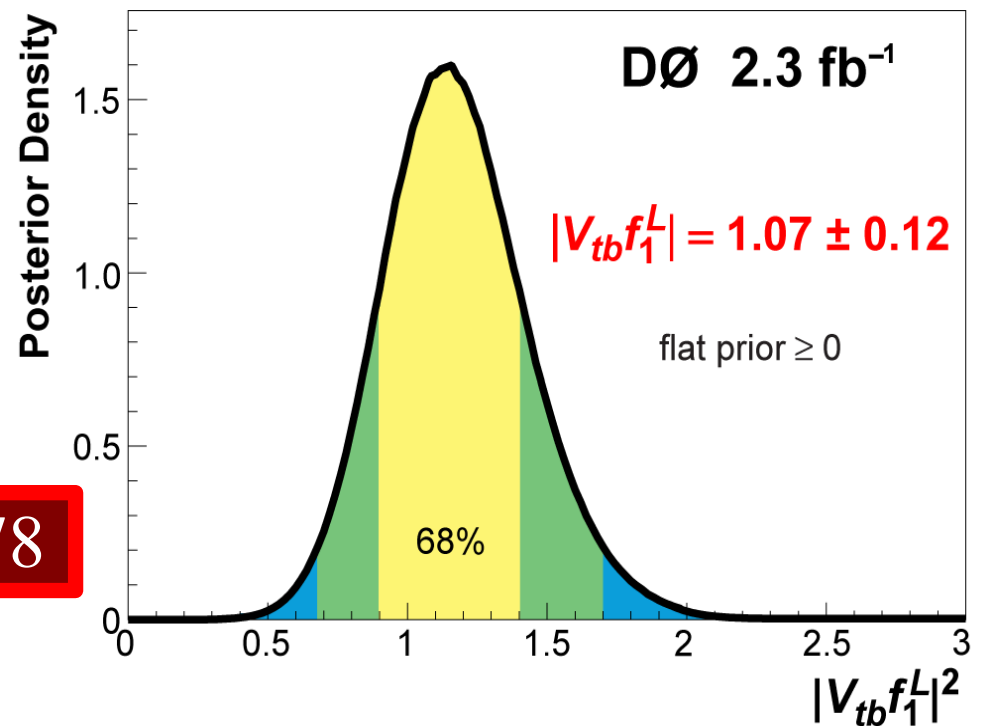
CKM matrix element $|V_{tb}|$



$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & \mathbf{V_{tb}} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

CKM Matrix

- Measurement: $|V_{tb} \times f_L^1|$
 - Assume top decays to b ($V_{tb} \gg V_{ts}, V_{td}$)
- No constraint on # of generations
- Then assume $f_L^1 = 1$
 - lower limit on V_{tb}
 - At the 95% C.L.: $|V_{tb}| > 0.78$





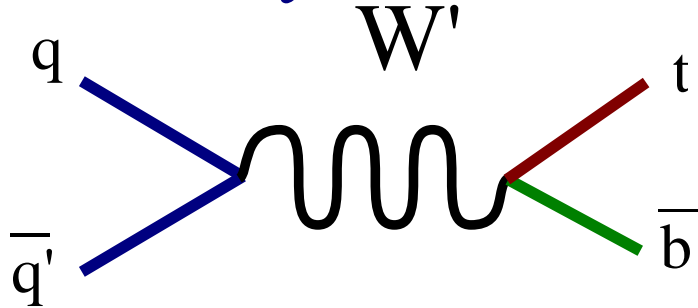
Tevatron summary



Single Top Cross Section	Signal Significance		CKM Matrix Element V_{tb}
	Expected	Observed	
March 2009 DØ (2.3 fb⁻¹) <small>arXiv:0903.0850 ($m_{\text{top}} = 170$ GeV)</small>			
3.94 ± 0.88 pb	4.5σ	5.0σ	$ V_{tb} f_1^L = 1.07 \pm 0.12$ $ V_{tb} > 0.78$ at 95% CL
March 2009 CDF (3.2 fb⁻¹) <small>arXiv:0903.0885 ($m_{\text{top}} = 175$ GeV)</small>			
$2.3^{+0.6}_{-0.5}$ pb	$>5.9 \sigma$	5.0σ	$ V_{tb} f_1^L = 0.91 \pm 0.13$ $ V_{tb} > 0.71$ at 95% CL

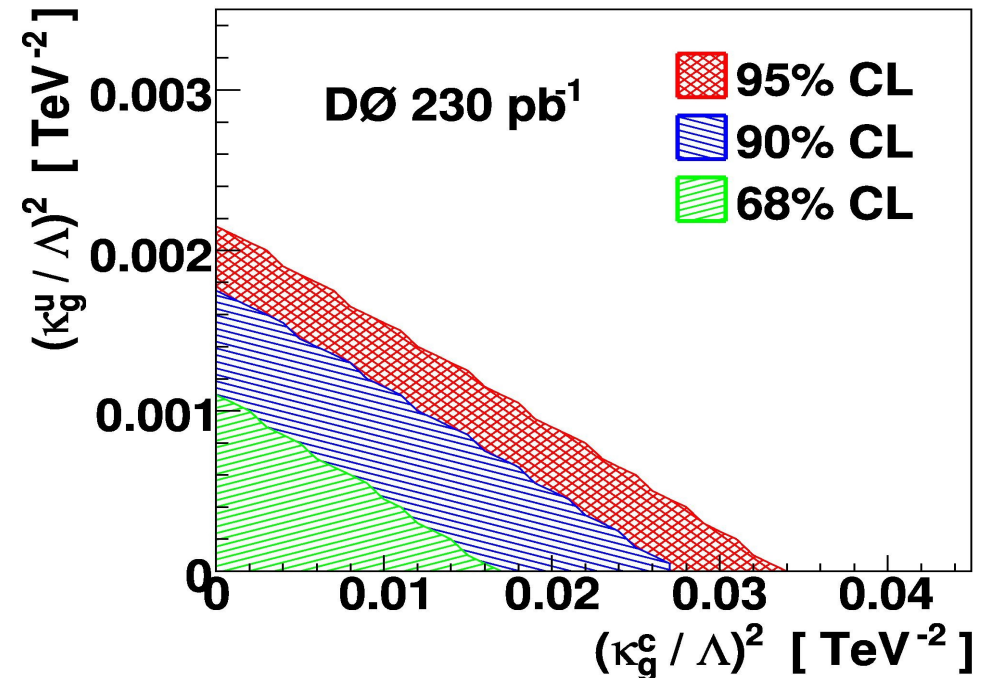
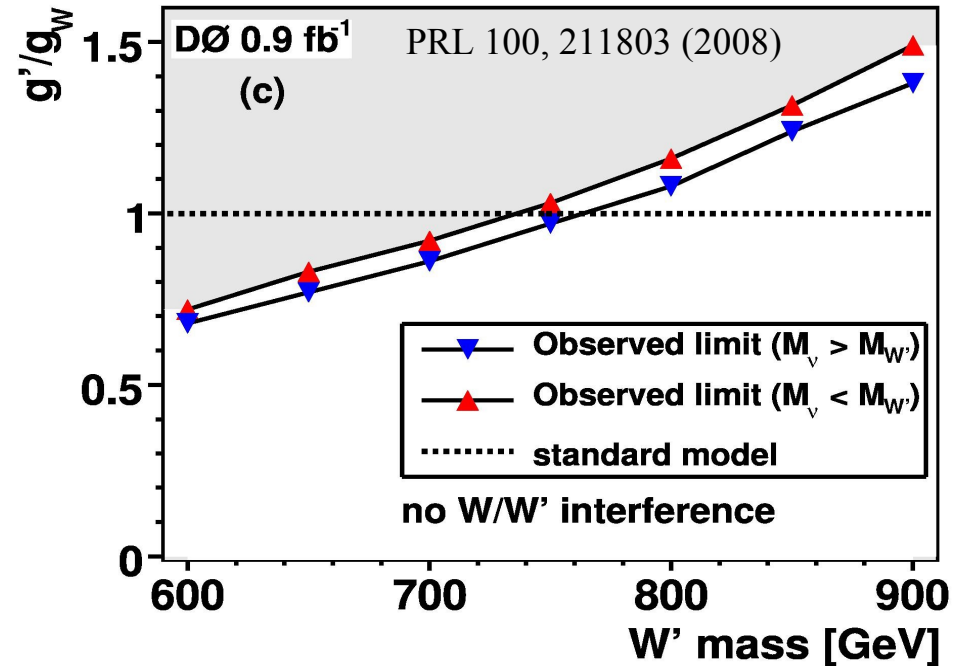
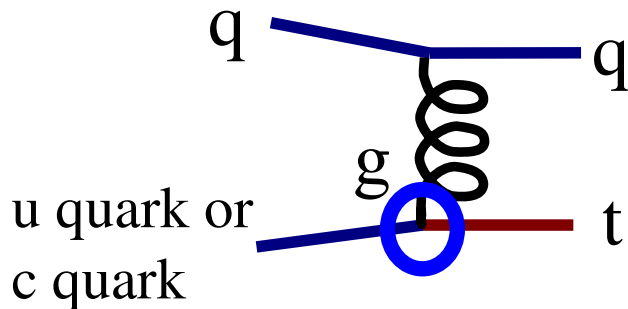
Searches for new physics in single top

- Searches for new heavy boson W' :



- CDF prelim result, 1.9fb^{-1} :
 $M > 800\text{ GeV}$ and $M > 825\text{ GeV}$

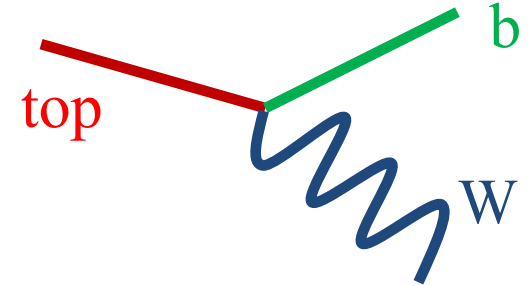
- Similar: DØ Susy H^+ search
- Flavor-changing neutral currents:



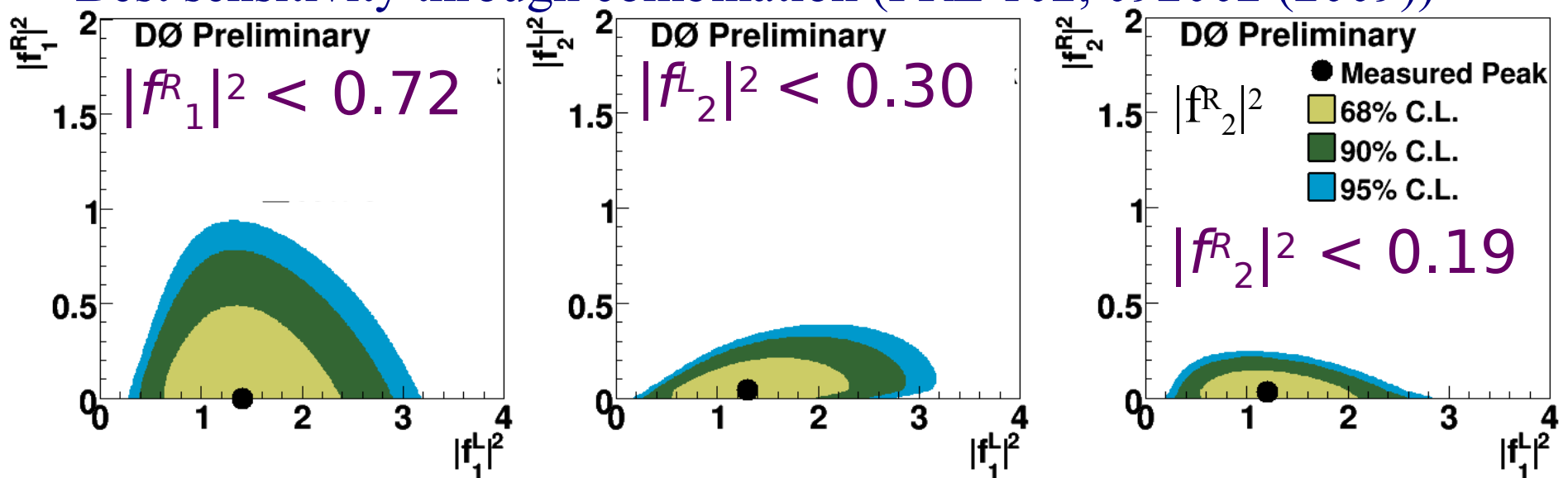
Single top polarization – anomalous coupling

- Left-vector (f_1^L , =1 in SM), right-vector (f_1^R), left-tensor (f_2^L), right-tensor (f_2^R)

$$\mathcal{L} = -\frac{g}{\sqrt{2}} \bar{b} \gamma^\mu V_{tb} (f_1^L P_L + f_1^R P_R) t W_\mu^- - \frac{g}{\sqrt{2}} \bar{b} \frac{i\sigma^{\mu\nu} q_\nu}{M_W} (f_2^L P_L + f_2^R P_R) t W_\mu^- + h.c.$$

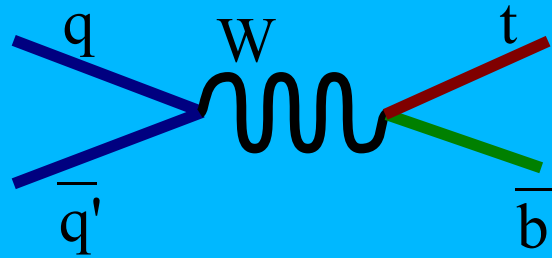


- Single top is sensitive to magnitude (PRL 101, 221801 (2008))
- $t\bar{t}$ to ratios of couplings (W helicity, PRL 100, 062004 (2008))
- Best sensitivity through combination (PRL 102, 092002 (2009))

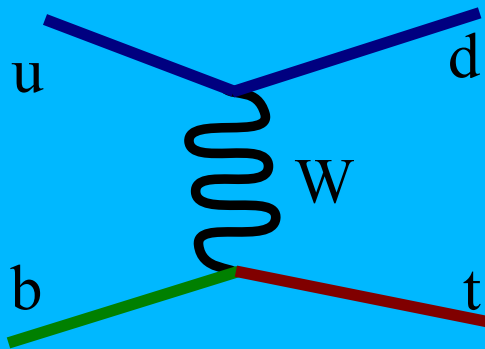


Single top at the LHC

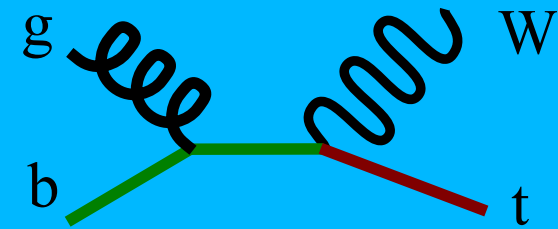
s-channel: 10.7 pb



t-channel: 247 pb



associated production: 68 pb

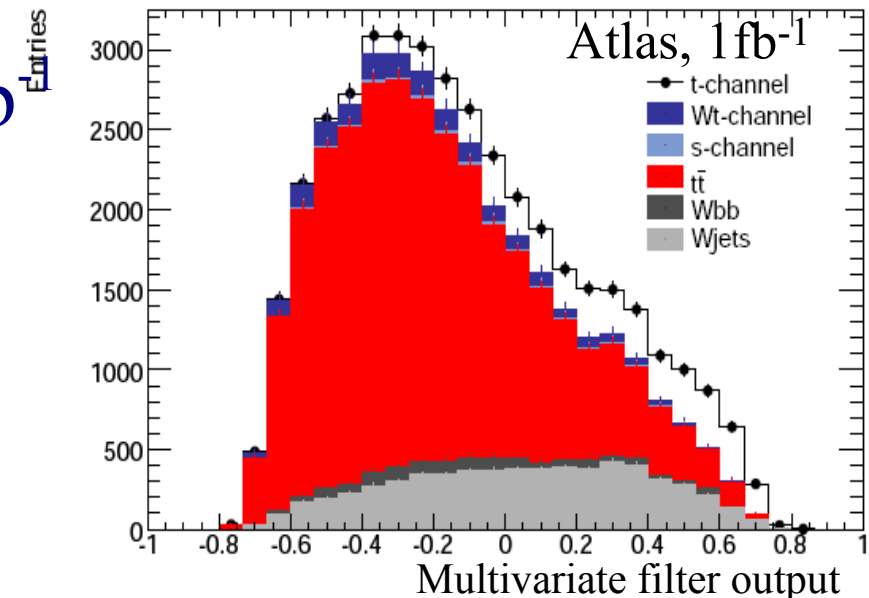
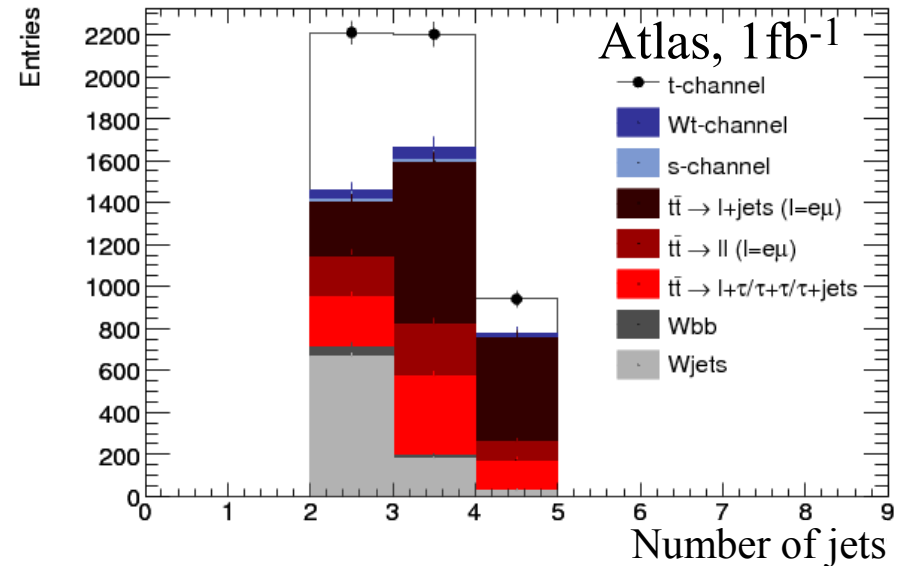


- Observe three single top production modes separately
 - t-channel: easy 😊 s-channel and assoc. prod: harder 😞
- Observe new physics (*if it can be seen*)
- Measure V_{tb} to few %
- Study spin correlations

SM Single Top at the LHC

- Backgrounds are similar to Tevatron, yet different
 - W +jets less important
 - $t\bar{t}$ is dominant background
- t-channel observation early
 - Large cross section
 - Could be seen with simple cuts
- s-channel and Wt with $\sim 30 \text{ fb}$
 - Separate by b-tag and jet multiplicity
 - Earlier observation requires multivariate techniques

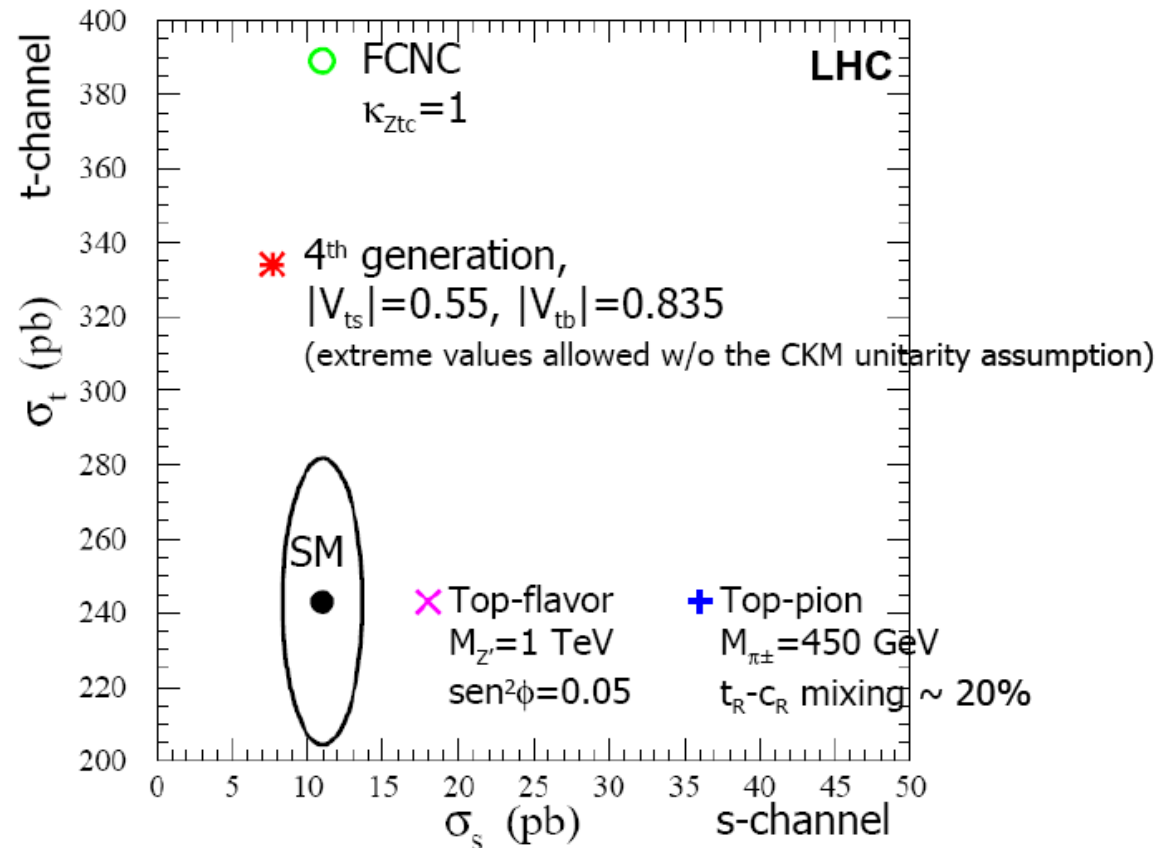
t-channel



LHC: new physics in single top

- Dedicated searches for specific signatures
 - New heavy boson W'
 - FCNC interactions via gluon, photon, Z
 - Anomalous couplings
- Measure SM cross sections in detail
 - And compare their ratios

T.Tait, C.-P.Yuan, Phys.Rev. D63 (2001) 0140018



Conclusions/Outlook

- The Tevatron experiments are getting to know the top quark very well
- Both experiments have observed single top quark production
- Tevatron dataset will increase to over 5 fb^{-1}
 - Separate s-channel from t-channel
 - Look for new physics
- LHC:
 - Precision measurements in single top
 - Look for new physics connected to heavy top quark